

Helsinki, 10 March 2020

**Addressees**

Registrants of JS\_Ashes (residues), plant listed in the last Appendix of this decision

**Date of submission for the jointly submitted dossier subject of a decision**

29/09/2015

**Registered substance subject to this decision, hereafter 'the Substance'**

Substance name: Ashes (residues), plant

EC number: 297-049-5

CAS number: 93333-79-0

**Decision number:** [Please refer to the REACH-IT message which delivered this communication (in format TPE-D-XXXXXXXXXX-XX-XX/F)]**DECISION ON A TESTING PROPOSAL**

Based on Article 40 of Regulation (EC) No 1907/2006 (REACH), ECHA requests that you submit the information listed below by the deadline of **16 December 2022**.

**A. Requirements applicable to all the Registrants subject to Annex IX of REACH<sup>1</sup>**

1. Pre-natal developmental toxicity study (Annex IX, Section 8.7.2.; test method OECD TG 414) in a first species (rat or rabbit), oral route.

**B. Requirements applicable to all the Registrants subject to Annex X of REACH<sup>1</sup>**

1. Pre-natal developmental toxicity study (Annex X, Section 8.7.2.; test method: OECD TG 414) in a second species (rabbit or rat), oral route.
2. Extended one-generation reproductive toxicity study (Annex X, Section 8.7.3.; test method: OECD TG 443) in rats, oral route, specified as follows:
  - i. Ten weeks pre-mating exposure duration for the parental (P0) generation;
  - ii. Dose level setting shall aim to induce systemic toxicity at the highest dose level;
  - iii. Cohort 1A (Reproductive toxicity);
  - iv. Cohort 1B (Reproductive toxicity) without extension to mate the Cohort 1B animals to produce the F2 generation.

You must report the study performed according to the above specifications. Any expansion of the study must be scientifically justified.

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<sup>1</sup> Testing required under this Annex can only be started or performed after the decision has been adopted according to Article 51.

### Conditions to comply with the requests

Each addressee of this decision is bound by the requests for information corresponding to the REACH Annexes applicable to their own registered tonnage of the Substance at the time of evaluation of the jointly submitted dossier.

To identify your legal obligations, please refer to the following:

- you have to comply with the requirements of Annexes VII to IX of REACH, if you have registered a substance at 100-1000 tpa;
- you have to comply with the requirements of Annexes VII to X of REACH, if you have registered a substance at above 1000 tpa.

Registrants are only required to share the costs of information they are required to submit to fulfil the information requirements for their registration.

The Appendices state the reasons for the requests for information to fulfil the requirements set out in the respective Annexes of REACH.

The Appendix entitled Observations and technical guidance addresses the generic approach for the selection and reporting of the test material used to perform the required studies and provides generic recommendations and references to ECHA guidance and other reference documents.

You must submit the information requested in this decision by the deadline indicated above in an updated registration dossier and also update the chemical safety report, where relevant, including any changes to classification and labelling, based on the newly generated information.

### Appeal

This decision can be appealed to the Board of Appeal of ECHA within three months of its notification. An appeal, together with the grounds thereof, has to be submitted to ECHA in writing. An appeal has suspensive effect and is subject to a fee. Further details are described under: <http://echa.europa.eu/regulations/appeals>.

Approved<sup>2</sup> under the authority of Christel Schilliger-Musset, Director of Hazard Assessment

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<sup>2</sup> As this is an electronic document, it is not physically signed. This communication has been approved according to ECHA's internal decision-approval process.

**Appendix A: Reasons for the requirements applicable to all the Registrants subject to Annex IX of REACH**

This decision is based on the examination of the testing proposals you submitted.

**1. Pre-natal developmental toxicity study (Annex IX, Section 8.7.2.) in a first species**

A pre-natal developmental toxicity (PNDT) study in one species is a standard information requirement in Annex IX to REACH.

You have submitted a testing proposal for a PNDT study according to OECD TG 414.

ECHA requested your considerations for alternative methods to fulfil the information requirement for Reproductive toxicity (pre-natal developmental toxicity). ECHA notes that you provided your considerations concluding that there were no alternative methods which could be used to adapt the information requirement(s) for which testing is proposed. ECHA has taken these considerations into account.

You did not specify the species to be used for testing. The rat or rabbit is the preferred species under the OECD TG 414.

You did not specify the route for testing. The oral route is the most relevant route of administration to investigate reproductive toxicity<sup>3</sup>.

According to Article 40(3)(a) of the REACH Regulation, you are requested to carry out the proposed test.

For the selection of the appropriate species you are advised to consult ECHA Guidance R.7a.

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<sup>3</sup> ECHA Guidance R.7a, Section R.7.6.2.3.2.

**Appendix B: Reasons for the requirement applicable to all the Registrants subject to Annex X of REACH**

This decision is based on the examination of the testing proposals you submitted.

**1. Pre-natal developmental toxicity study (Annex X, Section 8.7.2.) in a second species**

Pre-natal developmental toxicity (PNDT) studies (OECD TG 414) in two species is a standard information requirement under Annex X to REACH.

As outlined above under request 1. of Appendix A, ECHA has approved your testing proposal for a pre-natal developmental toxicity study in a first species according to OECD TG 414. ECHA notes that your technical dossier does not contain information on a pre-natal developmental toxicity study in a second species (Annex X, Section 8.7.2.). Consequently there is an information gap and it is necessary to provide information for this endpoint.

A PNDT study according to the OECD TG 414 study should be performed in rabbit or rat as the preferred second species, depending on the species tested in the first PNDT study (request A.1 in this decision).

The oral route is the most relevant route of administration to investigate reproductive toxicity<sup>4</sup>.

In your comments on the draft decision you agree to perform the test.

According to Article 40(3)(c) of the REACH Regulation, you are requested to carry out the additional test(s), as indicated above.

For the selection of the appropriate species you are advised to consult ECHA Guidance R.7a.

**2. Extended one-generation reproductive toxicity study (Annex X, Section 8.7.3.)**

The basic test design of an extended one-generation reproductive toxicity study (EOGRTS) is a standard information requirement under Annex X to the REACH Regulation. Furthermore, column 2 of Section 8.7.3. defines when the study design needs to be expanded.

You have submitted a testing proposal for an EOGRTS according to OECD TG 443 by the oral route in rats with two-week pre-mating exposure duration, without justification.

You provided your considerations concluding that there were no alternative methods which could be used to adapt the information requirement(s) for which testing is proposed. ECHA has taken these considerations into account.

ECHA considers that the proposed study design requires further specification to fulfil the information requirement.

The following refers to the specifications of this required study.

*Pre-mating exposure duration and dose-level setting*

You proposed a pre-mating exposure duration of at least two weeks. ECHA considers that ten

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<sup>4</sup> ECHA Guidance R.7a, Section R.7.6.2.3.2.

weeks pre-mating exposure duration is required because there is no substance specific information in the dossier supporting shorter pre-mating exposure duration as advised in the ECHA Guidance R.7a.

You propose to test with already specified dose levels (160, 400 and 1000 mg/kg body weight and day). It is your responsibility to select the dose levels that meet the criteria described below in order to obtain informative results for hazard classification and labelling (CLP Regulation 1272/2008) as well as for risk assessment purposes. Higher doses than 1000 mg/kg bw/day are not requested unless human exposure is higher than that.

The highest dose level shall aim to induce systemic toxicity, but not death or severe suffering of the animals, to allow comparison of reproductive toxicity and systemic toxicity. The dose level selection must be based upon the fertility effects with the other cohorts being tested at the same dose levels. A descending sequence of dose levels should be selected in order to demonstrate any dose-related effect and to establish NOAELs.

If there is no existing relevant data to be used for dose level setting, it is recommended that results from a range-finding study (or range finding studies) are reported with the main study. This will support the justifications of the dose level selections and interpretation of the results.

#### *Cohorts 1A and 1B*

Cohorts 1A and 1B belong to the basic study design and shall be included.

#### *Species and route selection*

You did not specify either the route or the species to be tested. ECHA considers that the oral route is the most appropriate route of administration, since the substance to be tested is a solid, and according to the test method OECD TG 443, the rat is the preferred species.

In your comments on the draft decision you agree to perform the test.

According to Article 40(3)(a) of the REACH Regulation, you are requested to carry out the proposed test.

#### *Further expansion of the study*

The conditions to include the extension of Cohort 1B are currently not met. Furthermore, no triggers for the inclusion of Cohorts 2A and 2B (developmental neurotoxicity) and Cohort 3 (developmental immunotoxicity) were identified. However, you may expand the study by including the extension of Cohort 1B, Cohorts 2A and 2B and/or Cohort 3 if relevant information becomes available from other studies or during the conduct of this study. Inclusion is justified if the available information meets the criteria and conditions which are described in Column 2, Section 8.7.3., Annex IX/X. You may also expand the study due to other scientific reasons in order to avoid a conduct of a new study. The study design, including any added expansions, must be fully justified and documented. Further detailed guidance on study design and triggers is provided in ECHA Guidance<sup>5</sup>.

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<sup>5</sup> ECHA Guidance R.7a, Section R.7.6.

**Appendix C: Procedural history**

ECHA started the testing proposal evaluation in accordance with Article 40(1) on 23 November 2017, following the necessary clarification of the identity of your substance, compliance check decision number CCH-D-0000003075-80-05/F.

ECHA held a third party consultation for the testing proposals from 31 January 2018 until 19 March 2018. ECHA did not receive information from third parties.

For the purpose of the decision-making, this decision does not take into account any updates of registration dossiers after the date on which you were notified the draft decision according to Article 50(1) of the REACH.

ECHA notified you of the draft decision and invited you to provide comments within 30 days of the notification.

Included in your comments, you outlined the withdrawal of three members from your SIEF and failure to reach unanimous testing agreement under Article 51 with the other members of the joint submission within the commenting deadline. You also requested a prolongation of the draft decision commenting time until 30 September 2019 due to the holiday season. ECHA granted you a prolongation to comment by 14 August 2019 due to the holiday season, but no additional extension was granted. As these matters do not affect the decision-making process of this decision, ECHA dealt with them in separate communications.

ECHA took into account your comments and did not amend the request(s).

ECHA notified the draft decision to the competent authorities of the Member States for proposals for amendment.

As no amendments were proposed, ECHA adopted the decision under Article 51(3) of REACH

**Appendix D: Observations and technical guidance**

1. This testing proposal examination decision does not prevent ECHA from initiating compliance checks at a later stage on the registrations present.
2. Failure to comply with the requests in this decision, or to otherwise fulfil the information requirements with a valid and documented adaptation, will result in a notification to the enforcement authorities of your Member State(s).
3. Test guidelines, GLP requirements and reporting

Under Article 13(3) of REACH, all new data generated as a result of this decision needs to be conducted according to the test methods laid down in a European Commission Regulation or according to international test methods recognised by the Commission or ECHA as being appropriate.

Under Article 13(4) of REACH, ecotoxicological and toxicological tests and analyses must be carried out according to the GLP principles (Directive 2004/10/EC) or other international standards recognised by the Commission or ECHA.

Under Article 10 (a) (vi) and (vii) of REACH, all new data generated as a result of this decision must be reported as study summaries, or as robust study summaries, if required under Annex I of REACH. See ECHA Practical Guide: 'How to report robust study summaries'<sup>6</sup>.

4. Test material

*Selection of the test material(s) for UVCB substances*

The registrants of the Substance are responsible for agreeing on the composition of the test material to be selected for carrying out the tests required by the present decision. The test material selected must be relevant and representative for all the registrants of the Substance, i.e. it takes into account the wide variation in compositions reported by all members of the joint submission. For example, considering the specificities of the Substance and its extremely high variability, a composite sample (i.e. composed of equivalent weight fractions of the registered substance from all registrants) should allow you to generate information to fulfil requirements stated in Sections A and B.

The composition of the test material(s) must fall within the boundary composition(s) of the Substance.

While selecting the test material you must take into account the impact of each constituent/impurity on the test results for the endpoint to be assessed. For example, if a constituent/impurity of the Substance is known to have an impact on (eco)toxicity, the selected test material must contain that constituent/impurity. Any constituents that have harmonised classification and labelling according to the CLP Regulation (Regulation (EC) No 1272/2008) must be identified and quantified using the appropriate analytical methods.

The OECD Series on Principles of Good Laboratory Practice and Compliance Monitoring, Number 11 [ENV/MC/CHEM(98)16] requires a careful identification of the test material and description of its characteristics. In addition, the Test Methods Regulation (EU) 440/2008, as amended by Regulation (EU) 2016/266, requires that "*if the test method is used for the testing of a [...] UVCB [...] sufficient information on its composition should*

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<sup>6</sup> <https://echa.europa.eu/practical-guides>

*be made available, as far as possible, e.g. by the chemical identity of its constituents, their quantitative occurrence, and relevant properties of the constituents”.*

In order to meet this requirement, all the constituents of the test material used for each test shall be identified as far as possible. For each constituent the concentration value in the test material shall be reported in the Test material section of the endpoint study record.

#### *Technical Reporting of the test material for UVCB substances*

The composition of the selected test material must be reported in the respective endpoint study record, under the Test material section. The composition must include all constituents of the test material and their concentration values. Without such detailed reporting, ECHA may not be able to confirm that the test material is relevant for the Substance and to all the registrants of the Substance.

You must report the following in order to identify the composition of test material(s) (e.g. for the composite sample):

- The origin of the sample (fly ash, bottom ash, mixed ash (fly and bottom) fraction),
- The mineralogical composition (based on XRD analysis),
- The elemental composition (reported as hypothetical oxides),
- Total Organic Carbon (TOC) concentration.

A justification for the test material(s) choice and representativeness must also be provided.

Technical instructions are available in the manual "How to prepare registration and PPORD dossiers" on the ECHA website<sup>7</sup>.

#### 5. List of references of the ECHA Guidance and other guidance/ reference documents<sup>8</sup>

##### QSARs, read-across and grouping

Guidance on information requirements and chemical safety assessment, Chapter R.6 (version 1.0, May 2008), referred to as ECHA Guidance R.6 in this decision.

ECHA Read-across assessment framework (RAAF, March 2017)<sup>9</sup>

##### Toxicology

Guidance on information requirements and chemical safety assessment, Chapter R.7a (version 6.0, July 2017), referred to as ECHA Guidance R.7a in this decision.

Guidance on information requirements and chemical safety assessment, Chapter R.7c (version 3.0, June 2017), referred to as ECHA Guidance R.7c in this decision.

##### Environmental toxicology and fate

Guidance on information requirements and chemical safety assessment, Chapter R.7a (version 6.0, July 2017), referred to as ECHA Guidance R.7a in this decision.

Guidance on information requirements and chemical safety assessment, Chapter R.7b (version 4.0, June 2017), referred to as ECHA Guidance R.7b in this decision.

<sup>7</sup> <https://echa.europa.eu/manuals>

<sup>8</sup> <https://echa.europa.eu/guidance-documents/guidance-on-information-requirements-and-chemical-safety-assessment>

<sup>9</sup> <https://echa.europa.eu/support/registration/how-to-avoid-unnecessary-testing-on-animals/grouping-of-substances-and-read-across>



Guidance on information requirements and chemical safety assessment, Chapter R.7c (version 3.0, June 2017), referred to as ECHA Guidance R.7c in this decision.

PBT assessment

Guidance on information requirements and chemical safety assessment, Chapter R.11 (version 3.0, June 2017), referred to as ECHA Guidance R.11 in this decision.

Guidance on information requirements and chemical safety assessment, Chapter R.16 (version 3.0, February 2016), referred to as ECHA Guidance R.16 in this decision.

OECD Guidance documents

Guidance Document on aqueous –phase aquatic toxicity testing of difficult test chemicals – No 23, referred to as OECD GD23.

Guidance Document on Mammalian Reproductive Toxicity Testing and Assessment – No 43, referred to as OECD GD43.

**Appendix E: List of the registrants to which the decision is addressed and the corresponding information requirements applicable to them**

<b>Registrant Name</b>	<b>Registration number</b>	<b>(Highest) Data requirements to be fulfilled</b>
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]
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Note: where applicable, the name of a third party representative (TPR) may be displayed in the list of recipients whereas the decision is sent to the actual registrant.