

**Regulation (EU) No 528/2012  
concerning the making available on the  
market and use of biocidal products**

*Evaluation of active substances*

Assessment Report



**Clothianidin**

Product-type 18  
(Insecticides, Acaricides and Products to  
control other Arthropods)

October 2014

Germany

## CONTENTS

|  |           |
|--|-----------|
| <b>1. STATEMENT OF SUBJECT MATTER AND PURPOSE .....</b>                                  | <b>3</b>  |
| 1.1 PROCEDURE FOLLOWED.....  | 3         |
| 1.2 PURPOSE OF THE ASSESSMENT REPORT .....   | 3         |
| <b>2. OVERALL SUMMARY AND CONCLUSIONS .....</b>  | <b>4</b>  |
| 2.1 PRESENTATION OF THE ACTIVE SUBSTANCE .....   | 4         |
| 2.1.1 <i>Identity, Physico-Chemical Properties &amp; Methods of Analysis</i> .....       | 4         |
| 2.1.2 <i>Intended Uses and Efficacy</i> .....  | 5         |
| 2.1.3 <i>Classification and Labelling</i> .....  | 6         |
| 2.2 SUMMARY OF THE RISK ASSESSMENT.....  | 10        |
| 2.2.1 <i>Human Health Risk Assessment</i> .....  | 10        |
| 2.2.1.1 Effects assessment.....  | 10        |
| 2.2.1.2 Exposure assessment.....   | 13        |
| 2.2.2 <i>Environmental Risk Assessment</i> .....   | 16        |
| 2.2.2.1 Fate and distribution in the environment.....                                    | 16        |
| 2.2.2.2 Effects assessment.....  | 18        |
| 2.2.2.3 PBT and vPvB assessment.....   | 19        |
| 2.2.2.4 Exposure assessment.....   | 20        |
| 2.2.2.5 Risk characterisation.....   | 21        |
| 2.2.3 <i>Assessment of endocrine disruptor properties</i> .....                          | 26        |
| 2.3 OVERALL CONCLUSION .....   | 26        |
| 2.4 LIST OF ENDPOINTS .....  | 26        |
| <b>APPENDIX I: LIST OF ENDPOINTS .....</b>   | <b>27</b> |
| CHAPTER 1: IDENTITY, PHYSICAL AND CHEMICAL PROPERTIES, CLASSIFICATION AND LABELLING..... | 27        |
| CHAPTER 2: METHODS OF ANALYSIS .....   | 30        |
| CHAPTER 3: IMPACT ON HUMAN HEALTH .....  | 30        |
| CHAPTER 4: FATE AND BEHAVIOUR IN THE ENVIRONMENT.....                                    | 35        |
| CHAPTER 5: EFFECTS ON NON-TARGET SPECIES.....  | 40        |
| <b>APPENDIX II: LIST OF INTENDED USES.....</b>   | <b>43</b> |
| <b>APPENDIX III: HUMAN HEALTH TABLES FOR RISK CHARACTERISATION .....</b>                 | <b>44</b> |
| <b>APPENDIX IV – LIST OF TERMS AND ABBREVIATIONS.....</b>                                | <b>49</b> |
| <b>APPENDIX V – LIST OF ORGANISATIONS .....</b>  | <b>57</b> |
| <b>APPENDIX VI – LIST OF STUDIES .....</b>   | <b>60</b> |

## **1. STATEMENT OF SUBJECT MATTER AND PURPOSE**

### **1.1 Procedure followed**

This assessment report has been established as a result of the evaluation of the active substance clothianidin as product-type 18 (insecticides, acaricides and products to control other arthropods) carried out in the context of the work programme for the review of existing active substances provided for in Article 89 of Regulation (EU) No 528/2012, with a view to the possible approval of this substance.

Clothianidin (CAS no. 210880-92-5) was notified as an existing active substance, by Sumitomo Chemical Company Ltd., United Kingdom, hereafter referred to as the applicant, in product-type 18.

Commission Regulation (EC) No 1451/2007 of 4 December 2007<sup>1</sup> lays down the detailed rules for the evaluation of dossiers and for the decision-making process.

In accordance with the provisions of Article 7(1) of that Regulation, Germany was designated as Rapporteur Member State to carry out the assessment based on the dossier submitted by the applicant. The deadline for submission of a complete dossier for clothianidin as an active substance in Product Type 18 was 30 April 2006, in accordance with Annex V of Regulation (EC) No 1451/2007.

On 28 April 2006 und 29 April 2006, the German competent authority received a dossier from the applicant. The Rapporteur Member State accepted the dossier as complete for the purpose of the evaluation on 30 October 2006.

On 27 May 2009, the Rapporteur Member State submitted to the Commission and the applicant a copy of the evaluation report, hereafter referred to as the competent authority report.

In order to review the competent authority report and the comments received on it, consultations of technical experts from all Member States (peer review) were organised by the European Commission and the Agency. Revisions agreed upon on the Technical Meeting II/2010 were presented at the Biocidal Products Committee and the competent authority report was amended accordingly.

### **1.2 Purpose of the assessment report**

The aim of the assessment report is to support the opinion of the Biocidal Products Committee and a decision on the approval of clothianidin for product-type 18 and, should it be approved, to facilitate the authorisation of individual biocidal products. In the evaluation of applications for product-authorisation, the provisions of Regulation (EU) No 528/2012 shall be applied, in particular the provisions of Chapter IV, as well as the common principles laid down in Annex VI.

For the implementation of the common principles of Annex VI, the content and conclusions

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<sup>1</sup> Commission Regulation (EC) No 1451/2007 of 4 December 2007 on the second phase of the 10-year work programme referred to in Article 16(2) of Directive 98/8/EC of the European Parliament and of the Council concerning the placing of biocidal products on the market. OJ L 325, 11.12.2007, p. 3

of this assessment report, which is available from the Agency website, shall be taken into account.

However, where conclusions of this assessment report are based on data protected under the provisions of Regulation (EU) No 528/2012, such conclusions may not be used to the benefit of another applicant, unless access to these data for that purpose has been granted to that applicant.

## **2. OVERALL SUMMARY AND CONCLUSIONS**

### **2.1 Presentation of the Active Substance**

#### ***2.1.1 Identity, Physico-Chemical Properties & Methods of Analysis***

##### **Identity, Physico-chemical Properties and Method of Analysis of Clothianidin:**

The evaluation has established that for the active substance notified by Sumitomo Chemical Takeda Agro Company (now Sumitomo Chemical Company Ltd., United Kingdom), none of the manufacturing impurities considered are, based on information currently available, of toxicological or environmental concern.

Due to the comments made on the draft final CAR in 2014 it was decided to amend the identity of the active substance based on the results of the batch analysis, which resulted in a lower purity of the active substance. Therefore, the exposure calculations presented in the CAR can be regarded as worst case. All manufacturing impurities (except one) have lower or equal contents than those originally proposed as specification.

Clothianidin belongs to the chemical class of chloronicotinylns or neonicotinoids and is transformation product of Thiamethoxam during aerobic degradation in soil. It is a clear and colourless, solid powder. Its vapour pressure and volatility are very low. Clothianidin is a basic substance, which does not dissociate under acidic to slightly basic conditions. The water solubility is 0.327 g/L at 20 °C. The logPow of clothianidin is 0.7 at 25 °C. Hydrolysis only occurs at high pH and high temperature.

Clothianidin is thermally stable and does not form breakdown products while heating up to the melting point. Decomposition occurred above 200 °C. The compound is neither highly flammable (no relative self-ignition up to the melting point), explosive nor has oxidising properties. In conclusion, no hazard indication is required for the active substance with regard to physical/chemical data.

During storage of clothianidin in polyethylene bags for 24 months, no peeling, cracking or discoloration of the commercial packaging was observed and no swelling of the container walls occurred.

In the frame of product authorisation, the packaging material of the biocidal product shall be indicated. An expert statement or measured data about possible concentration decrease of the formulation at room temperature have to be provided for the authorisation process of biocidal products containing clothianidin as an active substance.

##### **Residue analysis**

Analytical methods for detection and identification are available for the active substance and, where relevant, for its metabolites, in soil, water, air and plant materials. In addition, validated confirmatory methods for these matrices were presented.

##### **Identity, Physico-chemical Properties and Method of Analysis of SPU-02000-I-SC:**

SPU-02000-I is a white and odourless paste. Due to the nature of the biocidal product,

SPU-02000-I-SC is not expected to exhibit any hazardous physical-chemical properties.

### **Residue analysis**

As no relevant residues from the application of the active substance are expected, the same applies to the non-active ingredient of the product. No methods for the determination of residues of the product or its non-active ingredient are necessary.

#### **2.1.2 Intended Uses and Efficacy**

Clothianidin belongs to the chemical class of insecticides known as neonicotinoids or chloronicotinyls, which interfere with the nicotinic acetylcholine receptors at the postsynaptic membrane. The compound acts agonistically on insect nicotinic acetylcholine receptors located in the central nervous system. Clothianidin has an insecticidal effect by contact and ingestion (systemic insecticide).

Products in PT 18 containing clothianidin are intended for use in paint-on formulations for controlling insects such as houseflies (*Musca* spp) in animal housings and private households.

Evaluation of the submitted data under Directive 98/8/EEC resulted in the following statement:

Clothianidin has innate efficacy against adult flies (*Musca* spp) at the following concentrations and formulations:

Application by painting: 26 g a.i /L.

Application by spraying: 8.7 g a.i /L.

The efficacy rate increases during the first 3 days after application due to the mode of action of bait formulations (systemic effects after ingestion). The efficacy after a single application has been demonstrated for a time period of 8 weeks under laboratory conditions in simulated-use-trials. The length of time of residual effect has to be confirmed for product authorisation by field studies.

### **Occurrence of Resistance**

Resistance and cross-resistance against neonicotinoids (chloronicotinyls like thiamethoxam, acetamiprid and imidacloprid), a group of insecticides acting agonistically on insect nicotinic acetylcholine receptors (nAChRs) can occur in relevant susceptible pests in Europe. In general, precautions should be taken to reduce the possibility of insects developing resistance to neonicotinoid insecticides.

For the intended uses as a biocidal product, SPU-02000-I-SC as PT 18 should only be used against adult insects (e.g. *Musca domestica* and *Musca autumnalis*) and is not applicable for other stages (e.g. eggs, larvae and pupae). The application as a paste formulation takes place above the lethal level. Therefore, it is expected that development of resistance in target insects does not occur. In addition, in order to facilitate the work of Member States in granting or reviewing authorisations, the intended uses of the substance, as identified during the evaluation process, are listed in Appendix II.

### 2.1.3 Classification and Labelling

#### Classification and Labelling of Clothianidin

Proposed classification as in the COMMISSION DIRECTIVE 2009/2/EC of 15 January 2009 amending, for the purpose of its adaptation to technical progress, for the 31st time, Council Directive 67/548/EEC:

**Table 2-1 Proposed classification based on Directive 67/548/EEC**

| Classification         |            |   |
|------------------------|------------|---|
| <b>Class of danger</b> | Xn         | Harmful   |
|                        | N          | Dangerous to the environment  |
| <b>R phrases</b>       | R22        | Harmful if swallowed  |
|                        | R50        | Very toxic to aquatic organisms   |
|                        | R53        | May cause long-term adverse effects in the aquatic environment                              |
| <b>S phrases</b>       | <i>S2</i>  | <i>Keep out of the reach of children</i>  |
|                        | <i>S13</i> | <i>Keep away from food, drink and animal feedingstuffs</i>                                  |
|                        | <i>S46</i> | <i>If swallowed, seek medical advice immediately and show this container of label</i>       |
|                        | S60        | This material and/or its container must be disposed of as hazardous waste                   |
|                        | S61        | Avoid release to the environment. Refer to special instructions/ material safety data sheet |

**Remark: S-Phrases in italics are optional. S2-13-46 are thought to be used for substances, which are used by the general public.**

In deviation to the participant's classification and labelling of clothianidin, a classification and labelling proposal regarding the environmental hazard was generated. This proposal based on the 48h-LC50 value of 0.029 mg/l for *Chironomus riparius*. Although this species is not a standard test organism for classification purposes, this LC50 value was chosen due to the specific toxicity of clothianidin to insects. The following safety phrases are mandatory for labelling: "This material and/or its container must be disposed of as hazardous waste"; "Avoid release to the environment. Refer to special instructions/ material safety data sheet".

Within the COMMISSION DIRECTIVE 2009/2/EC of 15 January 2009 amending, for the purpose of its adaptation to technical progress, for the 31st time, Council Directive 67/548/EEC the same classification was proposed.

According to COMMISSION REGULATION (EC) No 790/2009 of 10 August 2009 amending, for the purposes of its adaptation to technical and scientific progress, Regulation (EC) No 1272/2008 of the European Parliament and of the Council on classification, labelling and packaging of substances and mixtures, clothianidin is classified as given in Tables 2-2:

**Table 2-2 Proposed Classification based on Regulation (EC) No 1272/2008, Annex VI , Table 3.1 (ATP01, index number 613-307-00-5); the M-factor for chronic toxicity was added additionally)**

|  | Classification                                       | Wording  |
|--|--|--|
| <b>Hazard classes, Hazard categories</b> | Acute Tox. 4<br>Aquatic acute 1<br>Aquatic chronic 1 |  |
| <b>Hazard statements</b>                 | H302   | Harmful if swallowed                                 |
|  | H 400  | Very toxic to aquatic life                           |
|  | H410   | Very toxic to aquatic life with long-lasting effects |
| <b>M-Factors</b>                         | Aquatic acute : 10<br>Aquatic chronic : 100          |  |

The classification of the active substance implies the following precautionary statement for the environment: P273, P391, P501.

#### **Classification and Labelling of SPU-02000-I-SC**

**Table 2-3 Proposed classification of SPU-02000-I-SC based on Directive 1999/45/EC**

|                        | Classification | Wording  |
|------------------------|----------------|--|
| <b>Class of danger</b> | Xi ; N         | Irritating, Dangerous to the environment                       |
| <b>R phrases</b>       | R43            | May cause sensitisation by skin contact                        |
|                        | R50            | Very toxic to aquatic organisms                                |
|                        | R53            | May cause long-term adverse effects in the aquatic environment |

**Table 2-4 Proposed classification of SPU-02000-I-SC based on Regulation (EC) No 1272/2008**

|  | Classification | Wording |
|--|----------------|---------|
| <b>Hazard classes, Hazard categories</b> | Skin Sen. 1    |         |

|                          |      |                                     |
|--------------------------|------|-------------------------------------|
| <b>Hazard statements</b> | H317 | May cause an allergic skin reaction |
|--------------------------|------|-------------------------------------|

**Table 2-5 Proposed labelling of SPU-02000-I-SC based on Directive 1999/45/EC**

| Classification  |        | Wording  |
|-----------------|--------|--|
| Class of danger | Xi ; N | Irritating, Dangerous to the environment                                       |
| R phrases       | R43    | May cause sensitisation by skin contact  |
|                 | R50    | Very toxic to aquatic organisms  |
|                 | R53    | May cause long-term adverse effects in the aquatic environment                 |
| S phrases       | S2     | Keep out of the reach of children  |
|                 | S13    | Keep away from food, drink and animal feeding stuffs                           |
|                 | S24    | Avoid contact with skin  |
|                 | S37    | Wear suitable gloves   |
|                 | S46    | If swallowed, seek medical advice immediately and show this container or label |

**Table 2-6 Proposed labelling of SPU-02000-I-SC based on Regulation (EC) No 1272/2008**

|                          | Labelling | Wording  |
|--------------------------|-----------|--|
| Pictograms               | GHS07     |  |
| Signal Word              | Warning   |  |
| Hazard statements        | H317      | May cause an allergic skin reaction            |
| Precautionary statements | P102      | Keep out of reach of children.                 |
|                          | P261      | Avoid breathing spray                          |
|                          | P280      | Wear protective gloves and protective clothing |



|  |           |   |
|--|-----------|---|
|  | P333+P313 | If skin irritation or rash occurs: Get medical advice/attention |
|--|-----------|---|

**Remark:**

The product SPU-2000-I-SC contains a sensitising compound of concern. A lower limit concentration of this ingredient for classification as R43 is specified as 0.05% in Annex I of Directive 67/548/EC (29<sup>th</sup> ATP) and Regulation (EC) No 1272/2008. Therefore, the product SPU-2000-I-SC has to be classified and labelled according to Directives 67/548/EEC and 1999/45/EC as: Xi, R43, May cause sensitisation by skin contact and according to Regulation (EC) No 1272/2008 as: Skin Sens. Cat. 1, H317, May cause an allergic skin reaction.

Following the information of the applicant, the concentration of clothianidin in the biocidal product SPU-02000-I-SC is  $\geq 2.5\%$ . In respect of the environment the proposed classification and labelling of the active substance clothianidin has to be N, R 50-53.

In addition to clothianidin, the biocidal product contains a substance at a concentration  $> 0,1 \%$  that has to be classified as N, R50. The threshold value for classification of a substance as "dangerous for the environment" is  $\geq 0,1 \%$  (see Directive 1999/45/EEC). All other ingredients of the biocidal product are not classified as hazardous for the environment.

Taking into consideration the lowest acute effect value for aquatic organisms (*Chironomus riparius*, EC50 = 0.029 mg/L, 48 h) and according to Directive 1999/45/EC (Annex III, part B, table 1b) the concentration of the active substance clothianidin in the biocidal product implies the classification as N "Dangerous to the environment", R50 "Very toxic to aquatic organisms" and R53 "May cause long-term adverse effects in the aquatic environment" (conventional method).

The following risk phrases regarding the environment are required for the insecticide SPU-02000-I-SC according to Directive 1999/45/EC:

|                   |     |  |
|-------------------|-----|--|
| Hazard symbol(s): | N   | Dangerous to the environment                                   |
| Risk phrases:     | R50 | Very toxic to aquatic organisms                                |
|                   | R53 | May cause long-term adverse effects in the aquatic environment |

**Table 2-7 Proposed environmental classification of the biocidal product according to CLP regulation**

|                |  |
|----------------|--|
| Signal word:   | Warning  |
| Classification | Aquatic acute 1<br>Aquatic chronic 1   |
| H-Statements   | H 400: very toxic to aquatic life<br>H410: very toxic to aquatic life with longlasting effects |
| M-Factors      | Aquatic acute : 10<br>Aquatic chronic : 100  |

With the reference date of 1 June 2015 the following precautionary statement for the product is mandatory: P273, P391, P501.

## 2.2 Summary of the Risk Assessment

### 2.2.1 Human Health Risk Assessment

#### 2.2.1.1 Effects assessment

#### **Absorption, Distribution, Excretion, and Metabolism**

Clothianidin is rapidly and almost completely absorbed in rats after oral application essentially independent of dose level (although high dose levels have been found to saturate the absorption process), pre-treatment and label position. Distribution occurs rapidly to all tissues with excretory organs (liver, kidney, urinary bladder) and nasal mucosa displaying higher levels than blood within one hour after dosing. Excretion proceeds mainly via urine and is about 90% at low doses by 24 hours post dose. No potential for accumulation was found. Clothianidin was the major fraction in excreta with females metabolising a smaller fraction than males. In total, 13 metabolites were identified (TZNG and MNG  $\geq$  10%, MTCA  $\sim$  8.5%, NTG  $\sim$  4% of applied dose). A dermal absorption of 2 % was derived from a study in male rhesus monkeys conducted with a plant protection product.

#### **Acute Toxicity**

Clothianidin exhibits moderate acute oral toxicity ( $523 < LD_{50} < 1216$  mg/kg bw for female rats). Lethality was not observed when tested by the dermal route or when inhaled as a liquid aerosol. Clinical signs were similar after oral and inhalation exposure. Neither dermal nor ocular irritation was noted after application of clothianidin to the skin and eye of rabbits. Clothianidin did not display skin sensitisation potential in a guinea pig maximisation test according to Magnusson and Kligman.

**Classification and Labelling for acute toxicity according to Directive 67/548/EEC:**  
Xn; R22

**Classification and labelling for acute toxicity according to the Globally Harmonised System (GHS):**

Acute Toxicity, hazard category 4; H302 (harmful if swallowed)

The active substance clothianidin is also included in Annex I of Dir. 91/414/EEC where diverging threshold values are set. The original threshold values for human health as derived by the Belgian authority during the pesticides evaluation and by Germany for the biocides evaluations (PT8 and PT18) did not differ.

In 2006, the Commission set differing AOEL (corresponding to AEL medium-term) and ARfD (corresponding to AEL acute) values under Directive 91/414/EEC.

The AOEL of 0.1 mg/kg bw/d as well as the ARfD of 0.1 mg/kg bw/d as set in Annex I of Directive 91/414/EEC are based on a very conservative evaluation of effects seen in pregnant dams in the developmental studies in rats (York, 1998a) and rabbits (York, 1998b), i.e. slightly reduced bodyweight gain and reduced feed intake between day 6 and 9 in rats (NOAEL/LOAEL: 10/40 mg/kg bw/d) and a slight increase in the incidence of clinical signs (scant faeces, orange urine) in the rabbit study (NOAEL/LOAEL: 10/25 mg/kg bw/d).

These values are not supported within the approval procedure as a biocidal active substance and after review of the data, which form the basis for this AOEL and ARfD, it is maintained that these threshold values should be based on the most relevant studies, with regard to nature and severity of the observed effects. An AOEL of 0.2 mg/kg bw/d (90-d dog study; NOAEL 20 mg/kg bw/d; AF 100) and an ARfD of 0.25 mg/kg bw/d (pharmacology study in mice, single administration of test compound, NOAEL of 25 mg/kg bw, AF 100) are considered adequate for human health risk assessment. This is in line with the AELs set for clothianidin during the evaluation of PT 8.

### **Medium-term Toxicity**

Main effects of repeated oral administration of clothianidin in all tested species were a reduction in body weight gain and frequently reduced food consumption compared to the control. Effects on WBC and RBC parameters were observed at doses inducing body weight suppression in rodents and to a lower extent in dogs. In the rat, a mild induction of CYP450 enzymes of the liver was reported in a 90-d study with incomplete recovery. Effects on the intestinal tract in dogs as well as reduced kidney weight combined with an increase of inorganic phosphorus are considered to be substance-related effects.

The oral NOAEL in rats was 500 ppm (27.9 mg/kg bw/d) for males based on reduced body weight, body weight gain, increase of enzyme activity in the liver and pigmentation of the spleen at 3000 ppm (202.0 mg/kg bw/d) in the 90-d study. The dermal NOAEL in rats was > 1000 mg/kg bw/d for males and females, based on the results of a 28-d study.

The oral NOAEL in mice was 500 ppm (82 mg/kg bw/d) for males, based on a decrease in body weight gain and food conversion at 1000 ppm (160 mg/kg bw/d) in the 90 d study. In this as well as in the 28-d study, treated mice, especially males, displayed an increased mortality when subjected to a light ether narcosis for blood sampling.

The oral NOAEL in dogs was 650 ppm (19.3 mg/kg bw/d), based on a decrease of WBC parameters (males) and protein (females) at 1500 ppm (40.9 mg/kg bw/d) in the 90-d study.

### **Genotoxicity**

Based on the results of in vitro and in vivo genotoxicity tests, clothianidin is unlikely to pose a genotoxic risk to humans.

### **Chronic Toxicity/ Carcinogenicity**

The NOAEL in rats was 150 ppm (9.7 mg/kg bw/d), based on interstitial cell hyperplasia of the ovaries at 500 ppm (32.5 mg/kg bw/d) in females in the 104-wk study.

The NOAEL in mice was 350 ppm (47.2 mg/kg bw/d), based on reduced body weight development (females), behavioural changes (vocalisation) and hepatocellular hypertrophy at 1250 ppm (171 mg/kg bw/d) in the 78-wk study.

Clothianidin is unlikely to pose a carcinogenic risk to humans.

### **Reproduction Toxicity**

In all developmental toxicity studies, effects on the conceptus were observed at dose levels, which also induced toxicity in the parent animal(s). No special sensitivity of developing organisms to clothianidin was identified. Up to a dose level of 125 mg/kg bw/d during day 6-19 of pregnancy, which reduced food consumption and body weight development in dams, clothianidin did not affect the pregnancy rate, litter parameters or external, skeletal and visceral changes of foetuses in rats. In rabbits, abnormalities in foetal lung lobation as well as premature births or abortions were observed at doses of 75 and 100 mg/kg bw/d, which also induced maternal toxicity (mortality, decreased body

weight gain).

In a rat two-generation study, effects on the parent generations (P, F1) included reduced body weight gain during the pre-mating period, pregnancy and lactation as well as reduced thymus weights and a decrease in sperm (progressive) motility at a dose level of 2500 ppm. The changes had no adverse effects on the fertility of these animals. However, because of the difference in sperm parameters between rodents and humans and because of a possible mechanistic link of nACh receptors and sperm motility, the dose level of 2500 ppm is considered the LOAEL with respect to fertility effects. F1 and F2 offspring at 2500 ppm were found to have decreased viability in the perinatal period (stillbirths, early postnatal deaths), lower body weights at birth, reduced body weight gain during the postnatal period, slightly delayed puberty and reduction in absolute and relative spleen weights at weaning.

The NOAEL for offspring toxicity was 150 ppm (10 mg/kg bw/d), based on a delay of preputial gland development at 500 ppm in the F1 generation.

Clothianidin is unlikely to pose a teratogenic risk to humans at doses below those inducing toxic effects in the mother. Clothianidin is also unlikely to affect fertility and developmental parameters in humans at doses below a range that elicits other toxic effects in adults.

### **Neurotoxicity**

In adult rats, transient neurobehavioural effects were observed after acute oral administration of clothianidin, which are considered to be neurobehavioural evidence of systemic toxicity and/or signs of pharmacological overstimulation. No relevant treatment-related effects were seen in the FOB, motor activity assessments or histopathological examinations of nervous or muscle tissues in a 90-d neurotoxicity study. There was some indication for developmental neurotoxicity in rats at doses which also induced reductions of maternal and offspring pre-weaning body weight gain and a slight decrease of offspring viability after weaning. Female offspring exhibited reduced motor activity on post-natal day 62, which, in the absence of exposure to the test substance at the time of testing, could indicate residual neurodevelopmental changes.

The studies identified an acute neurotoxicity NOAEL of 60 mg/kg bw for males and 100 mg/kg bw for females. The subchronic neurotoxicity NOAEL was 177 mg/kg bw/day in males, 200 mg/kg bw/day in females and the NOAEL for developmental neurotoxicity 42.9 mg/kg bw/day.

### **Pharmacological Study**

An oral single dose study used mice and rats for various endpoints of pharmacological relevance. Mice proved to be the more sensitive species. The overall NOAEL was 25 mg/kg bw, based on clinical signs. The convulsions following sub-threshold electroshock at  $\geq$  25 mg/kg bw were not considered relevant for human risk assessment, because this result was derived from a highly artificial testing scenario not normally used in toxicity studies.

The metabolites tested (MNG, TZNG, TMG, TZMU, MG), with the exception of TMG and MG, showed a similar or lower acute oral toxicity than the parent compound. The LD50 values for MG and TMG in the rat were in the range between 450 and 570 mg/kg bw, below those observed for clothianidin. None of these metabolites was tested positive in the bacterial reverse mutation test.

### **Medical Data**

No medical reports on the manufacturing personnel have been submitted.

### **Biocidal Product**

Acute toxicity and irritation studies result in no classification of the biocidal product SPU-02000-I-SC. The applicant did not submit a skin sensitisation study. Non-submission was accepted. Since the biocidal product contains a known sensitiser in considerable amounts it has to be classified/labelled as Xi, R43 (May cause sensitisation of the skin).

### Summary and conclusion

The most critical endpoints for acute toxicity were established from the results of a pharmacological study performed with clothianidin and a NOAEL of 25 mg/kg bw was derived. Applying an assessment factor of 100, the **acute systemic acceptable exposure level results in a value of 0.25 mg/kg bw** (oral absorption > 90 %).

Medium-term oral toxicity studies in dogs, mice and rats resulted in similar no-observed-adverse effect levels. The derivation of the overall NOAEL of 20 mg/kg bw/d is supported by the NOAEL for maternal toxicity from the developmental toxicity study in rabbits. Applying an assessment factor of 100, the **medium-term systemic acceptable exposure level results in a value of 0.2 mg/kg bw/d** (oral absorption > 90 %).

The 2-year study in rats was selected as the most relevant study for long-term exposure calculations. The NOAEL of 10 mg/kg bw/d derived from this study is supported by the overall NOAEL from the 2-generation study in rats. Applying an assessment factor of 100, the **long-term systemic acceptable exposure level results in a value of 0.1 mg/kg bw/d** (oral absorption > 90 %).

For clothianidin, it is not expected that residues in food or feeding stuffs will occur in relevant amounts for the applied uses. Anyhow, they cannot be excluded with certainty for further applications under PT 18. Therefore, based on the 2-year study in rats, supported by the 2-generation study in rats (NOAEL 10 mg/kg bw/d) an **ADI of 0.1 mg/kg bw/d** and based on a pharmacological study in mice (NOAEL 25 mg/kg bw) an **ARfD of 0.25 mg/kg bw** were derived.

A dermal absorption of 2 % was derived from a study in rhesus monkeys conducted with a similar product.

In the absence of data, inhalation absorption of 100 % is assumed.

#### 2.2.1.2 Exposure assessment

##### Exposure of Professionals

Clothianidin is manufactured outside the EU and is imported as a solid. The formulation of the biocidal product SPU-02000-I-SC is performed in the chemical industry (EU). The exposure during the formulation of the biocidal product is not under the requirements of the BPD. However, it is assumed that the production is performed in conformity with national and European occupational safety and health regulations.

The biocidal product SPU-02000-I-SC is intended for use as an insecticide against flies in livestock and poultry stables by farmers (considered by the participant as professionals). The product may be used undiluted (2.6 % a.s., ready-to-use) by smearing (brushing) to small surface patches at the flies' preferred resting places using a brush or in diluted form (0.87 % a.s.) applied by spraying.

For the assessment of inhalation exposure, the main focus is set on exposure to dusts and to droplet aerosols, because, due to the low vapour pressure (vapour pressure of  $3.8 \times 10^{-11}$  Pa at 20°C), inhalation exposure to vapour is of minor pertinence.

The relevant scenarios for exposure assessment are:

Brushing application in animal housing,

Spraying application in animal housing and

Secondary exposure towards the biocidal product.

Potential exposure estimates concerning formulation and use of the representative biocidal product are performed not taking account of safety measures. From the content of the active substance clothianidin a total internal dose for professionals is calculated assuming

2 % dermal absorption and 100 % inhalation absorption (see table I 2-1 below). In all exposure scenarios skin contact significantly contributes to the total internal dose. The highest estimate results in an internal dose of 4.6 mg clothianidin/person/day for spraying (scenario 3).

During the brushing application, the potential inhalation exposure in the mixing & loading, application and post-application phase is assessed as negligible. Potential dermal exposure during mixing & loading is assessed as negligible as well, whereas during the application phase the estimated potential dermal exposure is 100.8 mg/person/day based on Model 3 (Consumer product painting) of the TNSG Human Exposure to Biocidal Products (Part 2, p. 202). For the calculation of the potential dermal exposure during the post-application phase, an approach of the CA of Finland during the assessment for Tolyfluanid was used, resulting in 17.7 mg/person/day. The total potential dermal exposure during the brushing application of SPU-02000-I-SC is 118.5 mg/person/day.

The spraying application assessment yielded in a potential inhalation exposure of 0.151 mg/m<sup>3</sup> (shift average) for the application phase, potential inhalation exposure during mixing & loading and post-application phase is assessed as negligible. The potential dermal exposure during the mixing & loading phase was estimated with the Model Mixing & Loading (Europoem II database) TNSG Human Exposure to Biocidal Products (User Guidance, p. 25) resulting in 38.2 mg/person/day. During the application phase, the potential dermal exposure was assessed to be 190.0 mg/person/day, estimated based on Model 1 (Spraying) of the TNSG Human Exposure to Biocidal Products (Part 2, p. 143-145). The post-application dermal exposure is estimated with DEO unit 1 of the Riskofderm model (Riskofderm 2003, Warren et al. 2006) resulting in 2.4 mg/person/day. The total potential dermal exposure for all phases is 230.6 mg/person/day.

The secondary exposure of workers cannot be excluded. The inhalation exposure is assessed as negligible, whereas potential dermal exposure is estimated to be 72.4 mg/person/day with incidental contact.

#### Exposure of Non-Professionals

The biocidal product SPU-2000-I-SC is also used as an insecticide in households. The biocidal product is applied by brushing card boards with the paste. During application, dermal exposure may occur. Inhalation and oral exposure are unlikely. The biocidal product is applied in minimum once per year and in maximum over the summer season. Thus, acute and medium term primary exposure was estimated.

The exposure assessment yielded in an acute or medium-term exposure of  $2.7 \times 10^{-2}$  mg/kg bw(/d).

Secondary exposure to infants and adults is not expected if the biocidal product is used as intended according to manufacturer's instructions.

#### Risk characterisation

##### **Risk characterisation for professionals**

The exposure scenarios for clothianidin consist of two scenarios, the brushing and the spraying scenario, as well as the secondary exposure. For the potential exposure (without PPE) the risk characterisation for the brushing scenario and the secondary exposure is of no concern. For the spraying scenario, risk characterisation is of no concern only if appropriate protective measures are taken.

For risk characterisation, the total internal body burden resulting from the relevant exposure scenarios is compared to the AEL long-term of 0.1 mg/kg/d .

The AEL (as internal reference value) is based upon the oral long-term NOAEL of 9.7 mg/kg/d, a 10 x 10 assessment factor for inter- and intraspecies differences and on

results from toxicokinetics studies revealing a 100 % oral absorption. There are no relevant inhalation toxicity studies or dermal toxicity studies.

The risk characterisation for clothianidin, especially for the spraying scenario, is exclusively triggered by dermal contact. The actual dermal exposure estimate for clothianidin accounts for some kind of personal protective equipment to reduce potential dermal exposure.

Based on the exposure-to-AEL ratio of 0.9, the spraying scenario with the personal protective measures described (actual exposure) is not considered to result in unacceptable health risks (no concern).

The Human Health Tables for Risk Characterisation are represented in Appendix II.

### **Conclusion**

The risk characterisation is considered to be sufficiently comprehensive and reliable for the purpose of the approval of clothianidin. It is essential to indicate, that the conclusion only apply to the active substance in the biocidal product (and not to other ingredients).

### **Safety Measures for Professionals**

For spray-application, the estimated potential exposure leads to concern, predominantly via the skin. In order to keep the limit value, it is mandatory to wear adequate protective gloves during the 'mixing & loading'-phase of the spray-application, at least.

As stated before, occupational risks in the other scenarios evaluated are low regarding exclusively the active substance of the biocidal product. Nevertheless, it can be reasonable and necessary that the participant's dossier stipulates more detailed measures for handling the biocidal product than for the active substance due to further ingredients of the product, which are not taken into account here.

### **Risk Assessment for Non-Professionals**

The primary exposure estimates are in all cases below the acute and the medium-term AEL (in maximum 14 % of AEL). All MOEs were above 740. Thus, it is concluded that primary exposure of non-professionals by the biocidal product is acceptable in relation to human health.

Secondary exposure to infants and adults is not expected if the biocidal product is used as intended according to manufacturer's instructions. It has to be clearly indicated in these instructions that cardboards or other objects, which have been treated with biocidal product, have to be kept out of the reach of children during use and after disposal. It is expected that improper application of the biocidal product may lead to considerable exposure levels, particularly for children.

### **Residues**

Measurable residues in food or feed from the use of clothianidin in PT18 biocidal products are not expected. Therefore, an additional exposure to humans through diet arising from the use of clothianidin as a biocide can be excluded. No MRLs specific to biocidal product uses are necessary.

Crop use related MRLs for clothianidin in EU Member States have been defined according to Regulation (EC) No. 396/2005 and the proposed residue definition for food of plant and animal origin is "clothianidin" for risk assessment and monitoring.

### **Safety Measures for Non-Professionals**

The biocidal product has to be labelled as given in 2.1.3. For non-professionals the product size should be restricted to 0.5 L and must be fitted with a child-safe fastening. The package size restriction is necessary based on the following two arguments:

The risk assessment for non-professional users is based on the assumption that a maximum of 0.05 L is used per application. However, no data on the actual usage amount are available. If more than 454 mL (approx. the package size restriction) are used at once, this would result in a non-acceptable human health risk.

The distribution of bigger packages would result in long-term storage in private households, which would significantly increase the risk for accidental exposure of infants and children.

The biocidal product is classified as R43 due to the presence of a co-formulant. According to the "Note for Guidance on authorisation of skin sensitiser biocidal products requiring PPE for non-professional users" (CA May14 doc 5.2a) biocidal products classified as skin sensitisers should, under normal circumstances, not be authorised for the non-professional user. In the event that biocidal products containing clothianidin in combination with sensitizing co-formulants at relevant concentrations are applied for at product authorisation, they must be evaluated according to the aforementioned note for guidance. If such products are subsequently authorised for non-professional use, either enclosure of suitable protective gloves into the packaging of the biocidal product or other appropriate risk mitigation measures can be taken into consideration. According to TNsG on human exposure (2007) it cannot be expected that non-professionals use any personal protection equipment, such as protective gloves, even if this is required on the label. However, if protective gloves are delivered within the biocidal product package use of them can be assumed. Depending on the potency of the sensitising co-formulant, the enclosure of suitable protective gloves in sufficient number by the applicant may be an appropriate measure to ensure safe handling of the biocidal product.

### **2.2.2 Environmental Risk Assessment**

#### 2.2.2.1 Fate and distribution in the environment

##### **Biodegradation**

Clothianidin is not readily biodegradable. In two German water-sediment systems partial degradation in biologically active systems was observed. However, primary degradation of clothianidin in the water phase and in the entire systems is slow (water:  $DissT_{50}$ : 58.4 and 94.4 days; entire system:  $DegT_{50}$ : 145.3 and 109.2 days converted to 12 °C average EU outdoor temperature). Taken into account the three assessment-relevant parameters primary and ultimate degradation together with the extent of bound residues in the sediment, clothianidin must be considered to be persistent in aquatic systems. The metabolite TMG was observed in the sediments up to maximum levels of 21-23 % of applied radioactivity.

Degradation rate and route of clothianidin was investigated in veal calf, pig and chicken manure, respectively. The veal calf and pig manures were incubated under anaerobic laboratory conditions, the chicken manure samples under aerobic conditions. Despite aerobic incubation, anaerobic conditions prevailed within the chicken manure throughout the study.  $DT_{50}$  values of 25.4 – 59.9 days (at 12°C) were derived for clothianidin for the manures investigated. In the manure extracts, only the metabolite TMG was identified. The amount of TMG reached maxima of 55-58 % of the applied radioactivity. For the manures investigated,  $DT_{50}$  values of 259.2 – 375.5 days (at 12°C) were derived for TMG. The study results revealed that TMG is very persistent in manure and that TMG seems to be the main metabolite of the anaerobic degradation pathway of clothianidin.

From soil laboratory studies it can be concluded that clothianidin is persistent under aerobic conditions (geometric mean  $DT_{50}$  = 518 days at 20 °C, corresponding to a  $DT_{50}$  of 983 days at 12 °C). Mineralisation of clothianidin was found to be low to negligible (1.5 to 11.2 % after 120 days). Four metabolites were detected in the soil extracts: MNG (N-methyl-N'-nitroguanidine) and TZNG (N-(2-chloro-5-thiazolylmethyl)-N'-nitroguanidine) besides TZMU (N-(2-chlorothiazol-5-ylmethyl)-N'-methylurea) and NTG (Nitroguanidine) as minor metabolites. Only MNG is predominant with 10.7 % in one soil. In the overall assessment of laboratory studies on aerobic biodegradation in soil, clothianidin is



categorised as persistent in soil. The DT50 values in soil at 20 °C for the metabolites MNG are 82 to 108 days and 62 – 111 days for TZNG respectively. Converted to 12 °C average EU outdoor temperature the half-lives amount to 156 – 205 days for MNG and 118 – 211 days for TZNG.

The recalculation of DT50-values from eight European field studies due to FOCUS-kinetics results in a DT50 of 77.1 d and a DT<sub>90</sub> of 1284.7 d at 12 °C (trigger endpoints, geometric mean values). The geometric mean value of the modelling endpoint used for PEC calculation is 429.8 d at 12 °C. These data confirm the insignificant primary degradation and the high persistency of clothianidin as already demonstrated in the laboratory studies. In both bare and cropped soils, translocation of clothianidin into deeper soil layers than 10-20 cm can be excluded down to a concentration of 2 µg/kg. All concentrations of MNG and TZNG in deeper soil layers than 0-10 cm were below the limit of detection of 2 µg/kg.

### **Abiotic Degradation**

Clothianidin was stable to hydrolysis in sterile buffer solutions at pH 4, 5, and 7, but degraded slowly at pH 9. No transformation products were identified at pH 5 and 7. Minor transformation products at pH 9 were CTNU (N-(2-chlorothiazol-5-ylmethyl)-N'-nitrourea), TZMU (N-(2-chlorothiazol-5-ylmethyl)-N'-methylurea), and ACT•HCl (2-chlorothiazol-5-ylmethylamine hydrochloride). The latter seems to be the final transformation product. Solar radiation will lead to a rapid photolytic degradation of clothianidin in aquatic systems under experimental conditions. However, the transferability of the degradation rates to environmental conditions is rather limited.

Based on the half-life and chemical lifetime of clothianidin in the atmosphere, accumulation in the air is not to be expected.

### **Distribution**

The adsorption and desorption laboratory studies resulted in an arithmetic mean K<sub>aOC</sub> of 160 mL/g and an arithmetic mean K<sub>dOC</sub> of 188 mL/g for clothianidin, respectively. Clothianidin was found to be stable during both processes, i.e. adsorption and desorption. The major soil metabolite MNG is characterised by a K<sub>aOC</sub> of 21 mL/g whereas TZNG provided a K<sub>aOC</sub> of 276 mL/g. The metabolites remained unchanged in the soil. These results indicate that the parent compound and the major transformation products (MNG, TZNG) have medium to very high potential for leaching. However, this was not confirmed in lysimeter and biodegradation field studies.

### **Mobility**

In neither of the two lysimeter studies performed for the use of the active substance as plant protection product the parent compound occurred in the leachates. The main metabolite MNG remained below 0.1 µg/L as well as the other metabolites. Neither the parent nor MNG and TZNG could be detected in deeper soil layers in the lysimeter studies. The majority of radioactivity was identified in the top soil samples and about 37 % - 55 % of applied radioactivity could not be recovered and was attributed to losses by mineralisation. Thus, under the given test design for agricultural soils a contamination of groundwater by clothianidin appears to be of less relevance.

### **Bioaccumulation**

The low Pow indicates that clothianidin has low potential to bioaccumulate in organisms. Both estimated bioconcentration factors for the aquatic (BCF<sub>fish</sub> = 0.78) and the terrestrial compartment (BCF<sub>earthworm</sub> = 0.9) can be classified as low.

### 2.2.2.2 Effects assessment

#### **Aquatic Compartment**

Clothianidin is of low acute toxicity to fish (96h-LC50 > 100 mg/L) and only slightly toxic to daphnids (48h-EC50 = 26 mg/L<sup>\*</sup>) and green algae (96h-EbC50 = 55 mg/L; 96h-ErC50 > 120 mg/L). However, due to the mode of action, the toxicity to aquatic insects is high. The lowest effect value in a long-term laboratory study was obtained for the midge *Chironomus riparius* (28d-EC10 = 0.4 µg/L). Also in a mesocosm study freshwater insects were found to be highly affected by the substance (NOEC = 1 µg/L). A PNEC<sub>water</sub> of 0.08 µg/L was derived from the available studies by applying an assessment factor of 5 on the lowest effect value of 0.4 µg/L for *Chironomus riparius*.

In an activated sludge respiration inhibition test with sludge from domestic sewage treatment plant a NOEC of 1000 mg/L was found. A PNEC<sub>microorganism</sub> of 100 mg/L was derived from the available study.

#### **Sediment**

Studies in which the test organisms were exposed to clothianidin via spiked sediment are not available. Therefore, the PNEC<sub>sediment</sub> was derived from the PNEC<sub>water</sub> using the equilibrium partitioning method, resulting in a PNEC<sub>sediment</sub> of 0.34 µg/kg ww.

#### **Atmosphere**

Clothianidin is not considered to be used as fumigant. The vapour pressure of clothianidin ranges from  $3.8 \times 10^{-11}$  to  $1.3 \times 10^{-10}$  Pa. Direct evaporation is not expected, consequently. The Henry's Constant is  $2.9 \times 10^{-11}$  at 20°C, therefore, clothianidin has a low potential of volatilizing from water. The half-life of clothianidin in the troposphere was estimated to be 2.8 hours (chemical lifetime: 4.1 hours) considering a global 24-hours mean OH-radical concentration. Based on these results, accumulation of clothianidin in the air is not to be expected.

#### **Terrestrial Compartment**

Tests with earthworms, carabid beetles, collembolan, plants and soil microorganisms have been provided by the applicant. The lowest effect value was the 77d-NOEC of 0.02 mg/kg dw obtained for *Poecilus cupreus* in a laboratory study. The PNEC<sub>soil</sub> is derived from the NOEC for *Poecilus cupreus* using an assessment factor of 10 resulting in a PNEC<sub>soil</sub> of 2 µg/kg dw = 1.8 µg/kg ww.

The metabolite TMG is formed up to 50 % from degradation of clothianidin in manure and is released to the soil compartment via manure application. The only available effect value for TMG for the soil compartment is a soil microorganisms study according to OECD 216. At the only tested concentration of 0.21 mg/kg dw effects on nitrogen transformation were < 10 %. This is in the same range with effects found for clothianidin in a nitrogen transformation test. As no further effect data with terrestrial organisms are available for TMG and the metabolite is structurally related to the parent compound, it is assumed for the further assessment that the metabolite TMG has the same toxicity to soil organisms as the parent substance clothianidin. Therefore, the PNEC<sub>soil</sub> derived for clothianidin is also

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<sup>\*</sup> in the evaluation of the same test under PPPD 91/414/EC an EC<sub>50</sub> of 40 mg/L was derived. However, 70 % effect was reported at 32 mg/L test concentration. Therefore, a recalculation of the EC<sub>50</sub> value was performed resulting in an EC<sub>50</sub> of 26 mg/L.

applicable for the assessment of the metabolite TMG in soil.

Clothianidin has shown to be highly toxic to bees both by oral and contact exposure. The 48-hour LD50 for oral toxicity was 0.0038 µg/bee. Currently, there is no assessment concept available how to derive a PNEC for bees. As clothianidin is a systemic insecticide, it is taken up from soil by plants and exposure to bees via nectar and pollen is possible. Therefore, as a first step, the effect value has to be recalculated into a concentration in pollen/nectar. The data from the oral exposure test can be transformed to mg a.s./kg nectar/pollen using the information given in the publication. There, it is stated that a dose of 0.016 µg a.s./bee is equivalent to 615 µg a.s./kg sucrose solution. From this it can be concluded that the LD50 of 0.0038 µg a.s./bee was equivalent to 146 µg a.s./kg sucrose = 146 µg a.s./kg nectar/pollen.

As a first approach, an assessment factor of 10 is applied to derive the PNEC<sub>bee</sub>, resulting in a

PNEC<sub>bee</sub> of 14.6 µg a.s./kg nectar/pollen.

#### 2.2.2.3 PBT and vPvB assessment

**P criterion: Half-life > 40 d freshwater or >120 d in freshwater sediment.  
> 120 d in soil**

**vP criterion: Half-life > 60 d freshwater or >180 d in freshwater sediment.  
> 180 d in soil**

Studies of the dissipation of clothianidin in the water sediment system suggest for the whole system a DT<sub>50</sub> of 109 and 145 days and a DissT<sub>50</sub> of 58 and 94 days for the water phase under aerobic conditions at an EU average outdoor temperature of 12°C. Since only two aerobic systems were examined the worst-case has to be assessed regarding the P and vP criteria. Although the DissT<sub>50</sub> cannot be used to conclude on the P criterion as it does not allow to differentiate between degradation and any other dissipation process, it supports the conclusion drawn from total system DegT<sub>50</sub> that the P and vP trigger values in freshwater are fulfilled under worst case consideration. Regarding the total system DegT<sub>50</sub> values, both P trigger values (freshwater and freshwater sediment) are fulfilled.

In laboratory studies on aerobic degradation in soil DT<sub>50</sub>-values between 143 days and more than one year were measured at a temperature of 20°C (geometric mean = 518 days, n=9), corresponding to values from 271 days to >> 1 year at 12°C (geometric mean= 983 days, n=9). Taking into account the soil trigger values for the P and vP criteria of the REACH legislation, both trigger values are fulfilled for clothianidin.

Therefore, clothianidin can definitely be considered to fulfil the P criterion in freshwater/freshwater sediments as well as in soils. The vP criterion is fulfilled in freshwater and soil. The P and vP criteria are complied by clothianidin.

The metabolite TMG was observed in sediments of the aerobic water-sediment up to maximum levels of 23 % of applied radioactivity and in anaerobic manures (manure study) up to maximum levels of 58 % of applied radioactivity. TMG seems to be the main metabolite of the anaerobic degradation pathway of clothianidin. At least in anaerobic manure TMG is very persistent (DT<sub>50</sub> 259.2 – 375.5 days at 12°C). No information is currently available about degradation half-lives in sediments.

**B criterion: BCF > 2000, vB criterion: BCF > 5000**

For Clothianidin the calculated bioconcentration factor in fish is 0.78 and for earthworm is 0.9. Therefore, neither the B- nor the vB-criterion is fulfilled.

**T criterion: Chronic NOEC < 0.01 mg/L or CMR or endocrine disrupting effects**

The EC<sub>10</sub> (equivalent to NOEC) for chironomids, the most sensitive species, is 0.0004 mg/L after 28 days.

The T criterion is complied.

Even though the T-criterion as well as the P-, vP-criterion are fulfilled the active substance clothianidin is neither PBT- nor vP/vB - candidate as the B and vB-criteria are not fulfilled.

#### 2.2.2.4 Exposure assessment

For environmental exposure estimation data about one representative biocidal product SPU-02000-I-SC is provided by the applicant. For the life cycle stage "production" no exposure assessment has been performed as the active substance is produced outside of the EU. For the life cycle stage "formulation of the biocidal product" no exposure assessment has been performed as the applicant stated no emissions to the environment during formulating of the biocidal product. The applicant's statement is deemed to be plausible during active substance evaluation.

For the life cycle stage "industrial/professional use" different environmental exposure assessments for the b.p. have been performed regarding the particular intended uses and applications. The b.p. is intended to be used solely indoors as an insecticide for the control of flies in livestock and poultry stables. Two application techniques are specified as (i) smearing / painting of the undiluted b.p. on patches, walls, piles, windowsills etc. using a brush, and (ii) low-pressure spraying of a dilute solution (0.5 L product in 1 L water) on surfaces specified under (i). In both cases, the standard packing size of 0.5 L b.p. is adequate for a stable of 200 m<sup>2</sup> floor area. This results in an application rate of 0.061 g m<sup>-2</sup> per application.

A release of the b.p. via manure application is the main path of entry into the environment. A certain fraction of the insecticide used in animal housing (animal categories according to table 5.4 of the ESD) may be discharged with waste water to the STP.

The environmental exposures are assessed applying the EU Technical Guidance Document (TGD) on Risk Assessment (2003) and the OECD Emission Scenario Document Number 14 for Insecticides for Stables and Manure Storage Systems (OECD, 2006).

Predicted environmental concentrations (PECs) have been estimated for the terrestrial compartment including soil and groundwater and for the aquatic compartment including sewage treatment plant (STP), surface water, and sediment. The estimation of PECs is based on two emission models:

- Soil, due to manure applications carried out according to nitrogen immission standard from the Netherlands (170 kg N ha<sup>-1</sup> yr<sup>-1</sup>), afterwards to ground water and surface water and
- Waste water, which is subsequently treated by a sewage treatment plant, leading to releases to soil (via sludge deposition), surface water, sediment, and ground (pore) water.

The calculations of the releases of clothianidin during manure and slurry applications have been accomplished for all animal categories and subcategories according to OECD ESD No. 14. A detailed description for the emission scenario for insecticidal application in animal housings including also the input and output values is given in chapter IIB 8.3. For the soil compartment, the calculation of PEC assumes application of manure/slurry onto agricultural soils (arable land and grassland). Different approaches have been calculated:

- An unrealistic worst case situation without consideration of degradation of a.s. in soil;
- A more realistic situation, taking into account the degradation of a.s. in soil and carry over of a.s. residues due to successive manure application;

Concerning releases via manure to soil, the maximum PEC values in arable and grassland soil for nitrogen limited immission are associated with slurry application from veal calves (animal category: 3). For risk assessment with regard to the soil compartment, combined PEC values are derived from a.s. clothianidin and the relevant metabolite TMG, identified in the manure degradation study, as it is assumed that the metabolite TMG has the same toxicity to soil organisms as the active substance clothianidin. For both, PEC groundwater and PEC surface water (including sediment) estimation, a refinement step of the first approach (pore water calculation model and default dilution factor according to EU TGD (2003)) was accomplished using EU FOCUS scenarios based transport and fate simulation tools. The predicted concentrations in groundwater were significantly below the threshold criteria of  $0.1 \mu\text{g L}^{-1}$  for all scenarios and for all soils (grassland and arable land). Release to surface water is also expected. Emission to air is negligible.

Particularly during the cleaning procedure of poultry housing systems, a fraction of the applied biocidal product can be released to waste water that is discharged to a STP. The species/categories taken to be under consideration are laying hens in battery cages (cat. 8) as well as laying hens in free range (cat. 11) and broilers in free range (cat. 12). The default release fractions to waste water given in the OECD-ESD were used for estimating the amount of clothianidin discharged to the STP.

#### 2.2.2.5 Risk characterisation

##### **Aquatic Compartment**

For clothianidin, the applicant provided data for a representative product in different application areas and with different application rates. For the production and the formulation process no environmental exposure assessment and thus no risk characterisation was carried out. Within the scope of the product authorisation, it has to be checked again whether the production and formulation processes as described by the applicant still apply.

Two different emission pathways were identified regarding the aquatic compartment:

- Emission via manure application to soil leading to releases to surface water and sediment (indoor application in animal housings)
- Emission via wastewater to STP and subsequently to surface water and sediment (indoor application in animal housings, especially poultry stables)

Regarding the emission pathway via waste water to STP and subsequently to surface water and sediment, a risk for surface water and sediment was identified from the use of

clothianidin in poultry stables with a wastewater discharge to sewage treatment plants. It was concluded that a label restriction is necessary preventing the use of products containing clothianidin in animal housings where exposure to the STP or surface water is given. Consequently, direct releases from animal housings to surface water have to be avoided as well, unless it is clearly demonstrated at the stage of product authorisation that no risks to the environment will occur.

In summary, there is no risk for the aquatic compartment related to the use of clothianidin when implementing the necessary restriction for poultry stables as mentioned above.

Currently, no test about the elimination of clothianidin in sewage treatment plants (STP) is available. The environmental exposure assessment was performed without considering degradation in STP. Thus, the risk for surface water and sediment from the use of clothianidin containing products in poultry stables with a wastewater discharge to sewage treatment plants was identified as stated above. To refine the environmental exposure assessment, i.e. to demonstrate a potential degradation of clothianidin in STP, it is suggested performing an aerobic sewage treatment plant simulation study (OECD 303 A) at the stage of product authorisation.

### Terrestrial Compartment including Groundwater

Two different emission pathways were identified regarding the terrestrial compartment:

- Emission via manure application leading to releases to soil and subsequently, to groundwater (indoor application in animal housings)
- Emission via wastewater to STP leading to releases to soil via sewage sludge deposition and subsequently, to groundwater (indoor application in animal housings/ especially poultry stables)

The following table gives an overview on the numbers of identified risks from product application by smearing and low pressure application for the soil compartment (arable land, grassland) considering the parent and the main metabolite TMG.

**Table 2-8 Numbers of identified risks for the soil compartment after applying manure/slurry to arable land and grassland considering the full set of animal (sub-) categories**

|  | Smearing application |           | Low-pressure spraying |           |
|--|----------------------|-----------|-----------------------|-----------|
|  | Arable land          | Grassland | Arable land           | Grassland |
| Clothianidin: Number of animal (sub-)categories with risk in soil (total number of animal (sub-) categories)   |                      |           |                       |           |
| Cattle housings  | 0 (5)                | 2 (5)     | 0 (5)                 | 3 (5)     |
| Piggeries  | 0 (3)                | 3 (3)     | 0 (3)                 | 3 (3)     |
| Poultry housings   | 0 (12)               | 3 (12)    | 0 (12)                | 6 (12)    |
| Metabolite TMG: Number of animal (sub-) categories with risk in soil (total number of animal (sub) categories) |                      |           |                       |           |
| Cattle housings  | 0 (5)                | 2 (5)     | 0 (5)                 | 3 (5)     |

|   |        |        |        |         |
|---|--------|--------|--------|---------|
| Piggeries   | 0 (3)  | 3 (3)  | 0 (3)  | 3 (3)   |
| Poultry housings  | 0 (12) | 3 (12) | 0 (12) | 6 (12)  |
| Clothianidin + TMG: Number of animal (sub-) categories with risk in soil (total number of animal (sub-) categories) |        |        |        |         |
| Cattle housings   | 2 (5)  | 4 (5)  | 2 (5)  | 4 (5)   |
| Piggeries   | 1 (3)  | 3 (3)  | 3 (3)  | 3 (3)   |
| Poultry housings  | 0 (12) | 7 (12) | 0 (12) | 11 (12) |

In conclusion, no risks are identified in arable land for a.s. clothianidin for both application techniques. This is also valid for the metabolite TMG. Applying manure/slurry on grassland, risks are identified in all main animal categories (i.e. risks in several animal (sub-) categories of cattle housings, piggeries and poultries) for the a.s. as well as for the metabolite. In summary, regarding the risk assessment for the a.s and the metabolite as independent from each other (first and second subheading in Table 2-8) no risks are identified for the animal (sub-) categories 2 (beef cattle), 7-10 (laying hens in battery), 12 (broilers - litter floor) and 14 (parent broilers free range - grating floor).

Furthermore, if the a.s. and the metabolite are assessed together, risks in grassland and arable land are identified regardless of the application technique of the b.p. In this case, a safe use of clothianidin after application of manure is only given for animal (sub-) category 2 (beef cattle) with a PEC/PNEC of 0.75 and animal (sub-) category 8 (laying hens battery - belt drying) with a PEC/PNEC of 0.86.

Tables 2-9 and 2-10 give an overview of the PEC/PNEC ratios (clothianidin + TMG) determined for the soil compartment after applying manure/slurry to arable land or grassland respectively, considering the full set of animal (sub-) categories (PNECsoil = 1.8 µg/kg).

**Table 2-9 PEC/PNEC-values (clothianidin + TMG) for the soil compartment after applying manure/slurry to arable land considering the full set of animal (sub-) categories (PNECsoil = 1.8 µg/kg)**

| Animal (sub) category                   | Smearing application |          | Low-pressure spraying |          |
|---|----------------------|----------|-----------------------|----------|
|   | PEC (mg/kg)          | PEC/PNEC | PEC (mg/kg)           | PEC/PNEC |
| 01 - dairy cattle                       | 7.90E-04             | 0.44     | 1.13E-03              | 0.63     |
| 02 - beef cattle                        | 2.35E-04             | 0.13     | 3.36E-04              | 0.19     |
| 03 - veal calves                        | 1.92E-03             | 1.07     | 2.75E-03              | 1.53     |
| 04 - sows individual                    | 1.37E-03             | 0.76     | 1.95E-03              | 1.08     |
| 05 - sows in groups                     | 1.73E-03             | 0.96     | 2.47E-03              | 1.37     |
| 06 - fattening pigs                     | 1.13E-03             | 0.63     | 1.61E-03              | 0.90     |
| 07 - laying hens battery - no treatment | 4.05E-04             | 0.23     | 5.78E-04              | 0.32     |

|  |          |      |          |      |
|--|----------|------|----------|------|
| 08 - laying hens battery - belt drying                     | 3.23E-04 | 0.18 | 3.87E-04 | 0.22 |
| 09 - laying hens battery- deep pit, high rise              | 4.52E-04 | 0.25 | 6.45E-04 | 0.36 |
| 10 - laying hens battery - compact                         | 4.52E-04 | 0.25 | 6.45E-04 | 0.36 |
| 11 - laying hens free range - litter floor                 | 1.37E-03 | 0.76 | 1.64E-03 | 0.91 |
| 12 - broilers - litter floor                               | 5.82E-04 | 0.32 | 6.98E-04 | 0.39 |
| 13 - laying hens free range - grating floor                | 8.50E-04 | 0.47 | 1.21E-03 | 0.67 |
| 14 - parent broilers free range - grating floor            | 4.28E-04 | 0.24 | 6.11E-04 | 0.34 |
| 15 - parent broilers in rearing free range - grating floor | 9.28E-04 | 0.52 | 1.33E-03 | 0.74 |
| 16 - turkey - litter floor                                 | 1.13E-03 | 0.63 | 1.36E-03 | 0.75 |
| 17 - ducks - litter floor                                  | 1.19E-03 | 0.66 | 1.43E-03 | 0.80 |
| 18 - gees - litter floor                                   | 8.48E-04 | 0.47 | 1.02E-03 | 0.57 |
| 01b - dairy cattle grazing season                          | 1.87E-03 | 1.04 | 2.76E-03 | 1.48 |
| 02b - beef cattle - grazing season                         | 5.27E-04 | 0.29 | 7.52E-04 | 0.42 |

**Table 2-10 PEC/PNEC-values (clothianidin + TMG) for the soil compartment after applying manure/slurry to grassland considering the full set of animal (sub-) categories (PNECsoil = 1.8 µg/kg)**

| Animal (sub) category | Smearing application |          | Low-pressure spraying |          |
|-----------------------|----------------------|----------|-----------------------|----------|
|                       | PEC (mg/kg)          | PEC/PNEC | PEC (mg/kg)           | PEC/PNEC |
| 01 - dairy cattle     | 3.16E-03             | 1.76     | 4.51E-03              | 2.51     |
| 02 - beef cattle      | 9.40E-04             | 0.52     | 1.34E-03              | 0.75     |
| 03 - veal calves      | 7.69E-03             | 4.27     | 1.10E-02              | 6.10     |



|  |          |      |          |      |
|--|----------|------|----------|------|
| 04 - sows individual                                       | 5.47E-03 | 3.04 | 7.81E-03 | 4.34 |
| 05 - sows in groups  | 6.93E-03 | 3.85 | 9.90E-03 | 5.50 |
| 06 - fattening pigs  | 4.51E-03 | 2.51 | 6.45E-03 | 3.58 |
| 07 - laying hens battery - no treatment                    | 1.62E-03 | 0.90 | 2.31E-03 | 1.28 |
| 08 - laying hens battery - belt drying                     | 1.29E-03 | 0.72 | 1.55E-03 | 0.86 |
| 09 - laying hens battery- deep pit, high rise              | 1.81E-03 | 1.00 | 2.58E-03 | 1.43 |
| 10 - laying hens battery - compact                         | 1.81E-03 | 1.00 | 2.58E-03 | 1.43 |
| 11 - laying hens free range - litter floor                 | 5.47E-03 | 3.04 | 6.56E-03 | 3.65 |
| 12 - broilers - litter floor                               | 2.33E-03 | 1.29 | 2.79E-03 | 1.55 |
| 13 - laying hens free range - grating floor                | 3.40E-03 | 1.89 | 4.86E-03 | 2.70 |
| 14 - parent broilers free range - grating floor            | 1.71E-03 | 0.95 | 2.45E-03 | 1.36 |
| 15 - parent broilers in rearing free range - grating floor | 3.71E-03 | 2.06 | 5.30E-03 | 2.95 |
| 16 - turkey - litter floor                                 | 4.52E-03 | 2.51 | 5.42E-03 | 3.01 |
| 17 - ducks - litter floor                                  | 4.77E-03 | 2.65 | 5.73E-03 | 3.18 |
| 18 - gees - litter floor                                   | 3.89E-03 | 1.88 | 4.07E-03 | 2.26 |
| 01b - dairy cattle grazing season                          | 7.48E-03 | 4.16 | 1.07E-02 | 5.94 |
| 02b - beef cattle - grazing season                         | 2.11E-03 | 1.17 | 3.01E-03 | 1.67 |

Following the results from FOCUS PEARL (v. 4.4.4) calculations the predicted concentrations in groundwater were below the threshold value of  $0.1 \mu\text{g L}^{-1}$  for all scenarios, both for grassland and arable land situations. Therefore, no risk to groundwater

is identified for the use of clothianidin in animal housings.

Considering the prospective intended use for control of flies in domestic premises (e.g. product is coated on carrier material, disposal via domestic waste) it was concluded that due to the intended application practice laid down by the applicant the exposure to the environment is negligible. Therefore, no environmental risk characterisation for clothianidin as a "household insecticide" has been carried out.

As clothianidin is a systemic insecticide and it has been shown that it is highly toxic to bees, a risk assessment for bees was performed. As currently no harmonized scenario is available, the assessment was based on a comparison of the  $PNEC_{bee}$  and the  $PEC_{soil}$ . As a worst-case approach, it was assumed that the concentration in nectar and pollen is equivalent to the concentration in soil, i.e. a 100% uptake of clothianidin from soil by plants and a 100% transfer in nectar and pollen occurs. For the assessment, the highest  $PEC_{soil}$  values for arable land and grassland was used. The  $PEC/PNEC$  values for bees are below one. Therefore, it can be concluded that manure application contaminated with clothianidin to arable land as well as grassland will pose no risk to bees exposed to clothianidin via nectar and pollen. However, as currently no agreed concept for the assessment of the risk to bees is available, at product authorisation a revised risk assessment for bees might be necessary using the agreed assessment concept if available.

### **2.2.3 Assessment of endocrine disruptor properties**

No specific test for potential endocrine disruption was carried out. However, from the available CMR studies and the repeated dose studies there is no evidence for endocrine disruption or for CMR effects.

## **2.3 Overall conclusion**

The outcome of the assessment for clothianidin in product-type 18 is specified in the BPC opinion following discussions at the 7<sup>th</sup> meeting of the Biocidal Products Committee (BPC). The BPC opinion is available from the ECHA website.

## **2.4 List of endpoints**

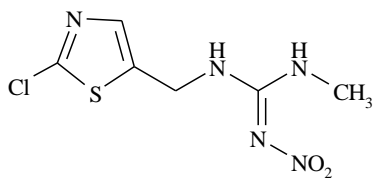
The most important endpoints, as identified during the evaluation process, are listed in Appendix I.

## Appendix I: List of endpoints

### Chapter 1: Identity, Physical and Chemical Properties, Classification and Labelling

|                                    |              |
|------------------------------------|--------------|
| Active substance (ISO Common Name) | Clothianidin |
| Product-type                       | 18           |

#### Identity

|  |   |
|--|---|
| Chemical name (IUPAC)  | (E)-1-(2-Chloro-1,3-thiazol-5-ylmethyl)-3-methyl-2-nitroguanidine   |
| Chemical name (CA)   | Guanidine, N-((2-chloro-5-thiazolyl)methyl)-N'-methyl-N''-nitro-, (C(E))-   |
| CAS No   | 210880-92-5   |
| EC No  | 433-460-1   |
| CIPAC No   | 738   |
| Other substance No.  | CAS number 131748-59-9 refers generally to TI-435 and its tautomers. This number had been used for TI-435 until the above number was assigned specifically to TI-435. |
| Minimum purity of the active substance as manufactured (g/kg or g/l)   | 930 g/kg  |
| Identity of relevant impurities and additives (substances of concern) in the active substance as manufactured (g/kg) | No impurities of toxicological, ecotoxicological or environmental concern   |
| Molecular formula  | C <sub>6</sub> H <sub>8</sub> Cl N <sub>5</sub> O <sub>2</sub> S  |
| Molecular mass   | 249.7 g/mol   |
| Structural formula   |   |

**Physical and chemical properties**

|  |  |
|--|--|
| Melting point (state purity)   | 176.8°C (purity 99.7%)   |
| Boiling point (state purity)   | The test substance decomposed before boiling up to 200 °C.   |
| Temperature of decomposition   | decomposition up to 200 °C   |
| Appearance (state purity)  | Clear and colourless (Munsell, purity 99.7%)<br>5Y 8.3/6 (Munsell, purity 97.6%)<br>Solid, powder (purity 99.7% and 97.6%)<br>Odourless (purity 99.7% and 97.6%)   |
| Relative density (state purity)  | 1.61 at 20°C (purity 99.7%)  |
| Surface tension  | 79.6 mN/m at 20 °C (90 % saturation)   |
| Vapour pressure (in Pa, state temperature)   | 1.3 x 10 <sup>-10</sup> Pa (at 25°C) (extrapolated)<br>3.8 x 10 <sup>-11</sup> Pa (at 20°C) (extrapolated)   |
| Henry's law constant (Pa m <sup>3</sup> mol <sup>-1</sup> )  | 2.9 x 10 <sup>-11</sup> Pa x m <sup>3</sup> mol <sup>-1</sup> (at 20°C)  |
| Solubility in water (g/l or mg/l, state temperature)   | pH_4_: 0.304 g/l (at 20 °C, buffered solution)<br>pH_10_: 0.340 g/l ( at 20 °C, buffered solution)<br>pH_10_: 0.327 g/l in Milli-Q water (at 20 °C)  |
| Solubility in organic solvents (in g/l or mg/l, state temperature)                                     | Heptane: <0.00104 g/l (at 25°C)<br>Xylene: 0.0128 g/l (at 25°C)<br>Dichloromethane: 1.32 g/l (at 25°C)<br>Methanol: 6.26 g/l (at 25°C)<br>Octanol: 0.938 g/l (at 25°C)<br>Acetone: 15.2 g/l (at 25°C)<br>Ethyl acetate: 2.03 g/l (at 25°C) |
| Stability in organic solvents used in biocidal products including relevant breakdown products          | The active substance clothianidin is thought to be stable within the formulations envisaged.   |
| Partition coefficient (log P <sub>OW</sub> ) (state temperature)                                       | pH__4_: 0.893 in buffer at 25 °C (shake-flask method)<br>pH__7_: 0.905 in buffer at 25 °C (shake-flask method)<br>pH_10_: 0.873 in buffer at 25 °C (shake-flask method)<br>0.7 at 25°C (HPLC method)                                       |
| Hydrolysis of active substance and relevant metabolites (DT <sub>50</sub> ) (state pH and temperature) | pH 5 and 50°C: hydrolytically stable<br>pH 7 and 50°C: hydrolytically stable   |

|   |   |
|---|---|
|   | pH 9 and 50°C: DT <sub>50</sub> = 14.4 d<br>pH 9 and 20°C: DT <sub>50</sub> = 1401 d<br>(according to Arrhenius equation)                               |
| Metabolites at pH 9   | CTNU, TZMU, ACT•HCL (formed only at elevated temperatures)  |
| Dissociation constant (additional data requirement from TNSG)           | pK <sub>a</sub> = 11.09 (at 20°C)   |
| UV/VIS absorption (max.) (if absorption > 290 nm state ε at wavelength) | Max. 265.5 nm in acidic and neutral solution,<br>Max. 246.0 nm in basic solution<br><br>No absorption above 290 nm. No further absorption was detected. |
| Photostability (DT <sub>50</sub> ) (aqueous, sunlight source, state pH) | pH 7: 3.3 h at 25°C; pH 7,,; artificial light with UV filter (λ = 290 nm);  |
| Quantum yield of direct phototransformation in water at Σ > 290 nm      | 0.014   |
| Flammability  | Not highly flammable<br>no relative self-ignition up to the melting point   |
| Explosive properties  | Not explosive<br>(when heated and not sensitive to shock and friction)  |

### Classification and proposed labelling

|  |   |
|--|---|
| with regard to physical/chemical data  | No classification   |
| with regard to toxicological data      | <b>Xn; R 22</b> (Harmful if swallowed)<br>Acute Tox. 4; H302 (Harmful if swallowed)   |
| with regard to fate and behaviour data | <b>R 53</b> (May cause long-term adverse effects in the aquatic environment)  |
| with regard to ecotoxicological data   | <b>N; R 50</b> (Very toxic to aquatic organisms)<br>Aquatic acute 1, H400 (very toxic to aquatic life)<br><br>Aquatic chronic 1, H 410 (Very toxic to aquatic life with long-lasting effects) |

## Chapter 2: Methods of Analysis

### Analytical methods for the active substance

|  |   |
|--|---|
| Technical active substance (principle of method)               | HPLC using reversed phase conditions (UV, 265 nm) |
| Impurities in technical active substance (principle of method) | HPLC  |

### Analytical methods for residues

|  |  |
|--|--|
| Soil (principle of method and LOQ)   | active substance and metabolites MNG and TZNG<br>LC-MS/MS (ODS or Phenyl-hexyl column)<br>LOQ = 0.005 mg/kg<br>active substance<br>HPLC-UV (RP-18 or CN column)<br>LOQ= 0.01 mg/kg<br>(not required) |
| Air (principle of method and LOQ)  | active substance<br>HPLC-UV (RP-18 or CN column)<br>LOQ = 8 µg/m <sup>3</sup>  |
| Water (principle of method and LOQ)  | active substance in drinking and surface water:<br>HPLC-UV (RP-18 or CN column)<br>LOQ = 0.05 µg/L   |
| Body fluids and tissues (principle of method and LOQ)  | not required   |
| Food/feed of plant origin (principle of method and LOQ for methods for monitoring purposes)  | active substance<br>HPLC-UV (RP-18 or CN column)<br>LOQ = 0.01 mg/kg<br>(not required)   |
| Food/feed of animal origin (principle of method and LOQ for methods for monitoring purposes) | not required   |

## Chapter 3: Impact on Human Health

### Absorption, distribution, metabolism and excretion in mammals

|                                       |  |
|---------------------------------------|--|
| Rate and extent of oral absorption:   | Rapid oral absorption > 90 %   |
| Rate and extent of dermal absorption: | 2 %, based on an <i>in vivo</i> study in rhesus monkeys                                      |
| Distribution:                         | Widely distributed; tissue residues (72 hours): 0.3 %, mainly liver and kidney               |
| Potential for accumulation:           | No potential for accumulation  |
| Rate and extent of excretion:         | Rapid, within 24h: urine: 89-95 % (low dose), 57 % (high dose), faeces: 3-9 %, air: 0.017 %; |

|  |   |
|--|---|
| Toxicologically significant metabolite | limited enterohepatic circulation   |
|  | Parent compound 56-74%, TZNG and MNG $\geq 10\%$ , MTCA $\sim 8.5\%$ , NTG $\leq 4\%$ of applied dose<br>+ 9 further metabolites $< 2\%$<br>Livestock/plant/environmental metabolites more toxic than clothianidin, but occur at very low residue levels or are present in the rat, and thus toxicologically covered. |

**Acute toxicity**

|  |   |
|--|---|
| Rat (F 344) LD <sub>50</sub> oral                | Males: 1216 < LD <sub>50</sub> < 2000 mg/kg bw<br>Females: 523 < LD <sub>50</sub> < 1216 mg/kg bw |
| Mouse LD <sub>50</sub> oral                      | Males: 389 mg/kg bw<br>Females: 465 mg/kg bw  |
| Rat LD <sub>50</sub> dermal                      | > 2000 mg/kg bw   |
| Rat LC <sub>50</sub> inhalation                  | > 6.141 mg/L air (4 h exposure, head only)  |
| Skin irritation                                  | Not irritant  |
| Eye irritation                                   | Not irritant  |
| Skin sensitization (test method used and result) | Not sensitising (M & K)   |

**Repeated dose toxicity**

|  |  |
|--|--|
| Species/ target / critical effect        | Haematopoietic organs (rat, dog)   |
| Lowest relevant oral NOAEL / LOAEL       | NO(A)EL:<br>Dog: 650 ppm; 20 mg/kg bw/day (90 d WBC decrease; Rat: 500 ppm; 28 mg/kg bw/d (overall NOAEL; 90 d: RBC effects)<br>Mouse: 500 ppm; 82 mg/kg bw/d (90 d: decreased bw gain, decreased food consumption)<br>NOEL<br>Mouse: 100 ppm; 16 mg/kg bw/d (90 d: mortality after ether narcosis; ether dose and duration of narcosis not specified) |
| Lowest relevant dermal NOAEL / LOAEL     | NOAEL >1000 mg/kg bw (M+F) ( 28 d rat)   |
| Lowest relevant inhalation NOAEL / LOAEL | No data, no study required   |

**Genotoxicity**

|                        |
|------------------------|
| No genotoxic potential |
|------------------------|

**Chronic Toxicity/Carcinogenicity**

|                          |  |
|--------------------------|--|
| Target / critical effect | Interstitial ovarian gland hyperplasia, bw |
|--------------------------|--|

|                              |                                      |
|------------------------------|--------------------------------------|
|                              | effects, feed consumption (2 yr rat) |
| Lowest relevant NOAEL / NOEL | NOAEL: 9.7 mg/kg bw/d                |
| Carcinogenicity              | No carcinogenic potential            |

### Reproductive toxicity

|  |   |
|--|---|
| Species/ Reproduction target / critical effect | Rat: slight effects on sperm motility and morphology; increased stillborn pup incidence; decreased perinatal viability, decreased birth weight and postnatal body weight gain, delayed male sexual maturation |
| Lowest relevant reproductive NOAEL / LOAEL     | NOAEL <u>parental</u> : 31/37 mg/kg bw/d, (M/F)<br>NOAEL <u>reproduction</u> : 31 mg/kg bw/d<br>NOAEL <u>offspring</u> : 10 mg/kg bw/d  |
| Species/Developmental target / critical effect | Rabbit: abnormalities of lung lobation, embryo lethality, decreased foetal weight, decreased ossification<br>Rat: postnatal growth and development  |
| Lowest relevant developmental NOAEL / LOAEL    | NOAEL <u>maternal</u> : 25 mg/kg bw/d (rabbit)<br>NOAEL <u>foetal</u> : 25 mg/kg bw/d (rabbit)  |

### Neurotoxicity / Delayed neurotoxicity

|                                 |   |
|---------------------------------|---|
| Species/ target/critical effect | <i>Rat:</i><br><i>Acute neurotoxicity NOAEL: 60 mg/kg bw/d (tremors, locomotor activity, hypothermia)</i><br><u>Short-term</u> neurotoxicity NOAEL: 177 mg/kg bw/d<br><u>Developmental</u> neurotoxicity NOAEL: 43 mg/kg bw/d (startle habituation, motor activity) |
| Lowest relevant NOAEL / LOAEL.  | 43 mg/kg bw/d   |

### Other toxicological studies

|                                   |   |
|-----------------------------------|---|
| Metabolite data                   | TZNG: LD <sub>50</sub> (M/F) >1450/1481 mg/kg bw<br>TMG: LD <sub>50</sub> (M/F) <550/567 mg/kg bw<br>TZMU: LD <sub>50</sub> (M/F) 1424/1282 mg/kg bw<br>MG: LD <sub>50</sub> (M/F) 550/446 mg/kg bw<br>MNG, TZNG, TMG, TZMU, MG: no genotoxic potential<br>Slight enzymatic induction potential in the liver; no influence on thyroid hormone activity (T <sub>3</sub> , T <sub>4</sub> , TSH) in 90d rat study<br>Effects consistent with nicotinic CNS- |
| Acute toxicity                    |   |
| Genotoxicity                      |   |
| Investigation on enzyme induction |   |
| Pharmacological studies           |   |



stimulation and depression

Mouse: decreased activity, increased hexobarbital-induced sleeping time, decreased intestinal transport, decreased hindlimb support

Overall NOAEL : 25 mg/kg

### Medical data

.....  
.....

No data: new compound

### Summary

#### Non-professional user

AEL<sub>acute</sub>\*

AEL<sub>medium-term</sub>\*

AEL<sub>long-term</sub>\*

ADI (if residues in food or feed)\*\*\*

ARfD (if residues in food or feed)\*\*\*

#### Professional user

Reference value for inhalation (proposed OEL)

Reference value for dermal application

| Value          | Study   | Safety factor  |
|----------------|---|----------------|
| 0.25 mg/kg bw  | Pharmacology study, mouse                                       | 100            |
| 0.2 mg/kg bw/d | 90-d dog, supported by 90-d rat and embryotoxicity rabbit       | 100 / > 90 %** |
| 0.1 mg/kg bw/d | 2-yr rat, supported by 2-gen. rat                               | 100            |
| 0.1 mg/kg bw/d | 2-yr rat, supported by 2-gen. rat                               | 100            |
| 0.25 mg/kg bw  | Pharmacology study, mouse                                       | 100            |
| not determined |   |                |
| not determined | for Tier 1 risk assessment the AEL <sub>long-term</sub> is used | n.a.           |

\* AEL: Systemic (= Internal) Acceptable Exposure Level

\*\* Oral absorption

\*\*\* Not relevant for approval of clothianidin PT 18

### Acceptable exposure scenarios (including method of calculation)

Professional users

Production of active substance:

No evaluation. Clothianidin is produced outside the EU.

|   |  |                               |
|---|--|-------------------------------|
| Formulation of biocidal product   | No evaluation under the requirements of the BPD. OSH standards of the chemical industry are presumed.    |                               |
| <b>Intended use: spraying</b>   | Conc. biocidal product: 2.6 % a.s.(mixing and loading) and 0.87% a.s. (application and post-application) |                               |
| <p>Mixing &amp; loading:<br/>opening can, mixing and diluting b.p., loading sprayer, priming pump and spray line<br/>Model: TNsG Human Exposure Model 5<br/>Spraying</p> <p>Application:<br/>Spray pressure: 1-3 bar, indoor use<br/>Form of exposure: aerosol during spraying<br/>Duration spraying: 80 min/d<br/>Frequency: once every 6 weeks, max. 5 times a year<sup>1</sup><br/>No PPE<br/>Model: TNsG Human Exposure Model 1<br/>Spraying</p> <p>Post-application:<br/>Unblock spray nozzle, cleaning<br/>Model post-application:RISKOFDERM DEO unit 1</p> | Potential inhalation exposure (application)  | 0.151 mg/m <sup>3</sup>       |
|   | Potential dermal exposure (all phases)   | 230.6 mg/person/day           |
| <b>Intended use: brushing</b>   | Conc. biocidal product 2.6 % a.s.  |                               |
| <p>Mixing and loading: opening can only (ready-to-use product)</p> <p>Application:<br/>Brushing in animal housing<br/>Duration: 160 min/d<br/>Frequency: once every 6 weeks, max. 5 times a year<sup>1</sup><br/>No PPE<br/>Model: TNsG Human Exposure Model 3<br/>Consumer Product Painting</p> <p>Post application:<br/>cleaning of the brush by rinsing and squeezing with cleaning rag<br/>Model: Exposure calculation based on an approach by the CA of Finland for</p>  | Potential inhalation exposure (all phases)   | negligible (expert judgement) |
|   | Potential dermal exposure (all phases)   | 118.5 mg/person/day           |

|   |  |                    |
|---|--|--------------------|
| Tolyfluanid   |  |                    |
| Secondary exposure  | Working in animal housing  |                    |
| Contact with active substance during typical work in animal housing   | Potential inhalation exposure  | negligible         |
| Form of exposure: contact with treated wall surfaces or dust  | Potential dermal exposure  | 72.4 mg/person/day |
| Duration: shift   |  |                    |
| Frequency: incidental   |  |                    |
| Model: expert judgement on the basis of 2.7 kg a.s. used for 1567 m <sup>2</sup> wall and roof area, palm of both hands (420 cm <sup>2</sup> ) could be exposed |  |                    |
| Non-professional users  | Acute exposure acceptable<br>Internal dose, adults, painting cards: 11% of AEL <sub>acute</sub><br>Medium-term exposure acceptable<br>Internal dose, adults, painting cards: 14% of AEL <sub>medium-term</sub> |                    |
| Indirect exposure as a result of use  | Exposure not expected if applied as intended   |                    |

- (1) It cannot be excluded that the product is used by professional pest control operators. In that case the frequency of use is estimated to be **3 times per week on a regular basis**, which would increase the concomitant exposure respectively.

## Chapter 4: Fate and Behaviour in the Environment

### Route and rate of degradation in water

Hydrolysis of active substance and relevant metabolites (DT<sub>50</sub>) (state pH and temperature)

pH 5 and 50°C: hydrolytically stable

pH 7 and 50°C: hydrolytically stable

pH 9 and 50°C: DT<sub>50</sub> = 14.4 d

pH 9 and 20°C: DT<sub>50</sub> = 1401 d (according to Arrhenius equation)

Metabolites at pH 9

CTNU<sup>2</sup>, TZMU<sup>3</sup>, ACT•HCL<sup>4</sup> (formed only at elevated temperatures)

Photolytic degradation of active substance and resulting relevant metabolites

DT<sub>50</sub> = 3.3 h (experimental value), pH 7, artificial light with UV filter (λ = 290 nm)

Major degradation products (> 10 % of the applied radioactivity): TZMU, MG<sup>5</sup>, HMIO, FA, MU, CO<sub>2</sub>.

Modelled DT<sub>50</sub> for the 50<sup>th</sup> degree of latitude: up to 23.4 d

<sup>2</sup> N-(2-chlorothiazol-5-ylmethyl)-N'-nitrourea

<sup>3</sup> N-(2-chlorothiazol-5-ylmethyl)-N'-methylurea

<sup>4</sup> 2-chlorothiazol-5-ylmethylamine hydrochloride

<sup>5</sup> methylguanidine

|   |  |
|---|--|
| Quantum yield of direct phototransformation in water at $\Sigma > 290$ nm | 0.014  |
| Readily biodegradable (yes/no)  | No   |
| Biodegradation in seawater  | Not relevant for intended use  |
| Non-extractable residues  | Aerobic: 27.6 – 43.3 % after 100 d<br>Anaerobic: 80.9 % after 360 d  |
| Distribution in water / sediment systems (active substance)               | <p><u>Aerobic at 20°C in the dark, 100 d:</u></p> <p>Water: max. 92.3 % (day 0); decline to 8.8 % (day 100)</p> <p>Sediment: max. 37.3 % (day 7)</p> <p>Water phase: DT<sub>50 persistence</sub> (dissipation) = 30.8 and 49.8d</p> <p>Entire system: DT<sub>50 persistence</sub> = 76.6. d and 57.6 d (recal.)</p> <p><u>converted to average EU outdoor temperature of 12°C:</u></p> <p>Water phase: DT<sub>50 persistence</sub> (dissipation, 12°C) = 58.4 and 94.4d</p> <p>Entire system: DT<sub>50 persistence</sub> (12°C) = 145.3 d and 109.2 d (recal.)</p> <p>Mineralisation: &lt; 4.5 % after 100d</p> <p><u>Anaerobic at 20°C in the dark, 360 d:</u></p> <p>Water: max. 87.4 % (day 0); decline to 1 % (day 90)</p> <p>Sediment: max. 41.2 % (day 3)</p> <p>Water phase: DT<sub>50</sub> (dissipation) = 4 d</p> <p>Entire system: DT<sub>50</sub> = 21 d</p> <p>Converted to average EU outdoor temperature of 12°C:</p> <p>Water phase: DT<sub>50</sub> (dissipation, 12°C) = 7.6 d</p> <p>Entire system: DT<sub>50</sub> (12°C) = 40 d</p> <p>Mineralisation &lt; 0.1 %</p> |
| Distribution in water / sediment systems (metabolites)                    | <p>Aerobic:</p> <p>Water: no metabolite detected</p> <p>Sediment: TMG<sup>6</sup> at max. level of 22.9 % (day 58)</p>   |

<sup>6</sup> N-(2-chlorothiazol-5-ylmethyl)-N'-methylguanidine

|   |   |
|---|---|
|   | <p>Anaerobic:<br/> Water: no metabolite detected<br/> Sediment: no metabolite &gt; 5 %</p>  |
| Distribution in manure systems (active substance) | <p>Anaerobic (veal calf, pig) and aerobic incubation (chicken, but this manure was anaerobic as well) at 20°C in the dark, 181 d max. 96-99 % AR (day 0); decline to not detectable (day 181)<br/> DT<sub>50</sub> = 13.4 – 31.6 d (20°C, recal.)<br/> DT<sub>50</sub> = 25.4 – 59.9 d (12°C, recal.)<br/> NER: max. 38 – 51 % AR<br/> Mineralisation: &lt; 5 % AR after 181d</p> |
| Distribution in manure systems (metabolites)      | <p>TMG: max. level of 55-58 %<br/> DT<sub>50</sub> = 136.7-198.0 d (20°C, recal.)<br/> DT<sub>50</sub> = 259.2 – 375.5 d (12°C, recal.)<br/> Other metabolites were only minor metabolites and were not identified.</p>   |

### Route and rate of degradation in soil

|  |  |
|--|--|
| Mineralization (aerobic)   | <p>max. 8.8% after 90 d<br/> max. 11.2% after 120d<br/> max. 14.8 % after 365 d</p>  |
| Laboratory studies (range or median, with number of measurements, with regression coefficient) | <p>DT<sub>50lab</sub> (20°C, aerobic): 143 d – &gt; 1 year (9 soils, geometric mean= 518 d, R<sup>2</sup> = 0.72 – 0.99)<br/> DT<sub>50lab</sub> (converted to 12°C, aerobic): 271 d – &gt;&gt; 1 year<br/> (9 soils, geometric mean= 983 d)</p>   |
|  | DT <sub>90lab</sub> (20°C, aerobic): ---   |
|  | DT <sub>50lab</sub> (10°C, aerobic): ---   |
|  | DT <sub>50lab</sub> (20°C, anaerobic): ---   |
| Field studies (state location, range or median with number of measurements)                    | <p>Clothianidin:<br/> DT<sub>50field,trigger</sub> (12°C, geometric mean, n = 8, recal.) = 77.1 d<br/> DT<sub>90field,trigger</sub> (12°C, geometric mean, n = 8, recal.) = 1284.7 d<br/> DT<sub>50field,modelling</sub> (12°C, geometric mean, n = 8, recal.) = 429.8 d<br/> After 24 months 19 % (bare soils), 8 % and 31 % (cropped soils) of the applied amount based on the active substance were recovered from the soil</p> |

Non-extractable residues (Bound residues)

max. 7.5 % after 90 d  
max. 9.4 % after 120 d  
max. 12.8 % after 365 d

Relevant metabolites - name and/or code, % of applied a.i. (range and maximum)

MNG:  
Aerobic at 20°C in the dark, 120 d  
Max. 10.7 % after 120d (4 soils)  
DT<sub>50</sub> = 82 – 108 d (3 soils)  
DT<sub>50</sub> (converted to 12°C): 156 – 205 d  
Mineralization: max. 13 % after 90 d, max. 17 % after 120 d  
Bound residues: max. 14 % after 90 d, max. 16 % after 120d

TZNG:  
Aerobic at 20°C in the dark, 120 d  
Max. 9.1 % after 120 d (4 soils)  
DT<sub>50</sub> = 62 – 111 d (3 soils)  
DT<sub>50</sub> (converted to 12°C): 118 – 211 d  
Mineralization: max. 15 % after 90 d; max. 19 % after 120 d  
Bound residues: max. 14 % after 90 d, max. 16 % after 120 d

### Adsorption/desorption

#### Parent compound:

Ka, Kd, Ka/Kd

Ka (n=5): 0.52 – 4.14 ml g<sup>-1</sup> (arithmetic mean: 1.7 ml g<sup>-1</sup>), 1/n: 0.81 – 0.87;  
Kd (n=5): 0.62 – 4.58 ml g<sup>-1</sup> (arithmetic mean: 1.9 ml g<sup>-1</sup>), 1/n: 0.81 – 0.88;  
Ka/Kd (n=5): 0.69 – 0.90;

Ka<sub>oc</sub>, Kd<sub>oc</sub>

Ka<sub>oc</sub> (n=5): 84 – 345 ml g<sup>-1</sup> (arithmetic mean: 160 ml g<sup>-1</sup>);  
Kd<sub>oc</sub> (n=5): 95 – 382 ml g<sup>-1</sup> (arithmetic mean: 188 ml g<sup>-1</sup>)

pH dependence

No

#### Metabolites:

##### MNG:

Ka, Kd, Ka/Kd

Ka (n=5): 0.02 – 0.37 ml g<sup>-1</sup> (arithmetic mean: 0.17 ml g<sup>-1</sup>), 1/n: 0.70 – 1.10;  
Kd (n=3): 0.15 – 0.48 ml g<sup>-1</sup> (arithmetic

|                                     |   |
|-------------------------------------|---|
|                                     | mean: 0.33 ml g <sup>-1</sup> ), 1/n: 0.88 – 0.97;<br>Ka/Kd (n=3): 0.72 – 1.27;   |
| Ka <sub>oc</sub> , Kd <sub>oc</sub> | Ka <sub>oc</sub> (n=5): 5.2 – 34.3 ml g <sup>-1</sup> (arithmetic mean: 21 ml g <sup>-1</sup> );<br>Kd <sub>oc</sub> (n=3): 13.0 – 44.0 ml g <sup>-1</sup> (arithmetic mean: 31 ml g <sup>-1</sup> )  |
| <b>TZNG:</b><br>Ka, Kd, Ka/Kd       | Ka (n=5): 0.63 – 4.71 ml g <sup>-1</sup> (arithmetic mean: 2.3 ml g <sup>-1</sup> ), 1/n: 0.78 – 0.90;<br>Kd (n=5): 0.83 – 5.75 ml g <sup>-1</sup> (arithmetic mean: 2.7 ml g <sup>-1</sup> ), 1/n: 0.79 – 0.90;<br>Ka/Kd (n=5): 0.76 – 0.88; |
| Ka <sub>oc</sub> , Kd <sub>oc</sub> | Ka <sub>oc</sub> (n=5): 205 – 433 ml g <sup>-1</sup> (arithmetic mean: 276 ml g <sup>-1</sup> );<br>Kd <sub>oc</sub> (n=5): 271 – 527 ml g <sup>-1</sup> (arithmetic mean: 340 ml g <sup>-1</sup> )   |

**Mobility in soil**

Lysimeter studies

3 lysimeters: undisturbed soil, 1 m<sup>2</sup> surface, 1.3 m depth, sandy loam, 1.8 % organic C, pH 6.6

Lysimeter 1: cereals, application of a.s. 100 g in year 1 and 138 g in year 2, annual rainfall: 878 – 912 mm

Lysimeter 2 and 3: grass, application of a.s. 160 g in yer 1 and 2, annual rainfall: 847 – 930 mm

Leachates: a.s.: not found, MNG: max. 0.066 µg L<sup>-1</sup>, TZNG: not found, NTG: max. 0.031 µg L<sup>-1</sup>, U3 (unknown metabolite): 0.072 µg L<sup>-1</sup>

**Fate and behaviour in air**

Phototransformation in air

Estimation method (AOPWIN) with 24-hours-mean-day concentration of 5x10<sup>5</sup> OH radical cm<sup>-3</sup>

Half-life: 2.8 h

Chemical lifetime: 4.1 h

Volatilization

Not relevant (refer to chapter 1, Henry's law constant)

**Monitoring data, if available**

|   |      |
|---|------|
| Soil (indicate location and type of study)          | n.a. |
| Surface water (indicate location and type of study) | n.a. |
| Ground water (indicate location and type of study)  | n.a. |
| Air (indicate location and type of study)           | n.a. |

## Chapter 5: Effects on Non-target Species

### Toxicity data for aquatic species (most sensitive species of each group)

| Species                                      | Time-scale | Endpoint                       | Toxicity  |
|--|------------|--------------------------------|---|
| <b>Fish</b>                                  |            |                                |   |
| <i>Oncorhynchus mykiss</i>                   | 96 h       | mortality                      | LC <sub>50</sub> > 100 mg/L   |
| <i>Pimephales promelas</i>                   | 33 d       | hatching, mortality and growth | NOEC ≥ 20 mg/L  |
| <b>Invertebrates</b>                         |            |                                |   |
| <i>Daphnia magna</i>                         | 48 h       | immobility                     | EC <sub>50</sub> = 26 *mg/L   |
| <i>Daphnia magna</i>                         | 21 d       | mortality, reproduction        | NOEC = 0.12 mg/L  |
| <i>Chironomus riparius</i>                   | 48 h       | mortality                      | EC <sub>50</sub> = 0.029 mg/L   |
| <i>Chironomus riparius</i>                   | 28 d       | emergence, development         | EC <sub>10</sub> = 0.00065 mg/L (based on nominal conc.)<br>EC <sub>10</sub> = 0.0004 mg/L (based on mean measured conc.) |
| <b>Algae</b>                                 |            |                                |   |
| <i>Selenastrum capricornutum</i>             | 96 h       | growth inhibition              | E <sub>b</sub> C <sub>50</sub> = 55 mg/L<br>NOEC = 15 mg/L  |
| <b>Microorganisms</b>                        |            |                                |   |
| Activated sludge from sewage treatment plant | 3 h stat.  | respiration inhibition         | EC <sub>50</sub> > 1000 mg/L  |
| <b>Freshwater species community</b>          |            |                                |   |



|  |          |          |               |
|--|----------|----------|---------------|
| Sediment dwelling organisms, phytoplankton and zooplankton | 14 weeks | mesocosm | NOEC = 1 µg/L |
|--|----------|----------|---------------|

\* = in the evaluation of the same test after PPPD an EC50 of 40 mg/L was derived. However 70 % effect was reported at 32 mg/L test concentration. Therefore a recalculation of the EC50 value was performed resulting in an EC50 of 26 mg/L.

### Effects on earthworms or other soil non-target organisms

|   |   |
|---|---|
| Acute toxicity to <i>earthworms</i>   | <i>Eisenia foetida</i><br>LC <sub>50</sub> (14 d) = 13.21 mg/kg dwt soil (mortality)  |
| Long-term toxicity to earthworms  | <i>Eisenia foetida</i><br>NOEC (56 d) = 0.2 mg/kg dwt soil * (mortality, reproduction)  |
| Long-term toxicity to earthworms: field study<br>(Annex IIIA, point XIII.3.2) | Natural earthworm population<br>NOEC (1 year) = 0.15 mg/kg dw** (total number of earthworms/ total biomass/ number of individual species) |
| Long-term toxicity to other soil non-target macro-organisms                   | <i>Folsomia candida</i><br>NOEC (28 d) = 0.32 mg/kg dwt soil (mortality, reproduction)  |

\*assuming a soil depth of 5 cm (used in the test system) and a soil density of 1500 kg/m<sup>3</sup> for dry soil

\*\*assuming a soil depth of 10 cm (default) and a soil density of 1500 kg/m<sup>3</sup> for dry soil

### Effects on soil micro-organisms

|                         |   |
|-------------------------|---|
| Nitrogen mineralization | < 25% effects at 0.1 mg and 0,5 mg a.s.*<br>No significant effects after 28 days<br>NOEC = 0.5 mg/kg dwt soil |
| Carbon mineralization   | < 25% effects at 0.1 mg and 0,5 mg a.s.*<br>No significant effects after 28 days<br>NOEC = 0.5 mg/kg dwt soil |

\*assuming a soil depth of 10 cm and a soil density of 1500 kg/m<sup>3</sup> for dry soil

### Effects on terrestrial vertebrates

|                                |  |
|--------------------------------|--|
| Acute toxicity to mammals      | Section A6.1   |
| Short term toxicity to mammals | Section A6.3   |
| Acute toxicity to birds        | <i>Coturnix japonica</i><br>LC(D) <sub>50</sub> = 430 mg/kg bw           |
| Dietary toxicity to birds      | <i>Anas platyrhynchos</i><br>LC(D) <sub>50</sub> (5 d) > 5200 mg/kg food |
| Reproductive toxicity to birds | <i>Colinus virginianus, Anas platyrhynchos</i>                           |

|                                    |
|------------------------------------|
| NOEC (147 d) $\geq$ 500 mg/kg food |
|------------------------------------|

**Effects on terrestrial plants**

Acute toxicity to plants (10 species)

|  |
|--|
| NOEC (15 d) $\geq$ 0.15 mg/kg dwt soil*<br>(emergence, growth) |
|--|

Acute toxicity to plants (10 species)

|  |
|--|
| NOEC (15 d) $\geq$ 0.15 mg/kg dwt soil*<br>(growth, phytotoxicity) |
|--|

\*assuming a soil depth of 10 cm (default) and a soil density of 1500 kg/m<sup>3</sup> for dry soil**Effects on honeybees**

Acute oral toxicity

|                                  |
|----------------------------------|
| LD50 (48 h) = 0.0038 $\mu$ g/bee |
|----------------------------------|

Acute contact toxicity

|                                 |
|---------------------------------|
| LD50 (48 h) = 0.044 $\mu$ g/bee |
|---------------------------------|

**Effects on other beneficial arthropods***Poecilus cupreus* (larvae)

|  |
|--|
| NOEC (77d ) = 0.02 mg/kg dw (mortality,<br>development time and adult body weight) |
| LC <sub>50</sub> (77d) = 0.046 mg/kg dw  |

**Bioconcentration**

Bioconcentration factor (BCF)

|                                    |
|------------------------------------|
| BCF <sub>fish</sub> = 0.78 (calc.) |
|------------------------------------|

|  |
|--|
| BCF <sub>earthworm</sub> = 0.9 (calc.) |
|--|

Depuration time

|      |
|------|
| n.d. |
|------|

(DT<sub>50</sub>)(DT<sub>90</sub>)Level of metabolites (%) in organisms  
accounting for > 10 % of residues

|      |
|------|
| n.d. |
|------|

## Appendix II: List of Intended Uses

| Object and/or situation<br>(a)                             | Member State or Country | Product name | Organisms controlled<br>(c) | Formulation       |                                   | Application          |                                   |  | Applied amount per treatment   |  |                         | Remarks<br>(m) |
|--|-------------------------|--------------|-----------------------------|-------------------|-----------------------------------|----------------------|-----------------------------------|--|--------------------------------|--|-------------------------|----------------|
|  |                         |              |                             | Type<br>(d-f)     | Conc. of a.s. <sup>s</sup><br>(i) | method kind<br>(f-h) | number min max<br>(k)             | interval between applications<br>(min) | g a.s./l<br>min max            | mg as/m <sup>2</sup><br>min max        |                         |                |
| Fly control in stables and domestic premises <sup>**</sup> | EU                      | xxx          | <i>Musca-spp</i> (flies)    | SL (ready-to-use) | 26g/L                             | painting,            | Max 5 times during the fly season | 6 weeks                                | 26g/L ready-to-use formulation | 500 mL / 200m <sup>2</sup> stable area | 0.065 mg/m <sup>2</sup> |                |
| Fly control in stables                                     | EU                      | xxx          | <i>Musca-spp</i> (flies)    |                   | 8g/L                              | spraying             | Max 5 times during the fly season | 6 weeks                                | 8.7 g/L dilution               | 1500 mL/ 200m <sup>2</sup> stable area | 0.065 mg/m <sup>2</sup> |                |

<sup>\*\*</sup> A biocidal product for consumer use (household insecticide) is not yet placed on the market. The prospective intended use is, however, described as follows: The product (paste formulation identical to Stallfliegenmittel Alba) will be coated onto a carrier material. A collecting pan for dead insects will be included in the product, enabling disposal of killed target insects via domestic waste. Releases of the a.i. and/or of the coating from the carrier material are not foreseen. Upon desiccation after a few weeks, the coating may be renewed by the user, as appropriate, using a disposable smearing tool added to the packaging. At the end of its service life, the product (i.e. carrier material including original and possibly supplemented coating) is foreseen to be disposed of via domestic waste.

## Appendix III: Human Health Tables for Risk Characterisation

**Table 1: Professional Users – Primary Exposure**

| Exposure Scenario<br>(indicate duration)                    | Estimated Internal Exposure  |   |   |   | Relevant<br>NOAEL/<br>LOAEL<br>[mg/kg<br>b.w./day]<br>&<br>Reference Value<br>e.g: AEL<br>(acute or<br>medium<br>or<br>chronic) | AF<br>MOE <sub>r</sub><br>ef | MOE  | Exposure<br>/AEL |
|---|--|---|---|---|---|------------------------------|------|------------------|
|   | estimated oral uptake<br>[mg/kg<br>b.w./day]   | estimated inhalation uptake<br>[mg/kg<br>b.w./day] <sup>(1)</sup> | estimated dermal uptake<br>[mg/kg<br>b.w./day] <sup>(2)</sup> | estimated total uptake<br>[mg/kg<br>b.w./day] |   |                              |      |                  |
| <b>Application of biocidal product SPU-02000-I-SC</b>       |  |   |   |   |   |                              |      |                  |
| <b>Brushing (scenario 2)</b>                                |  |   |   |   |   |                              |      |                  |
| <b>Tier 1<br/>(no PPE)</b>                                  | Mixing&loading ready-to-use paste (2.6 % active substance) once every 6 weeks, max. 5 times a year <sup>(3)</sup> : opening can only   | -   | -   | -   | NOAEL= 9.7 mg/kg b.w./day   | 100                          | -    | -                |
|   | <b>Application</b><br>ready-to-use paste (2.6 % active substance) once every 6 weeks, max. 5 times a year <sup>(3)</sup> : brushing in animal housing, 2.7 l biocidal product on average wall and roof area (1567 m <sup>2</sup> ), 160 min. | -   | <b>0.03</b>   | <b>0.03</b>                                   | AEL long-term =0.1 mg/kg b.w./day   |                              | 323  | 0.3              |
|   | <b>Post-application</b><br>ready-to-use paste (2.6 % active substance) once every 6 weeks, max. 5 times a year <sup>(3)</sup> : cleaning of the brush by rinsing and squeezing with cleaning rag   | -   | <b>0.006</b>  | <b>0.006</b>                                  |   |                              | 1616 | 0.06             |
|   | Total  | -   | <b>0.04</b>   | <b>0.04</b>                                   |   |                              | 242  | 0.4              |
| <b>Tier 2</b><br>(Refinement, PPE or other risk mitigation) | Tier 2 is not required   |   |   |   |   |                              |      |                  |

| measures<br>– Specify)       |   |                           |             |       |       |                                   |           |           |       |
|------------------------------|---|---------------------------|-------------|-------|-------|-----------------------------------|-----------|-----------|-------|
| <b>Spraying (scenario 3)</b> |   |                           |             |       |       |                                   |           |           |       |
| <b>Tier 1</b><br>(no PPE)    | <b>Mixing&amp;loading</b><br>(2.6 % active substance) once every 6 weeks, max. 5 times a year <sup>(3)</sup> opening can + mixing and diluting product: 0.5 l biocidal product in 1 l water   |                           | -           | 0.01  | 0.01  | NOAEL= 9.7 mg/kg b.w./day         | 100       | 970       | 0.1   |
|                              | <b>Application</b><br>once every 6 weeks, max. 5 times a year <sup>(3)</sup> : (0.87 % active substance) low pressure spraying (1-3 bar) in animal housing:2.7 l biocidal product (undiluted equivalent) on average wall and roof area (1567 m <sup>2</sup> ), 80 min |                           | <b>0.03</b> | 0.06  | 0.09  | AEL long-term =0.1 mg/kg b.w./day |           | 108       | 0.9   |
|                              | <b>Post-application</b><br>once every 6 weeks, max. 5 times a year <sup>(3)</sup> : (0.87 % active substance) unblock spray nozzle and cleaning, 5 min, no generation of splashes or aerosols   |                           | -           | 0.001 | 0.001 |                                   |           | 1212<br>5 | 0.008 |
|                              | <b>Total</b>  |                           | <b>0.03</b> | 0.08  | 0.11  |                                   |           | 88        | 1.1   |
|                              | <b>Tier 2</b><br>(Refinement, PPE or other risk mitigation measures – Specify)  | <b>Mixing&amp;loading</b> |             | -     | 0.002 | 0.002                             |           |           | 4850  |
|                              | <b>Application</b>  |                           | 0.03        | 0.06  | 0.09  |                                   | 108       | 0.9       |       |
|                              | <b>Post-application</b>   |                           | -           | 0.001 | 0.001 |                                   | 1212<br>5 | 0.008     |       |
|                              | <b>Total</b>  |                           | 0.03        | 0.07  | 0.097 |                                   | 100       | 0.97      |       |

(1) 100 % inhalative absorption, breathing volume of 10 m<sup>3</sup> per shift

(2) 2 % systemic availability after dermal exposure

(3) It cannot be excluded that the product is used by professional pest control operators. In that case the frequency of use is estimated to be **3 times per week on a regular basis**, which would increase the concomitant exposure respectively

**Table 2: Non Professional Users – Primary Exposure**

| Exposure Scenario<br>(indicate duration)                                 |   | Estimated Internal Exposure                  |  |  |   | Relevant<br>NOAEL/<br>LOAEL<br>[mg/kg<br>b.w./day]<br>&<br>Reference<br>Value<br>e.g.: AEL<br>(acute or<br>medium<br>or<br>chronic) | AF<br>MOE <sub>ref</sub> | MOE | Exposure<br>/AEL |
|--|---|--|--|--|---|---|--------------------------|-----|------------------|
|  |   | estimated oral uptake<br>[mg/kg<br>b.w./day] | estimated inhalation uptake<br>[mg/kg<br>b.w./day] | estimated dermal uptake<br>[mg/kg<br>b.w./day] | estimated total uptake<br>[mg/kg<br>b.w./day] |   |                          |     |                  |
| <b>Tier 1</b><br>(no PPE)  | Application of SPU-2000-I-SC (acute or medium-term) | -  | -  | 0.027  | -   | 0.25<br>(AEL <sub>acute</sub> )   | 100                      | 926 | 0.11             |
|  |   |  |  |  |   | 0.20<br>(AEL <sub>mid-term</sub> )  | 100                      | 741 | 0.14             |
| <b>Tier 2</b><br>Refinement or other risk mitigation measures – Specify) | Not required  |  |  |  |   |   |                          |     |                  |

**Table 3: Indirect Exposure as a result of use - Secondary Exposure (non-professionals / bystander)**

| Exposure Scenario<br>(indicate duration)           |                 | Estimated Internal Exposure                       |   |   |  | Relevant<br>NOAEL/<br>LOAEL<br>[mg/kg<br>b.w/day]<br>&<br>Reference<br>Value<br>e.g: AEL<br>(acute or<br>medium<br>or<br>chronic) | AF<br>MOE <sub>ref</sub> | MOE | Exposure<br>/AEL |
|--|-----------------|---|---|---|--|---|--------------------------|-----|------------------|
|  |                 | estimated<br>oral<br>uptake<br>[mg/kg<br>b.w/day] | estimated<br>inhalation<br>uptake<br>[mg/kg<br>b.w/day] | estimated<br>dermal<br>uptake<br>[mg/kg<br>b.w/day] | estimated<br>total<br>uptake<br>[mg/kg<br>b.w/day] |   |                          |     |                  |
| <b>Tier 1 (Worst case)<br/>Short Term Scenario</b> | Not<br>expected |   |   |   |  |   |                          |     |                  |
|  |                 |   |   |   |  |   |                          |     |                  |
| Exposure Scenario<br>(indicate duration)           |                 | Estimated Internal Exposure                       |   |   |  | Relevant<br>NOAEL/<br>LOAEL<br>[mg/kg<br>b.w/day]<br>&<br>Reference<br>Value<br>e.g: AEL<br>(acute or<br>medium<br>or<br>chronic) | AF<br>MOE <sub>ref</sub> | MOE | Exposure<br>/AEL |
|  |                 | estimated<br>oral<br>uptake<br>[mg/kg<br>b.w/day] | estimated<br>inhalation<br>uptake<br>[mg/kg<br>b.w/day] | estimated<br>dermal<br>uptake<br>[mg/kg<br>b.w/day] | estimated<br>total<br>uptake<br>[mg/kg<br>b.w/day] |   |                          |     |                  |
| <b>Tier 2<br/>(Refinement –<br/>Specify)</b>       | Not<br>required |   |   |   |  |   |                          |     |                  |
|  |                 |   |   |   |  |   |                          |     |                  |

**Table 4: Indirect Exposure as a result of use - Secondary Exposure**

| Exposure Scenario<br>(indicate duration)                          | Estimated Internal Exposure  |  |  |   | Relevant<br>NOAEL/<br>LOAEL<br>[mg/kg<br>b.w./day]<br>&<br>Reference<br>Value<br>e.g: AEL<br>(acute or<br>medium<br>or<br>chronic) | AF<br>MOE <sub>ref</sub>  | MOE | Exposure<br>/AEL |     |
|---|--|--|--|---|--|---|-----|------------------|-----|
|   | estimated<br>oral<br>uptake<br>[mg/kg<br>b.w./day]   | estimated<br>inhalation<br>uptake<br>[mg/kg<br>b.w./day] | estimated<br>dermal<br>uptake<br>[mg/kg<br>b.w./day] | estimated<br>total<br>uptake<br>[mg/kg<br>b.w./day] |  |   |     |                  |     |
| <b>Tier 1 (Worst case)<br/>Chronic Scenario</b>                   | Working in<br>animal<br>housing<br><br>Incidental<br>contact<br>with active<br>substance<br>on wall<br>surfaces<br>during<br>typical<br>work in<br>animal<br>housing | -  | -  | 0.02  | 0.02   | NOAEL=<br>9.7<br>mg/kg<br>b.w./day<br><br>AEL<br>long-term<br>=0.1<br>mg/kg<br>b.w./day | 100 | 485              | 0.2 |
| Exposure Scenario<br>(indicate duration)                          | Estimated Internal Exposure  |  |  |   | Relevant<br>NOAEL/<br>LOAEL<br>[mg/kg<br>b.w./day]<br>&<br>Reference<br>Value<br>e.g: AEL<br>(acute or<br>medium<br>or<br>chronic) | AF<br>MOE <sub>ref</sub>  | MOE | Exposure<br>/AEL |     |
| estimated<br>oral<br>uptake<br>[mg/kg<br>b.w./day]                | estimated<br>inhalation<br>uptake<br>[mg/kg<br>b.w./day]   | estimated<br>dermal<br>uptake<br>[mg/kg<br>b.w./day]     | estimated<br>total<br>uptake<br>[mg/kg<br>b.w./day]  |   |  |   |     |                  |     |
| <b>Tier 2<br/>(Refinement –<br/>Specify)<br/>Chronic Scenario</b> | Not required   |  |  |   |  |   |     |                  |     |



## Appendix IV – List of Terms and Abbreviations

| Stand. term / Abbreviation | Explanation  |
|----------------------------|--|
| % AR                       | Percent of applied radioactivity                     |
| $\varepsilon$              | decadic molar extinction coefficient                 |
| $\geq$                     | greater than or equal to                             |
| $\leq$                     | less than or equal to                                |
| (Q)SAR                     | quantitative structure-activity relationship         |
| <                          | less than  |
| >                          | greater than   |
| °C                         | degrees Celsius (centigrade)                         |
| $\mu\text{g}$              | microgram  |
| $\mu\text{L}$              | microlitre   |
| $\mu\text{m}$              | micrometre (micron)                                  |
| a                          | year   |
| A/G                        | albumin/globulin ratio                               |
| ACh                        | acetylcholine  |
| AChE                       | acetylcholinesterase                                 |
| ACT•HCl                    | 2-chlorothiazol-5-ylmethylamine hydrochloride        |
| ADI                        | acceptable daily intake                              |
| ADME                       | administration distribution metabolism and excretion |
| AF                         | Assessment factors                                   |
| ai                         | active ingredient                                    |
| ALD <sub>50</sub>          | approximate median lethal dose, 50%                  |
| ALT                        | alanine aminotransferase (SGPT)                      |
| <i>Ann.</i>                | Annex  |
| ANOVA                      | analysis of variance                                 |
| AOEL                       | acceptable operator exposure level                   |
| AOEL-S                     | Acceptable Operator Exposure Level short term        |

| Stand. term / Abbreviation | Explanation   |
|----------------------------|---|
| AP                         | alkaline phosphatase  |
| approx                     | approximate   |
| ARfD                       | acute reference dose  |
| a.s.                       | active substance  |
| AST                        | aspartate aminotransferase (SGOT)   |
| ASV                        | air saturation value  |
| AUC                        | Area under the curve  |
| b.p.                       | biocidal product  |
| BAF                        | bioaccumulation factor  |
| BCF                        | bioconcentration factor   |
| BOD                        | biological oxygen demand  |
| bp                         | boiling point   |
| BPD                        | Biocidal Products Directive   |
| BUN                        | blood urea nitrogen   |
| bw                         | body weight   |
| c                          | centi- ( $\times 10^{-2}$ )   |
| C&L                        | Classification and Labelling  |
| CA                         | controlled atmosphere   |
| CADDY                      | computer aided dossier and data supply (an electronic dossier interchange and archiving format) |
| CEC                        | cation exchange capacity  |
| <i>cf</i>                  | confer, compare to  |
| CFU                        | colony forming units  |
| chap.                      | chapter   |
| ChE                        | cholinesterase  |
| CI                         | confidence interval   |
| CL                         | confidence limits   |
| cm                         | centimetre  |
| Cmax                       | maximum concentration   |
| CNS                        | central nervous system  |
| CO <sub>2</sub>            | Carbondioxid  |

| Stand. term / Abbreviation | Explanation  |
|----------------------------|--|
| COD                        | chemical oxygen demand   |
| concentr.                  | concentration  |
| CPK                        | creatinine phosphatase   |
| CTNU                       | N-(2-chlorothiazol-5-ylmethyl)-N'-nitrourea  |
| cv                         | coefficient of variation   |
| d                          | day(s)   |
| DIS                        | draft international standard (ISO)   |
| DMSO                       | dimethylsulfoxide  |
| DNA                        | deoxyribonucleic acid  |
| dna                        | designated national authority  |
| DO                         | dissolved oxygen   |
| DOC                        | dissolved organic carbon   |
| Doc.                       | document   |
| dpi                        | days post inoculation  |
| DRP                        | detailed review paper (OECD)   |
| DT <sub>50(lab)</sub>      | period required for 50 percent dissipation (under laboratory conditions) (define method of estimation) |
| DT <sub>90(field)</sub>    | period required for 90 percent dissipation (under field conditions) (define method of estimation)      |
| DissT <sub>50</sub>        | Dissipation half-life  |
| DegT <sub>50</sub>         | Degradation half-life  |
| dw                         | dry weight   |
| DWQG                       | drinking water quality guidelines  |
| e. g.                      | for example  |
| EASE                       | Estimation and assessment of substance exposure  |
| EC <sub>50</sub>           | median effective concentration   |
| ECD                        | electron capture detector  |
| ED <sub>50</sub>           | median effective dose  |

| Stand. term / Abbreviation | Explanation  |
|----------------------------|--|
| EDI                        | estimated daily intake   |
| EINECS                     | European inventory of existing commercial substances   |
| ELINCS                     | European list of notified chemical substances  |
| ELISA                      | enzyme linked immunosorbent assay  |
| e-mail                     | electronic mail  |
| EMDI                       | estimated maximum daily intake   |
| EN                         | European norm  |
| EPMA                       | electron probe micro-analysis  |
| ERL                        | extraneous residue limit   |
| ESPE46/51                  | evaluation system for pesticides   |
| EUSES                      | European Union system for the evaluation of substances   |
| EWG                        | Europäische Wirtschaftsgemeinschaft  |
| F <sub>1</sub>             | filial generation, first   |
| F <sub>2</sub>             | filial generation, second  |
| FA                         | formamide  |
| FBS                        | full base set  |
| FELS                       | fish early-life stage  |
| FIA                        | fluorescence immuno-assay  |
| FID                        | flame ionisation detector  |
| FLUX <sub>storage</sub>    | Average daily flux i.e. the average quantity of an active ingredient (or any other substance of concern in a wood preservative product) that is daily leached out of 1 m <sup>2</sup> of treated wood during a certain storage period. |
| F <sub>mol</sub>           | fractional equivalent of the metabolite's molecular weight compared to the active substance  |
| FOB                        | functional observation   |

| Stand. term / Abbreviation | Explanation   |
|----------------------------|---|
|                            | battery   |
| f <sub>oc</sub>            | organic carbon factor (compartment dependent)         |
| fp                         | freezing point  |
| FPD                        | flame photometric detector                            |
| FPLC                       | fast protein liquid chromatography                    |
| FS                         | flowable concentrate for seed treatment               |
| g                          | gram(s)   |
| GAP                        | good agricultural practice                            |
| GC                         | gas chromatography                                    |
| GC-EC                      | gas chromatography with electron capture detector     |
| GC-FID                     | gas chromatography with flame ionisation detector     |
| GC-MS                      | gas chromatography-mass spectrometry                  |
| GC-MSD                     | gas chromatography with mass-selective detection      |
| GEP                        | good experimental practice                            |
| GFP                        | good field practice                                   |
| GGT                        | gamma glutamyl transferase                            |
| GI                         | gastro-intestinal                                     |
| GIT                        | gastro-intestinal tract                               |
| GL                         | guideline level                                       |
| GLC                        | gas liquid chromatography                             |
| GLP                        | good laboratory practice                              |
| GM                         | geometric mean  |
| GOT                        | aspartate aminotransferase (SGOT)                     |
| GPC                        | gel-permeation chromatography                         |
| GPT                        | alanine aminotransferase (SGPT)                       |
| GSH                        | glutathione   |
| H                          | Henry's Law constant (calculated as a unitless value) |

| Stand. term / Abbreviation | Explanation  |
|----------------------------|--|
| h                          | hour(s)  |
| ha                         | hectare(s)   |
| Hb                         | haemoglobin  |
| HC5                        | concentration which will be harmless to at least 95% of the species present with a given level of confidence (usually 95%) |
| Hct                        | haematocrit  |
| HDT                        | highest dose tested  |
| HEED                       | high energy electron diffraction   |
| HID                        | helium ionisation detector   |
| hL                         | hectolitre   |
| HMIO                       | 4-hydroxy-2-methylamino-2-imidazolin-5-one   |
| HPAEC                      | high performance anion exchange chromatography   |
| HPLC                       | high pressure liquid chromatography or high performance liquid chromatography  |
| HPLC-MS                    | high pressure liquid chromatography - mass spectrometry  |
| HPPLC                      | high pressure planar liquid chromatography   |
| HPTLC                      | high performance thin layer chromatography   |
| HRGC                       | high resolution gas chromatography   |
| H <sub>s</sub>             | Shannon-Weaver index   |
| HSE                        | Health and safety Executive  |
| Ht                         | haematocrit  |
| I                          | indoor   |
| I <sub>50</sub>            | inhibitory dose, 50%   |
| IC <sub>50</sub>           | median immobilisation concentration or median inhibitory concentration 1   |
| ICM                        | integrated crop management   |

| Stand. term / Abbreviation  | Explanation   |
|-----------------------------|---|
| ID                          | ionisation detector   |
| IEDI                        | international estimated daily intake                                      |
| IGR                         | insect growth regulator   |
| im                          | intramuscular   |
| inh                         | inhalation  |
| INT                         | 2-p-iodophenyl-3-p-nitrophenyl-5-phenyltetrazoliumchloride testing method |
| ip                          | intraperitoneal   |
| IPM                         | integrated pest management  |
| IR                          | infrared  |
| ISBN                        | international standard book number  |
| ISSN                        | international standard serial number                                      |
| IUCLID                      | International Uniform Chemical Information Database                       |
| iv                          | intravenous   |
| IVF                         | in vitro fertilisation  |
| K                           | Kelvin  |
| k                           | rate constant for biodegradation  |
| k ( <i>in combination</i> ) | kilo  |
| K <sub>a</sub>              | acid dissociation constant  |
| K <sub>ads</sub>            | adsorption constant   |
| K <sub>b</sub>              | base dissociation constant  |
| K <sub>des</sub>            | apparent desorption coefficient   |
| kg                          | kilogram  |
| K <sub>H</sub>              | Henry's Law constant (in atmosphere per cubic metre per mole)             |
| K <sub>oc</sub>             | organic carbon adsorption coefficient                                     |

| Stand. term / Abbreviation | Explanation   |
|----------------------------|---|
| K <sub>om</sub>            | organic matter adsorption coefficient               |
| K <sub>ow</sub>            | octanol-water partition coefficient                 |
| K <sub>p</sub>             | solid-water partition coefficient                   |
| kPa                        | kilopascal(s)                                       |
| l, L                       | litre   |
| LC                         | liquid chromatography                               |
| LC <sub>50</sub>           | lethal concentration, median                        |
| LCA                        | life cycle analysis                                 |
| LC-MS                      | liquid chromatography-mass spectrometry             |
| LC-MS-MS                   | liquid chromatography with tandem mass spectrometry |
| LD <sub>50</sub>           | lethal dose, median; dosis letalis media            |
| LDH                        | lactate dehydrogenase                               |
| LEV                        | Local exhaust ventilation                           |
| ln                         | natural logarithm                                   |
| LOAEC                      | lowest observable adverse effect concentration      |
| LOAEL                      | lowest observable adverse effect level              |
| LOD                        | limit of detection                                  |
| LOEC                       | lowest observable effect concentration              |
| LOEL                       | lowest observable effect level                      |
| log                        | logarithm to the base 10                            |
| LOQ                        | limit of quantification (determination)             |
| LPLC                       | low pressure liquid chromatography                  |
| LSC                        | liquid scintillation counting or counter            |
| LSD                        | least squared denominator multiple range test       |
| LSS                        | liquid scintillation spectrometry                   |

| Stand. term / Abbreviation | Explanation                                |
|----------------------------|--|
| LT                         | lethal threshold                           |
| m                          | metre                                      |
| M                          | molar                                      |
| MAC                        | maximum allowable concentration            |
| MAK                        | maximum allowable concentration            |
| max.                       | maximum                                    |
| MC                         | moisture content                           |
| MCH                        | mean corpuscular haemoglobin               |
| MCHC                       | mean corpuscular haemoglobin concentration |
| MCV                        | mean corpuscular volume                    |
| MDL                        | method detection limit                     |
| MFO                        | mixed function oxidase                     |
| MG                         | methylguanidine                            |
| mg                         | milligram                                  |
| MHC                        | moisture holding capacity                  |
| MIC                        | minimum inhibitory concentration           |
| min                        | minute(s)                                  |
| min                        | minutes                                    |
| MKC                        | minimum killing concentration              |
| mL, ml                     | millilitre                                 |
| MLD                        | minimum lethal dose                        |
| MLT                        | median lethal time                         |
| mm                         | millimetre                                 |
| MMAD                       | mass median aerodynamic diameter           |
| MNG                        | N-Methyl-N'-nitroguanidine                 |
| mo                         | month(s)                                   |
| MOE                        | margin of exposure                         |
| MOERef                     | Reference margin of exposure               |
| mol                        | mole(s)                                    |
| MOS                        | margin of safety                           |

| Stand. term / Abbreviation | Explanation                               |
|----------------------------|---|
| mp                         | melting point                             |
| MRE                        | maximum residue expected                  |
| MRL                        | maximum residue level or limit            |
| MS                         | mass spectrometry                         |
| MSDS                       | material safety data sheet                |
| msds                       | material safety data sheet                |
| MT                         | material test                             |
| MTD                        | maximum tolerated dose                    |
| MU                         | methylurea                                |
| MW                         | molecular weight                          |
| n                          | number of observations                    |
| n-                         | normal (defining isomeric configuration)  |
| n.a.                       | not applicable                            |
| NAEL                       | no adverse effect level                   |
| nd                         | not detected                              |
| NEDI                       | national estimated daily intake           |
| NEL                        | no effect level                           |
| ng                         | nanogram                                  |
| nm                         | nanometre                                 |
| NMR                        | nuclear magnetic resonance                |
| no, n <sup>o</sup>         | number                                    |
| NOAEC                      | no observed adverse effect concentration  |
| NOAEL                      | no observed adverse effect level          |
| NOEC                       | no observed effect concentration          |
| NOED                       | no observed effect dose                   |
| NOEL                       | no observed effect level                  |
| NPD                        | nitrogen-phosphorus detector or detection |
| NR, n.r.                   | not reported                              |
| NTE                        | neurotoxic target esterase                |
| NTG                        | Nitroguanidine                            |

| Stand. term / Abbreviation | Explanation  |
|----------------------------|--|
| OC                         | organic carbon content   |
| OCR                        | optical character recognition  |
| ODP                        | ozone-depleting potential  |
| ODS                        | ozone-depleting substances   |
| OEL                        | occupational exposure limit  |
| OH                         | hydroxide  |
| OJ                         | Official Journal   |
| OM                         | organic matter content   |
| OP's                       | operators  |
| P                          | parental generation  |
| Pa                         | pascal   |
| PAD                        | pulsed amperometric detection  |
| PAI                        | Purified active ingredient   |
| PC                         | personal computer  |
| PCV                        | haematocrit (packed corpuscular volume)                                  |
| PEC                        | predicted environmental concentration                                    |
| PEC <sub>A</sub>           | predicted environmental concentration in air                             |
| PEC <sub>GW</sub>          | predicted environmental concentration in ground water                    |
| PEC <sub>S</sub>           | predicted environmental concentration in soil                            |
| PEC <sub>SW</sub>          | predicted environmental concentration in surface water                   |
| PED                        | plasma-emissions-detector  |
| pH                         | pH-value   |
| pKa                        | negative logarithm (to the base 10) of the acid dissociation constant    |
| pKb                        | negative logarithm (to the base 10) of the base dissociation constant    |
| PNEC                       | predicted no effect concentration (compartment to be added as subscript) |

| Stand. term / Abbreviation      | Explanation  |
|---------------------------------|--|
| po                              | by mouth   |
| ppb                             | parts per billion ( $10^{-9}$ )  |
| PPE                             | personal protective equipment  |
| ppm                             | parts per million ( $10^{-6}$ )  |
| PPP                             | plant protection product   |
| ppq                             | parts per quadrillion ( $10^{-24}$ )   |
| ppt                             | parts per trillion ( $10^{-12}$ )  |
| PRL                             | practical residue limit  |
| PrT                             | prothrombin time   |
| PT                              | product type   |
| PTDI                            | provisional tolerable daily intake   |
| PTT                             | partial thromboplastin time  |
| PVC                             | Polyvinylchloride  |
| Q* <sub>leach,time</sub>        | Cumulative quantity of an active ingredient leached out of 1 m <sup>2</sup> of treated wood over a certain time period of service or storage prior to shipment, considered for assessment. |
| QA                              | quality assurance  |
| QAU                             | quality assurance unit   |
| Q <sub>leach,storage,time</sub> | Cumulative quantity of an active ingredient in a wood preservative leached due to rainfall from treated wood stored, within a certain assessment period.                                   |
| r                               | correlation coefficient  |
| r <sup>2</sup>                  | coefficient of determination   |
| RA                              | risk assessment  |
| RBC                             | red blood cell   |
| RENI                            | Registry Nomenclature Information System   |
| rev.                            | revision   |
| Rf                              | retardation factor   |
| RfD                             | reference dose   |
| RH                              | relative humidity  |

| Stand. term / Abbreviation | Explanation                                    |
|----------------------------|--|
| RL <sub>50</sub>           | median residual lifetime                       |
| RMS                        | Rapporteur Member State                        |
| RNA                        | ribonucleic acid                               |
| RP                         | reversed phase                                 |
| RPE                        | respiratory protection equipment               |
| rpm                        | revolutions per minute                         |
| rRNA                       | ribosomal ribonucleic acid                     |
| RRT                        | relative retention time                        |
| RSD                        | relative standard deviation                    |
| s                          | second   |
| S                          | solubility                                     |
| S/L                        | short term to long term ratio                  |
| SAC                        | strong adsorption capacity                     |
| SAP                        | serum alkaline phosphatase                     |
| SAR                        | structure/activity relationship                |
| SBLC                       | shallow bed liquid chromatography              |
| sc                         | subcutaneous                                   |
| sce                        | sister chromatid exchange                      |
| SCTER                      | smallest chronic toxicity exposure ratio (TER) |
| SD                         | standard deviation                             |
| sds                        | safety data sheet                              |
| se                         | standard error                                 |
| SEM                        | standard error of the mean                     |
| SEP                        | standard evaluation procedure                  |
| SF                         | safety factor                                  |
| SFC                        | supercritical fluid chromatography             |
| SFE                        | supercritical fluid extraction                 |
| SIMS                       | secondary ion mass spectroscopy                |
| SOP                        | standard operating procedures                  |
| sp                         | species (only after a generic                  |

| Stand. term / Abbreviation | Explanation   |
|----------------------------|---|
|                            | name)   |
| SPE                        | solid phase extraction                              |
| SPF                        | specific pathogen free                              |
| spp                        | subspecies  |
| SSD                        | sulphur specific detector                           |
| SSMS                       | spark source mass spectrometry                      |
| STEL                       | short term exposure limit                           |
| STER                       | smallest toxicity exposure ratio (TER)              |
| STMR                       | supervised trials median residue                    |
| STP                        | sewage treatment plant                              |
| t                          | tonne(s) (metric ton)                               |
| t <sub>1/2</sub>           | half-life (define method of estimation)             |
| T <sub>3</sub>             | tri-iodothyroxine                                   |
| T <sub>4</sub>             | thyroxine   |
| TCD                        | thermal conductivity detector                       |
| TDR                        | time domain reflectometry                           |
| TEP                        | typical end-use product                             |
| TER                        | toxicity exposure ratio                             |
| TER <sub>I</sub>           | toxicity exposure ratio for initial exposure        |
| TER <sub>LT</sub>          | toxicity exposure ratio following chronic exposure  |
| TER <sub>ST</sub>          | toxicity exposure ratio following repeated exposure |
| tert                       | tertiary (in a chemical name)                       |
| TG                         | technical guideline, technical group                |
| TGAI                       | Technical grade active ingredient                   |
| TGD                        | Technical guidance document                         |
| TGGE                       | temperature gradient gel electrophoresis            |

| Stand. term / Abbreviation | Explanation   |
|----------------------------|---|
| TID                        | thermionic detector, alkali flame detector                        |
| TIFF                       | tag image file format   |
| TLC                        | thin layer chromatography   |
| TIm                        | median tolerance limit  |
| TLV                        | threshold limit value   |
| TMDI                       | theoretical maximum daily intake                                  |
| TMG                        | N-(2-chlorothiazol-5-ylmethyl)-N'-methylguanidine                 |
| TMRC                       | theoretical maximum residue contribution                          |
| TMRL                       | temporary maximum residue limit                                   |
| TNsG                       | technical notes for guidance                                      |
| TNsG                       | Technical Notes for Guidance                                      |
| TOC                        | total organic carbon  |
| TRGS                       | German Technical Rule for Hazardous Substances                    |
| tRNA                       | transfer ribonucleic acid   |
| TSH                        | thyroid stimulating hormone (thyrotropin)                         |
| TWA                        | time weighted average   |
| TZMU                       | N-(2-chlorothiazol-5-ylmethyl)-N'-methylurea                      |
| UDS                        | unscheduled DNA synthesis   |
| UF                         | uncertainty factor (safety factor)                                |
| UV                         | ultraviolet   |
| v/v                        | volume ratio (volume per volume)                                  |
| vis                        | visible   |
| w/v                        | weight per volume   |
| w/w                        | weight per weight   |
| WBC                        | white blood cell  |
| WG                         | water dispersible granule to be applied after dispersion in water |

| Stand. term / Abbreviation | Explanation  |
|----------------------------|--|
| wk                         | week(s)  |
| WS                         | water dispersible powder for slurry seed treatment |
| wt                         | weight   |
| ww                         | wet weight   |
| XRFA                       | X-ray fluorescence analysis                        |
| yr                         | year(s)  |



### Appendix V – List of Organisations

| Abbreviation | Explanation   |
|--------------|---|
| ASTM         | American Society for Testing and Materials                    |
| BA           | Biological Abstracts (Philadelphia)                           |
| BART         | Beneficial Arthropod Registration Testing Group               |
| BBA          | German Federal Agency of Agriculture and Forestry             |
| CA(S)        | Chemical Abstracts (System)                                   |
| CAB          | Centre for Agriculture and Biosciences International          |
| CAC          | Codex Alimentarius Commission                                 |
| CAS          | Chemical Abstracts Service                                    |
| CCFAC        | Codex Committee on Food Additives and Contaminants            |
| CCGP         | Codex Committee on General Principles                         |
| CCPR         | Codex Committee on Pesticide Residues                         |
| CCRVDF       | Codex Committee on Residues of Veterinary Drugs in Food       |
| CE           | Council of Europe   |
| CEC          | Commission of the European Communities                        |
| CEFIC        | European Chemical Industry Council                            |
| CEN          | European Committee for Normalisation                          |
| CEPE         | European Committee for Paints and Inks                        |
| CIPAC        | Collaborative International Pesticides Analytical Council Ltd |
| CMA          | Chemicals Manufacturers Association                           |
| COREPER      | Comite des Representants Permanents                           |

| Abbreviation | Explanation  |
|--------------|--|
| COST         | European Co-operation in the field of Scientific and Technical Research          |
| DG           | Directorate General  |
| DIN          | German Institute for Standardisation   |
| EC           | European Commission  |
| ECB          | European Chemicals Bureau  |
| ECCO         | European Commission Co-ordination  |
| ECDIN        | Environmental Chemicals Data and Information Network of the European Communities |
| ECDIS        | European Environmental Chemicals Data and Information System                     |
| ECE          | Economic Commission for Europe   |
| ECETOC       | European Chemical Industry Ecology and Toxicology Centre                         |
| EDEXIM       | European Database on Export and Import of Dangerous Chemicals                    |
| EEC          | European Economic Community  |
| EHC          | Environmental Health Criteria  |
| EINECS       | European Inventory of Existing Commercial Chemical Substances                    |
| ELINCS       | European List of New Chemical Substances   |
| EMIC         | Environmental Mutagens Information Centre  |
| EPA          | Environmental Protection Agency  |
| EPAS         | European Producers of Antimicrobial Substances                                   |
| EPFP         | European Producers of  |

| Abbreviation | Explanation  |
|--------------|--|
|              | Formulated Preservatives   |
| EPO          | European Patent Office   |
| EPPO         | European and Mediterranean Plant Protection Organization   |
| ESCORT       | European Standard Characteristics of Beneficials Regulatory Testing  |
| EU           | European Union   |
| EUPHIDS      | European Pesticide Hazard Information and Decision Support System  |
| EUROPEOM     | European Predictive Operator Exposure Model  |
| EWMP         | European Wood Preservation Manufacturers   |
| FAO          | Food and Agriculture Organization of the UN  |
| FOCUS        | Forum for the Co-ordination of Pesticide Fate Models and their Use   |
| FRAC         | Fungicide Resistance Action Committee  |
| GATT         | General Agreement on Tariffs and Trade   |
| GAW          | Global Atmosphere Watch  |
| GIFAP        | Groupement International des Associations Nationales de Fabricants de Produits Agrochimiques (now known as GCPF) |
| GCOS         | Global Climate Observing System  |
| GCPF         | Global Crop Protection Federation (formerly known as GIFAP)  |
| GEDD         | Global Environmental Data Directory  |
| GEMS         | Global Environmental Monitoring System   |
| GRIN         | Germplasm Resources Information Network  |
| IARC         | International Agency for Research on Cancer  |

| Abbreviation     | Explanation  |
|------------------|--|
| IATS             | International Academy of Toxicological Science   |
| ICBP             | International Council for Bird Preservation  |
| ICCA             | International Council of Chemical Associations   |
| ICES             | International Council for the Exploration of the Seas  |
| ILO              | International Labour Organization  |
| IMO              | International Maritime Organisation  |
| IOBC             | International Organization for Biological Control of Noxious Animals and Plants  |
| IPCS             | International Programme on Chemical Safety   |
| IRAC             | Insecticide Resistance Action Committee  |
| ISCO             | International Soil Conservation Organization   |
| ISO              | International Organization for Standardisation   |
| IUPAC            | International Union of Pure and Applied Chemistry  |
| JECFA<br>FAO/WHO | Joint Expert Committee on Food Additives   |
| JFCMP            | Joint FAO/WHO Food and Animal Feed Contamination Monitoring Programme  |
| JMP              | Joint Meeting on Pesticides (WHO/FAO)  |
| JMPR             | Joint Meeting of the FAO Panel of Experts on Pesticide Residues in Food and the Environment and the WHO Expert Group on Pesticide Residues (Joint Meeting on Pesticide Residues) |
| MITI             | Ministry of International Trade and Industry, Japan  |
| NATO             | North Atlantic Treaty Organization   |
| NAFTA            | North American Free Trade  |

| Abbreviation | Explanation  |
|--------------|--|
|              | Agreement  |
| NCI          | National Cancer Institute (USA)  |
| NCTR         | National Center for Toxicological Research (USA)   |
| NGO          | non-governmental organisation  |
| NTP          | National Toxicology Program (USA)  |
| OECD         | Organization for Economic Co-operation and Development   |
| OLIS         | On-line Information Service of OECD  |
| OPPTS        | Office of Prevention, Pesticides and Toxic Substances (US EPA)   |
| OSPAR        | Oslo Paris Convention (Convention for the Protection of the Marine Environment of the North-East Atlantic) |
| PAN          | Pesticide Action Network   |
| RIVM         | Netherlands National Institute of Public Health and Environmental Protection                               |
| RNN          | Re-registration Notification Network   |
| RTECS        | Registry of Toxic Effects of Chemical Substances (USA)   |

| Abbreviation | Explanation                                       |
|--------------|---|
| SETAC        | Society of Environmental Toxicology and Chemistry |
| SI           | Système International d'Unités                    |
| SITC         | Standard International Trade Classification       |
| TOXLINE      | Toxicology Information On-line                    |
| UBA          | German Environmental Protection Agency            |
| UN           | United Nations                                    |
| UNEP         | United Nations Environment Programme              |
| WFP          | World Food Programme                              |
| WHO          | World Health Organization                         |
| WPRS         | West Palearctic Regional Section                  |
| WTO          | World Trade Organization                          |

### Appendix VI – List of Studies

Data protection is claimed by the applicant in accordance with Article 60 of Regulation (EU) No 528/2012.

| Section No / Reference No | Author(s)                        | Year      | Title. Source (where different from company), Report No. GLP (where relevant) / (Un)Published   | Data Protection Claimed (Yes/No) | Owner    |
|---------------------------|----------------------------------|-----------|---|----------------------------------|----------|
| <b>Doc IIIA</b>           |                                  |           |   |                                  |          |
| A 1.3.2.1*                | Morrissey, M. A. & Kramer, H. T. | 2000<br>b | Vapor pressure of TI-435, pure active ingredient, Covance, report no.6155-115A, April 10, 2000, unpublished, Sumitomo Chemical Takeda Agro Co., Ltd.  | yes                              | SumiTake |
| A3.1.1/01                 | Kamiya, Y.                       | 2000<br>a | Determination of melting point/melting range of TI-435 pure active ingredient (PAI). Takeda Chemical Industries, Japan, report no. PC'00-09 SumiTake report no. DPCI008 April 12, 2000 GLP, unpublished   | yes                              | SumiTake |
| A3.1.2/01                 | Kamiya, Y.                       | 2000<br>b | Determination of boiling point of TI-435 pure active ingredient (PAI). Takeda Chemical Industries, Japan, no report number given SumiTake report no. DPCI085 April 19, 2000 non-GLP, unpublished  | yes                              | SumiTake |
| A3.1.3/01*                | Morrissey, M.A.; Kramer, H.T.    | 2000<br>a | Determination of dissociation constant and physical-chemical properties of TI-435 pure active ingredient (PAI) (density, solubility, octanol/water partition coefficient and dissociation constant). Covance, USA, report no. 6155-122 SumiTake report no. DPCI015 April 10, 2000 Amendment no. 3 of July 26, 2001 GLP, unpublished | yes                              | SumiTake |
| A3.1.3/02                 | Kramer, H.T.; Telleen, K.        | 2000      | Physical-chemistry tests with TI-435 technical grade active ingredient (TGAI). Covance, USA, report no. 6155-117 SumiTake report no. DPCI018 December 21, 2000 GLP, unpublished   | yes                              | SumiTake |
| A3.10/01                  | Kramer, H.T.; Telleen, K.        | 2000      | see A3.1.3/02   |                                  |          |

| <b>Section No / Reference No</b> | <b>Author(s)</b>                 | <b>Year</b> | <b>Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published</b>   | <b>Data Protection Claimed (Yes/No)</b> | <b>Owner</b> |
|----------------------------------|----------------------------------|-------------|--|---|--------------|
| A3.11/01                         | Wright, E.                       | 2000        | TI-435 (technical grade active ingredient): Evaluation of the flammability (EC test A 10). Covance Ltd., report no. 586/235-D2141<br>SumiTake report no. DPCI001<br>March 14, 2000<br>GLP, unpublished | yes                                     | SumiTake     |
| A3.11/02                         | Kramer, H.T.;<br>Telleen, K.     | 2000        | see A3.1.3/02  |   |              |
| A3.13/01                         | Kramer, H.T.;<br>Telleen, K.     | 2000        | see A3.1.3/02  |   |              |
| A3.15/01                         | Kramer, H.T.;<br>Telleen, K.     | 2000        | see A3.1.3/02  |   |              |
| A3.16/01                         | Kramer, H.T.;<br>Telleen, K.     | 2000        | see A3.1.3/02  |   |              |
| A3.17/01                         | Kramer, H.T.;<br>Telleen, K.J.   | 2002        | Storage stability of TI 435 (0, 3, 6, 9, 12, 18, & 24 month time points). Covance, USA, report no. 6155-116<br>SumiTake report no. DPCI013<br>June 20, 2002<br>GLP, unpublished                        | yes                                     | SumiTake     |
| A3.17/02                         | Kramer, H.T.;<br>Telleen, K.     | 2000        | see A3.1.3/02  |   |              |
| A3.2.1/01                        | Morrissey, M.A.;<br>Kramer, H.T. | 2000<br>b   | see A3.2/01  |   |              |
| A3.2/01                          | Morrissey, M.A.;<br>Kramer, H.T. | 2000<br>b   | Vapor pressure of TI-435, pure active ingredient. Covance, USA, report no. 6155-115A<br>SumiTake report no. DPCI014<br>April 10, 2000<br>GLP, unpublished  | yes                                     | SumiTake     |
| A3.3.1/01                        | Kamiya, Y.                       | 2000<br>c   | Determination of physical state of TI-435 pure active ingredient (PAI). Takeda Chemical Industries, Japan, report no. PC'00-05<br>SumiTake report no. DPCI006<br>April 12, 2000<br>GLP, unpublished    | yes                                     | SumiTake     |

| <b>Section No / Reference No</b> | <b>Author(s)</b> | <b>Year</b> | <b>Title.<br/>Source (where different from company)<br/>Company, Report No.<br/>GLP (where relevant) / (Un)Published</b>   | <b>Data Protection Claimed (Yes/No)</b> | <b>Owner</b> |
|----------------------------------|------------------|-------------|--|---|--------------|
| A3.3.1/02                        | Kamiya, Y.       | 2000d       | Determination of physical state of TI-435 technical grade of the active ingredient (TGAI).<br>Takeda Chemical Industries, Japan, report no. PC'00-48<br>SumiTake report no. DPCI003<br>July 13, 2000<br>GLP, unpublished | yes                                     | SumiTake     |
| A3.3.2/01                        | Kamiya, Y.       | 2000e       | Determination of color of TI-435 pure active ingredient (PAI).<br>Takeda Chemical Industries, Japan, report no. PC'00-04<br>SumiTake report no. DPCI005<br>April 12, 2000<br>GLP, unpublished                            | yes                                     | SumiTake     |
| A3.3.2/02                        | Kamiya, Y.       | 2000f       | Determination of color of TI-435 technical grade of the active ingredient (TGAI).<br>Takeda Chemical Industries, Japan, report no. PC'00-47<br>SumiTake report no. DPCI002<br>July 13, 2000<br>GLP, unpublished          | yes                                     | SumiTake     |
| A3.3.3/01                        | Kamiya, Y.       | 2000g       | Determination of odor of TI-435 pure active ingredient (PAI).<br>Takeda Chemical Industries, Japan, report no. PC'00-06<br>SumiTake report no. DPCI007<br>April 12, 2000<br>GLP, unpublished                             | yes                                     | SumiTake     |
| A3.3.3/02                        | Kamiya, Y.       | 2000h       | Determination of odor of TI-435 technical grade of the active ingredient (TGAI).<br>Takeda Chemical Industries, Japan, report no. PC'00-49<br>SumiTake report no. DPCI004<br>July 13, 2000<br>GLP, unpublished           | yes                                     | SumiTake     |
| A3.4/01                          | Mikata, K.       | 2000        | Determination of UV/VIS absorption spectrum of TI-435 pure active ingredient (PAI).<br>Takeda Chemical Industries, Japan, report no. PC'00-03<br>SumiTake report no. DPCI009<br>April 10, 2000<br>GLP, unpublished       | yes                                     | SumiTake     |

| Section No / Reference No | Author(s)                        | Year      | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published  | Data Protection Claimed (Yes/No) | Owner    |
|---------------------------|----------------------------------|-----------|---|----------------------------------|----------|
| A3.4/02                   | Kamiya, Y.                       | 2000i     | Determination of infrared (IR) absorption spectrum of TI-435 pure active ingredient (PAI).<br>Takeda Chemical Industries, Japan, report no. PC'00-08<br>SumiTake report no. DPCI010<br>April 12, 2000<br>(Amendment no. 1 of July 11, 2001)<br>GLP, unpublished | yes                              | SumiTake |
| A3.4/03                   | Kamiya, Y.                       | 2000j     | Determination of nuclear magnetic resonance (NMR) spectrum of TI-435 pure active ingredient (PAI).<br>Takeda Chemical Industries, Japan, report no. PC'00-07<br>SumiTake report no. DPCI011<br>April 12, 2000<br>GLP, unpublished                               | yes                              | SumiTake |
| A3.4/04                   | Yanai, T.                        | 2000      | Determination of mass spectrum of TI-435 PAI.<br>Takeda Chemical Industries, Japan, report no. PC'00-01<br>SumiTake report no. DPCI012<br>January 21, 2000<br>GLP, unpublished  | yes                              | SumiTake |
| A3.5/01                   | Morrissey, M.A.;<br>Kramer, H.T. | 2000<br>a | see A3.1.3/01   |                                  |          |
| A3.5/02                   | O'Connor, B.J.; Mullee, D.M.     | 2001      | TI-435 (Pure Active Ingredient, PAI):<br>Determination of the effect of pH on water solubility and partition coefficient.<br>Safeparm Lab. Ltd., report no. 178/125<br>SumiTake report no. DPCI068<br>November 9, 2001<br>GLP, unpublished                      | yes                              | SumiTake |
| A3.6/01                   | Morrissey, M.A.;<br>Kramer, H.T. | 2000<br>a | see A3.1.3/01   |                                  |          |
| A3.7/01                   | Morrissey, M.A.;<br>Kramer, H.T. | 2000<br>a | see A3.1.3/01   |                                  |          |
| A3.9/01                   | Morrissey, M.A.;<br>Kramer, H.T. | 2000<br>a | see A3.1.3/01   |                                  |          |
| A3.9/02                   | O'Connor, B.J.; Mullee, D.M.     | 2001      | see A3.5/02   |                                  |          |
| A4.1/01*                  | Kramer, H.T.<br>Telleen, K.      | 2001<br>a | Analytical method for analysis of TI-435 technical grade active ingredient  | yes                              | SumiTake |

| Section No / Reference No                 | Author(s)                   | Year      | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published   | Data Protection Claimed (Yes/No) | Owner            |
|---|-----------------------------|-----------|--|----------------------------------|------------------|
|   |                             |           | (TGAI).<br>Covance, part of report no. 6155-119<br>SumiTake report no. DPCI019<br>March 1, 2001<br>non-GLP, unpublished  |                                  |                  |
| A4.1/02*<br>filed in confidential section | Kramer, H.T.<br>Telleen, K. | 2001<br>b | Preliminary analysis of TI-435 technical grade active ingredient (TGAI).<br>Covance revised report no. 6155-119<br>SumiTake report no. DPCI016<br>January 10, 2001, amended on January 15, 2002 and January 17, 2003   | yes                              | SumiTake         |
| A4.2*                                     | Schramel, O.                | 1999      | Residue analytical method 00521 (MR- metabolites TZNG, TZMU, MNG and TMG in soil by Liquid Chromatography with electrospray MS/MS-detection.<br>Bayer AG, report no. MR-343/98<br>SumiTake 343/98) for determination of TI-435 and the report no. DEFT003; P60180012<br>October 14, 1999<br>GLP, unpublished | yes                              | SumiTake / Bayer |
| A4.2/01*                                  | Schramel, O.                | 2000<br>a | Residue analytical method 00540 (MR-654/98) for determination of TI-435 and the metabolites TZNG and MNG in soil by Liquid Chromatography with electrospray MS/MS-detection.<br>Bayer AG, report no. MR-654/98<br>SumiTake report no. DEFT007; P60180010<br>January 24, 2000<br>GLP, unpublished             | yes                              | SumiTake / Bayer |
| A4.2/02*                                  | Hellpointner, E.            | 2000      | Method for the determination of TI-435 in air by HPLC-UV and confirmation of the method by HPLC-UV using a CN phase.<br>Bayer AG, report no. HPO-203, report ID MR-370/00<br>SumiTake report no. DEFT017; P60576005<br>September 14, 2000<br>GLP, unpublished  | yes                              | SumiTake / Bayer |
| A4.2/03*                                  | Weber, H.                   | 2000      | Enforcement method 00659 for the determination of the residues of TI-435 in drinking and surface water.<br>DR. SPECHT & PARTNER, report no. BAY-0009V / Az. G00-0065   | yes                              | SumiTake / Bayer |



| Section No / Reference No | Author(s)         | Year      | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published  | Data Protection Claimed (Yes/No) | Owner            |
|---------------------------|-------------------|-----------|---|----------------------------------|------------------|
|                           |                   |           | SumiTake report no. DEFT034<br>November 30, 2000; 1 <sup>st</sup> addendum<br>March 13, 2001<br>GLP, unpublished  |                                  |                  |
| A4.2/04                   | Weber, H.         | 2000<br>a | Enforcement method 00658 for the determination of the residues of TI-435 in soil.<br>DR. SPECHT & PARTNER, report no. BAY-0010V / Az. G00-0066<br>SumiTake report no. DEFT033<br>November 30, 2000; 1 <sup>st</sup> addendum<br>March 13, 2001<br>GLP, unpublished              | yes                              | SumiTake / Bayer |
| A4.3/01                   | Weber, H.         | 2000<br>c | Enforcement method 00657 for the determination of the residues of TI-435 in plant material.<br>DR. SPECHT & PARTNER, report no. BAY-0007V / Az. G00-0063<br>SumiTake report no. DRES013<br>November 30, 2000; 1 <sup>st</sup> addendum<br>February 16, 2001<br>GLP, unpublished | yes                              | SumiTake / Bayer |
| A4.3/02                   | Weber, H.         | 2001      | Enforcement method 00657/M001 for the determination of the residues of TI-435 in plant material.<br>DR. SPECHT & PARTNER, report no. BAY-0113V / Az. G01-0098<br>November 16, 2001<br>GLP, unpublished  | yes                              | SumiTake / Bayer |
| A5.4.1/01                 | Nauen, R., et al. | 2001      | Acetylcholine receptors as sites for developing neonicotinoid insecticides:<br><i>Biochemical Sites of Insecticide Action and Resistance</i> (Ed. I. Ishaaya), Springer-Verlag Berlin, Heidelberg, pp. 77-105<br>Date: 2001<br>non-GLP, published                               | no                               | -                |
| A6.1.1/01                 |                   | 1997<br>a | TI-435: Acute oral toxicity study in the rat.<br>report no. 586/120-1032<br>SumiTake report no. DTOX003<br>September 18, 1997<br>GLP, unpublished   | yes                              | SumiTake         |
| A6.1.1/02*                |                   | 1997<br>b | TI-435: Acute oral toxicity study in the mouse.<br>report no. 586/121-1032<br>SumiTake report no. DTOX004<br>September 18, 1997<br>GLP, unpublished   | yes                              | SumiTake         |

| <b>Section No / Reference No</b> | <b>Author(s)</b> | <b>Year</b> | <b>Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published</b>   | <b>Data Protection Claimed (Yes/No)</b> | <b>Owner</b>     |
|----------------------------------|------------------|-------------|--|---|------------------|
| A6.1.1/03*                       |                  | 2002        | Original: An acute oral neurotoxicity study with technical grade TI-435 in Fischer 344 rats.<br>Supplemental: An acute oral dose range-finding study with technical grade TI-435 in Fischer 344 rats. report no. 108960-2; 97-912-OE December 30, 2002<br>GLP, unpublished<br>Original report no. 108960; 97-412-OH; | yes                                     | SumiTake         |
| A6.1.2/01*                       |                  | 1997c       | TI-435: Acute dermal toxicity study in the rat, report no. 586/122-1032<br>SumiTake report no. DTOX005<br>June 25, 1997<br>GLP, unpublished  | yes                                     | SumiTake         |
| A6.1.3/01*                       |                  | 1998        | TI-435: Single dose inhalation (head-only) toxicity study in the rat. report no. 586/129-D6154<br>SumiTake report no. DTOX014<br>April 21, 1998<br>GLP, unpublished  | yes                                     | SumiTake         |
| A6.1.4/01*                       |                  | 1997d       | TI-435: Skin irritation study in the rabbit. report no. 586/124-1032<br>SumiTake report no. DTOX006<br>June 25, 1997<br>GLP, unpublished   | yes                                     | SumiTake         |
| A6.1.4/02*                       |                  | 1997e       | TI-435: Eye irritation study in the rabbit. report no. 586/123-1032<br>SumiTake report no. DTOX007<br>July 30, 1997<br>GLP, unpublished  | yes                                     | SumiTake         |
| A6.1.5/01*                       |                  | 1997        | TI-435: Skin sensitisation study in the guinea pig. report no. 586/125-1032<br>SumiTake report no. DTOX008<br>October 23, 1997<br>GLP, unpublished   | yes                                     | SumiTake         |
| A6.10.1/01                       | Herbold, B.      | 2001        | N-Methylnitroguanidin: Salmonella/microsome test – Plate incorporation and preincubation method.<br>Bayer AG, report no. PH 30755; T00669528<br>February 21, 2001<br>GLP, unpublished  | yes                                     | SumiTake / Bayer |
| A6.10.1/02                       |                  | 2000c       | TZNG: Acute oral toxicity study in the rat.  | yes                                     | SumiTake         |

| Section No / Reference No | Author(s)  | Year      | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published  | Data Protection Claimed (Yes/No) | Owner    |
|---------------------------|------------|-----------|---|----------------------------------|----------|
|                           |            |           | report no. 586/163-D6144<br>SumiTake report no. DTOX025<br>January 20, 2000<br>GLP, unpublished   |                                  |          |
| A6.10.1/03                | Dawkes, N. | 1999<br>c | TZNG: Reverse mutation in five histidine-requiring strains of <i>Salmonella typhimurium</i> .<br>Covance, England, report no. 586/165-D5140<br>SumiTake report no. DTOX020<br>June 1999<br>GLP, unpublished | yes                              | SumiTake |
| A6.10.1/04                |            | 1999<br>c | TMG: Acute oral toxicity study in the rat.<br>report no. 586/164-D6144<br>SumiTake report no. DTOX022<br>July 1999<br>GLP, unpublished  | yes                              | SumiTake |
| A6.10.1/05                |            | 1999<br>d | TMG: Reverse mutation in five histidine-requiring strains of <i>Salmonella typhimurium</i> .<br>report no. 586/166-D5140<br>SumiTake report no. DTOX021<br>June 1999<br>GLP, unpublished                    | yes                              | SumiTake |
| A6.10.1/06                |            | 1999<br>b | Methyl guanidine (MG): Acute oral toxicity study in the rat.<br>report no. 586/153-D6144<br>SumiTake report no. DTOX017<br>February 1999<br>GLP, unpublished  | yes                              | SumiTake |
| A6.10.1/07                |            | 1999<br>c | Methyl guanidine: Reverse mutation in five histidine-requiring strains of <i>Salmonella typhimurium</i><br>report no. 586/151-D5140<br>SumiTake report no. DTOX019<br>February 1999<br>GLP, unpublished     | yes                              | SumiTake |
| A6.10.1/08                |            | 1999<br>c | TZMU: Acute oral toxicity study in the rat.<br>report no. 586/152-D6144<br>SumiTake report no. DTOX016<br>February 1999<br>GLP, unpublished<br>GLP, unpublished   | yes                              | SumiTake |
| A6.10.1/09                | Dawkes, N. | 1999<br>d | TZMU: Reverse mutation in five histidine-requiring strains of <i>Salmonella typhimurium</i> .<br>Covance, England, report no. 586/150-D5140   | yes                              | SumiTake |

| Section No / Reference No | Author(s)   | Year | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published   | Data Protection Claimed (Yes/No) | Owner            |
|---------------------------|---|------|--|----------------------------------|------------------|
|                           |   |      | SumiTake report no. DTOX018<br>February 1999<br>GLP, unpublished   |                                  |                  |
| A6.10.2/01*               |   | 2000 | Pharmacological studies on TI-435.<br>report no. 9L668<br>SumiTake report no. DTOX049<br>January 20, 2000<br>GLP, unpublished  | yes                              | SumiTake         |
| A6.2*                     |   | 2000 | [Nitroimino- <sup>14</sup> C]- and [Thiazolyl-2- <sup>14</sup> C]TI-435 toxicokinetic behaviour and metabolism in the rat including whole body autoradiography.<br>report no. MR 348/00<br>SumiTake report no. DTOX062<br>October 11, 2000<br>GLP, unpublished | yes                              | SumiTake         |
| A6.2/02*                  |   | 2003 | A study to determine the dermal absorption of TI 435 FS 600 when administered dermally to male Rhesus monkeys.<br>report no. 200494<br>February 27, 2003<br>GLP, unpublished   | yes                              | Bayer / SumiTake |
| A6.2/03                   | Yokota, T. et al. (2003), J Agric Food Chem 51, 7066-7072 | 2003 | Yokota, T. et al. (2003). Absorption, tissue distribution, excretion, and metabolism of clothianidin in rats. J Agric Food Chem 51, 7066-7072  | no                               | published        |
| A6.3.1/01*                |   | 1997 | TI-435: Toxicity to mice by dietary administration for 4 weeks.<br>report no. TDA 180/960497<br>SumiTake report no. DTOX002<br>February 19, 1997<br>GLP, unpublished   | yes                              | SumiTake         |
| A6.3.1/02*                |   | 2000 | 4-week dietary toxicity study with TI-435 in dogs.<br>report no. 6155-106<br>SumiTake report no. DTOX026<br>February 1, 2000<br>GLP, unpublished   | yes                              | SumiTake         |
| A6.3.1/03                 |   | 1997 | TI-435: Toxicity to rats by dietary administration for 4 weeks.<br>report TDA 179/960496<br>SumiTake report no. DTOX001<br>February 19, 1997<br>GLP, unpublished   | yes                              | SumiTake         |
| A6.3.1/04                 |   | 1998 | Palatability pilot study for dietary concentrations of TI-435 in dogs.<br>report no. 6155-107<br>SumiTake report no. DTOX015   | yes                              | SumiTake         |

| Section No / Reference No | Author(s)      | Year      | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published  | Data Protection Claimed (Yes/No) | Owner    |
|---------------------------|----------------|-----------|---|----------------------------------|----------|
|                           |                |           | May 1, 1998<br>non-GLP, unpublished   |                                  |          |
| A6.3.2/01*                |                | 2000      | 28-day dermal toxicity study with TI-435 in rats.<br>report no. 6155-120<br>SumiTake report no. DTOX060<br>October 13, 2000<br>GLP, unpublished   | yes                              | SumiTake |
| A6.4.1/01*                |                | 2000<br>a | 13-week dietary toxicity study with TI 435 in dogs.<br>report no. 6155-111<br>SumiTake report no. DTOX033<br>March 14, 2000<br>GLP, unpublished   | yes                              | SumiTake |
| A6.4.1/02                 |                | 2000<br>b | 52-week dietary chronic toxicity study with TI-435 in dogs.<br>report no. 6155-113<br>SumiTake report no. DTOX034<br>March 22, 2000<br>GLP, unpublished   | yes                              | SumiTake |
| A6.4.1/03*                |                | 2000      | Technical grade TI 435: A subchronic toxicity testing study in the rat.<br>report no. 109075<br>SumiTake report no. DTOX043; 98-172-QO<br>February 22, 2000<br>GLP, unpublished   | yes                              | SumiTake |
| A6.4.1/04                 |                | 2000<br>a | TI-435: Toxicity to rats by dietary administration for 13 weeks.<br>Final draft report.<br>report no. TDA 194/962814<br>SumiTake report no. DTOX052<br>September 8, 2000<br>non-GLP, unpublished                            | yes                              | SumiTake |
| A6.4.1/05*                |                | 2000<br>b | TI-435: Toxicity to mice by dietary administration for 13 weeks.<br>Final draft report.<br>report no. TDA 193/962813<br>SumiTake report no. DTOX053<br>September 8, 2000<br>non-GLP, unpublished                            | yes                              | SumiTake |
| A6.5*                     |                |           | see A6.7  |                                  |          |
| A6.6.1/01*                | Thompson, P.W. | 2000      | TI-435: Reverse mutation assay "Ames test" using <i>Salmonella typhimurium</i> and <i>Escherichia coli</i> .<br>Safepharm Lab. Ltd., report no. 178/110<br>SumiTake report no. DTOX035<br>March 8, 2000<br>GLP, unpublished | yes                              | SumiTake |

| Section No / Reference No | Author(s)    | Year      | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published   | Data Protection Claimed (Yes/No) | Owner    |
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| A6.6.1/02*                | Otsuka, M.   | 1990<br>b | Bacterial reverse mutation test of TIR-435.<br>Hita Research Lab. ,Chemical Biotesting Center, Chemicals Inspection & Testing Institute, report no. T-2276<br>SumiTake report no. DTOX047<br>April 23, 1990<br>GLP, unpublished  | yes                              | SumiTake |
| A6.6.1/03*                | Herbold, B.  | 1999<br>a | TI 453 : Salmonella/microsome test plate incorporation and preincubation method.<br>First version of Bayer AG, report no. 28849,<br>Revised version of Bayer AG, report no. 26584<br>SumiTake report no. DTOX041<br>June 16, 1999<br>GLP, unpublished  | yes                              | SumiTake |
| A6.6.1/04*                | Herbold, B.  | 1999<br>b | TI 435: Salmonella/microsome test using <i>Salmonella typhimurium</i> TA 1535 plate incorporation and preincubation method.<br>First version of Bayer AG, report no. 25739, First revision of Bayer AG, report no. 25739A<br>SumiTake report no. DTOX042<br>May 31, 1999<br>GLP, unpublished | yes                              | SumiTake |
| A6.6.2/01*                | Wright, N.P. | 2000      | TI-435: Chromosome aberration test in CHL cells <i>in vitro</i> .<br>Safepfarm Lab. Ltd., report no. 178/111<br>SumiTake report no. DTOX036<br>March 8, 2000<br>GLP, unpublished<br>+ Amendment 20.09.2000   | yes                              | SumiTake |
| A6.6.3/01*                |              | 2000<br>a | TI-435: L5178Y TK +/- mouse lymphoma assay.<br>report no. 178/112<br>SumiTake report no. DTOX037<br>March 8, 2000<br>GLP, unpublished<br>+ Amendment 20.09.2000  | yes                              | SumiTake |
| A6.6.3/02*                |              | 1999<br>a | TI-435: Mutagenicity study for the detection of induced forward mutations in the V79-HPRT assay <i>in vitro</i> .<br>report no. 28851<br>report no. 26437.   | yes                              | SumiTake |

| Section No / Reference No | Author(s) | Year                | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published   | Data Protection Claimed (Yes/No) | Owner    |
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|                           |           |                     | SumiTake report no. DTOX039<br>June 16, 1999<br>GLP, unpublished   |                                  |          |
| A6.6.4/01*                |           | 2000<br>b           | TI-435: Micronucleus test in the mouse.<br>report no. 178/113<br>SumiTake report no. DTOX038<br>March 8, 2000<br>GLP, unpublished<br>+ Amendment 20.03.2000 / 29.09.2000   | yes                              | SumiTake |
| A6.6.5/01*                |           | (1999<br>b)<br>2001 | TI 435: Test on unscheduled DNA synthesis with rat liver cells <i>in vivo</i> .<br>1 <sup>st</sup> Amendment to report no. 28850 of 1999-06-16<br>report no. 28850A<br><i>replacing:</i><br>Revised version of xxx, report no. 26915<br>(raw data added)<br>SumiTake report no. DTOX040<br>June 16, 1999<br>GLP, unpublished | yes                              | SumiTake |
| A6.7/01*                  |           | 2000<br>a           | 104-week dietary combined chronic toxicity and carcinogenicity study with TI-435 in rats.<br>Volume I to XVI<br>report no. 6155-108<br>SumiTake report no. DTOX046<br>April 11, 2000<br>GLP, unpublished<br>+ Amendment 28.11.2000   | yes                              | SumiTake |
| A6.7/02*                  |           | 2000<br>b           | 78-week dietary carcinogenicity study with TI-435 in mice.<br>Volume I to VIII<br>report no. 6155-109<br>SumiTake report no. DTOX045<br>March 27, 2000<br>GLP, unpublished<br>+ Amendment no.1 ;20.11.2000   | yes                              | SumiTake |
| A6.8.1/01*                |           | 1998<br>a           | Oral (gavage) developmental toxicity study of TI-435 in rats.<br>report no. 1120-001<br>SumiTake report no. DTOX009<br>April 14,1998<br>GLP, unpublished   | yes                              | SumiTake |
| A6.8.1/02*                |           | 1998<br>b           | Oral (stomach tube) developmental toxicity study of TI-435 in rabbits.<br>report no. 1120-002<br>SumiTake report no. DTOX013   | yes                              | SumiTake |

| Section No / Reference No | Author(s)   | Year | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published   | Data Protection Claimed (Yes/No) | Owner         |
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|                           |   |      | April 16, 1998<br>GLP, unpublished   |                                  |               |
| A6.8.2                    | Bray, C.;<br>Son, J.H.;<br>Kumar, P.;<br>Meizel, S.       | 2005 | Mice deficient in CHRNA7, a subunit of the nicotinic acetylcholine receptor, produce sperm with impaired motility. Biology of Reproduction 73, 807-814<br>No GLP, published  | no                               | Public domain |
| A6.8.2                    | Kumar, P.;<br>Meizel, S.                                  | 2005 | Nicotinic acetylcholine receptor subunits and associated proteins in human sperm. Journal of Biological Chemistry 280, 25928-25935<br>No GLP, published  | no                               | Public domain |
| A6.8.2                    | Palmero, S.;<br>Bardi, B.;<br>Coniglio, L.;<br>Falugi, C. | 1999 | Presence and localization of molecules related to the cholinergic system in developing rat testis. European Journal of Histochemistry 43, 277-283<br>No GLP, published   | no                               | Public domain |
| A6.8.2*                   |   | 2000 | A two generation reproductive toxicity study with TI-435 in the Sprague-Dawley rat.<br>report no. 109282<br>SumiTake report no. DTOX044; 98-672-PF<br>March 27, 2000<br>GLP, unpublished<br>+ Supplement; 109282-2;<br>12.03.2003  | yes                              | SumiTake      |
| A6.9/01*                  |   | 2000 | An acute oral neurotoxicity screening study with technical grade TI-435 in Fischer 344 rats.<br>report no. 108960<br>SumiTake report no. DTOX057; 97-412-OH, October 12, 2000<br>GLP, unpublished  | yes                              | SumiTake      |
| A6.9/02*                  |   | 2000 | A special acute oral neurotoxicity study to establish a no-observed-effect-level with technical grade TI-435 in Fischer 344 rats.<br>(Supplemental study to original study: An acute oral neurotoxicity screening study with technical grade TI-435 in Fischer 344 rats.<br>SumiTake report no. DTOX059<br>report no. 108960-1; October 12, 2000 (original), November 8, 2000 (supplemental study); 00-N12-BA; 99-N12-BA<br>GLP, unpublished | yes                              | SumiTake      |



| Section No / Reference No | Author(s)                        | Year      | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published  | Data Protection Claimed (Yes/No) | Owner    |
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| A6.9/03*                  |                                  | 2000      | A subchronic neurotoxicity screening study with technical grade TI-435 in Fischer 344 rats.<br>SumiTake report no. DTOX058 report no. 109400; 97-472-OM October 12, 2000<br>GLP, unpublished  | yes                              | SumiTake |
| A6.9/04*                  |                                  | 2000      | Developmental neurotoxicity study of TI-435 administered orally via the diet to CrI:CD BR VAF/Plus presumed pregnant rats.<br>report no.1120-003<br>SumiTake report no. DTOX061 October 20, 2000<br>GLP, unpublished<br>+ Amendment no. 1; 14.02.2001 | yes                              | SumiTake |
| A7.1.1.1.1/01*            | Lewis, C.J.                      | 2000<br>a | ( <sup>14</sup> C)-TI-435: Hydrolytic stability. Covance Ltd., report no. 586/140-D2142SumiTake report no. DEFT012June 5, 2000GLP, unpublished  | yes                              | SumiTake |
| A7.1.1.1.2/01*            | Babczinski, P.;<br>Bornatsch, W. | 2000      | Photolysis of [nitroimino- <sup>14</sup> C]TI-435 and [thiazolyl-2- <sup>14</sup> C]TI-435 in sterile aqueous buffer solution. Bayer AG, report no. MR-248/00SumiTake report no. DEFT023September 19, 2000GLP, unpublished                            | yes                              | SumiTake |
| A7.1.1.1.2/02             | Schad, T.                        | 2000<br>a | Calculation of half-lives of TI-435 and its main metabolites generated by photolysis in sterile aqueous buffer solution. Bayer AG, report no. MR-121/00SumiTake report no. DEFT015April 19, 2000GLP, unpublished                                      | yes                              | SumiTake |
| A7.1.1.1.2/03             | Babczinski, P.                   | 2000      | Photolysis of TI-435 in natural US-water. Bayer AG, report no. MR 391/00 (BCP 79) SumiTake report no. DEFT031December 7, 2000GLP, unpublished   | yes                              | SumiTake |
| A7.1.1.1.2/04             | Schad, T.                        | 2000<br>b | Calculation of half-lives of TI-435 and its main metabolites generated by photolysis in natural water. Bayer AG, report no. MR-204/00SumiTake report no. DEFT009April 14, 2000GLP, unpublished  | yes                              | SumiTake |

| <b>Section No / Reference No</b>   | <b>Author(s)</b>                       | <b>Year</b>  | <b>Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published</b>   | <b>Data Protection Claimed (Yes/No)</b> | <b>Owner</b>              |
|------------------------------------|--|--------------|--|---|---------------------------|
| A7.1.1.1.2/05                      | Hellpointner, E.                       | 1999a        | Determination of the quantum yield and assessment of the environmental half-life of the direct photodegradation of TI-435 in water. Bayer AG, report no. MR-360/99 SumiTake report no. DEFT005August 2, 1999GLP, unpublished | yes                                     | SumiTake                  |
| A7.1.1.2.1/01*                     | Bealing, D.J.; Watson, S.              | 1999         | TI-435: Assessment of ready biodegradability by measurement of carbon dioxide evolution. Covance, report no. 586/162-D2145SumiTake report no. DEFT004December 1999GLP, unpublished   | yes                                     | SumiTake                  |
| A7.1.2.1.2                         | Lewis, C.J.                            | 2013         | Degradation of [ <sup>14</sup> C]-Clothianidin in Veal Calf, Pig and Chicken Manure, Smithers Viscient (ESG) Ltd, UK, Study number: 3200029, unpublished   | yes                                     | Sumitomo Chemical Co. Ltd |
| A7.1.2.2.2/01<br>A<br>7.1.2.2.2/02 | Gilges, M.; Brumhard, B. Reddemann, J. | 2000<br>2000 | see A7.1.2/01<br>see A7.1.2/02   |   |                           |
| A7.1.2/01*                         | Gilges, M.; Brumhard, B.               | 2000         | Aerobic degradation and metabolism of TI-435 in the water/sediment system. Bayer AG, report no. MR-505/99SumiTake report no. DEFT011April 14, 2000Amendment no. 1 of April 14, 2001GLP, unpublished                          | yes                                     | SumiTake                  |
| A7.1.2/02*                         | Reddemann, J.                          | 2000         | Anaerobic aquatic metabolism of the active ingredient TI-435. Bayer AG, report no. MR-497/00SumiTake report no. DEFT032December 13, 2000Amendment no. 1 of April 9, 2001GLP, unpublished                                     | yes                                     | SumiTake                  |
| A7.1.3/01*                         | Lewis, C.J.                            | 2000b        | [ <sup>14</sup> C]TI-435: Adsorption/desorption in soil. Covance, report no. 586/139-D2142SumiTake report no. DEFT013August 17, 2000GLP, unpublished   | yes                                     | SumiTake                  |
| A7.1.3/02                          | Stupp, H.P.                            | 2001a        | Time-dependent sorption of TI-435 in two different soils. Bayer AG, report no. MR-518/00SumiTake report no. DEFT035January 17, 2001GLP, unpublished  | yes                                     | SumiTake                  |

| <b>Section No / Reference No</b> | <b>Author(s)</b> | <b>Year</b> | <b>Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published</b>  | <b>Data Protection Claimed (Yes/No)</b> | <b>Owner</b>    |
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| A7.2.1/01*                       | Gilges, M.       | 2000        | Aerobic degradation and metabolism of TI-435 in four soils. Bayer AG, report no. MR-497/99SumiTake report no. DEFT010March 13, 2000Amendment no. 1 of April 9, 2001GLP, unpublished               | yes                                     | SumiTake        |
| A7.2.1/02*                       | Schad, T.        | 2000<br>c   | Aerobic degradation and metabolism of TI-435 in six soils. Bayer AG, report no. MR-419/99SumiTake report no. DEFT014July 31, 2000Amendment no. 1 of April 9, 2001GLP, unpublished                 | yes                                     | SumiTake        |
| A7.2.2.1/01                      | Gilges, M.       | 2000        | see A7.2.1/01   |   |                 |
| A7.2.2.1/02                      | Schad, T.        | 2000<br>c   | see A7.2.1/02   |   |                 |
| A7.2.2.2/01*                     | Schramel, O.     | 2000<br>b   | Dissipation of TI-435 (600 FS) in soil under field conditions (France, Germany, Great Britain).Bayer AG, report no. RA-2065/98SumiTake report no. DEFT018October 20, 2000GLP, unpublished         | yes                                     | SumiTake /Bayer |
| A7.2.2.2/02                      | Schramel, O.     | 2000<br>c   | Dissipation of TI-435 (600 FS) in soil under field conditions (Northern France, Great Britain).Bayer AG, report no. RA-2066/98SumiTake report no. DEFT019October 20, 2000GLP, unpublished         | yes                                     | SumiTake /Bayer |
| A7.2.2.2/03                      | Schramel, O.     | 2000<br>d   | Dissipation of TI-435 (600 FS) in soil under field conditions (Southern France, Spain). Bayer AG, report no. RA-2067/98SumiTake report no. DEFT020October 20, 2000GLP, unpublished                | yes                                     | SumiTake /Bayer |
| A7.2.2.2/04                      | Schad, T.        | 2000<br>d   | Calculation of half-lives of TI-435 based on field dissipation studies.Bayer AG, report no. MR-414/00SumiTake report no. DEFT021September 20, 2000GLP, unpublished                                | yes                                     | SumiTake        |
| A7.2.2.2/05                      | Schramel, O.     | 2001        | Determination of the storage stability of TI-435 and of the metabolites TZNG, TZMU, TMG and MNG in soil.Bayer AG, report no. MR-477/01SumiTake report no. DEFT041November 5, 2001GLP, unpublished | yes                                     | SumiTake /Bayer |

| <b>Section No / Reference No</b> | <b>Author(s)</b>       | <b>Year</b> | <b>Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published</b>   | <b>Data Protection Claimed (Yes/No)</b> | <b>Owner</b> |
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| A7.2.2.2/06                      | Stupp, H.-P., Fahl, U. | 2003        | Pflanzenschutz-Nachrichten, Bayer 56/2003, I: Environmental fate of clothianidin (TI-435, Poncho®), page 59-74   |   |              |
| A7.2.2.4/01*                     | Dorn, R.               | 2000        | Degradation of <sup>14</sup> C-MNG, a degradate of TI-435, in three different soils. SLFA Neustadt, report of study no. TAK06SumiTake report no. DEFT029December 19, 2000                          | yes                                     | SumiTake     |
| A7.2.2.4/02                      | Hein, W.               | 2000        | Degradation of <sup>14</sup> C-TZNG, a degradate of TI-435, in three different soils. SLFA Neustadt, report of study no. TAK05SumiTake report no. DEFT028December 19, 2000                         | yes                                     | SumiTake     |
| A7.2.2.4/03                      | Hellpointner, E.       | 1999<br>b   | Photolysis of [Guanidine- <sup>14</sup> C]TI-435 on soil surface. Bayer AG, report no. MR-154/99SumiTake report no. DEFT006August 30, 1999GLP, unpublished   | yes                                     | SumiTake     |
| A7.2.3.1/01                      | Dorn, R.;Hein, W.      | 2000<br>a   | Adsorption/desorption of <sup>14</sup> C-MNG, a degradate of TI-435, on five different soils.SLFA Neustadt, report of study no. TAK02SumiTake report no. DEFT025December 19, 2000GLP, unpublished  | yes                                     | SumiTake     |
| A7.2.3.1/02                      | Möndel, M.;Hein, W.    | 2000        | Adsorption/desorption of <sup>14</sup> C-TZNG, a degradate of TI-435, on five different soils.SLFA Neustadt, report of study no. TAK01SumiTake report no. DEFT024December 19, 2000GLP, unpublished | yes                                     | SumiTake     |
| A7.2.3.1/03                      | Dorn, R.;Hein, W.      | 2000<br>b   | Adsorption/desorption of <sup>14</sup> C-TZMU, a degradate of TI-435, on five different soils.SLFA Neustadt, report of study no. TAK03SumiTake report no. DEFT026December 19, 2000GLP, unpublished | yes                                     | SumiTake     |
| A7.2.3.1/04                      | Dorn, R.;Hein, W.      | 2000<br>c   | Adsorption/desorption of <sup>14</sup> C-TMG, a degradate of TI-435, on five different soils.SLFA Neustadt, report of study no. TAK04SumiTake report no. DEFT027December 19, 2000GLP, unpublished  | yes                                     | SumiTake     |

| <b>Section No / Reference No</b> | <b>Author(s)</b> | <b>Year</b> | <b>Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published</b>   | <b>Data Protection Claimed (Yes/No)</b> | <b>Owner</b>     |
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| A7.2.3.2/01*                     | Stupp, H.P.      | 2001<br>b   | Degradation and translocation behavior of the insecticide active ingredient TI-435 under field conditions in a lysimeter (autumn application). Bayer AG, report no. MR-051/01 SumiTake report no. DEFT037 February 28, 2001 GLP, unpublished | yes                                     | SumiTake / Bayer |
| A7.2.3.2/02*                     | Stupp, H.P.      | 2001<br>c   | Degradation and translocation behavior of the insecticide TI-435 in a lysimeter under field conditions. Bayer AG, report no. MR-599/00 SumiTake report no. DEFT036 March 16, 2001 GLP, unpublished   | yes                                     | SumiTake / Bayer |
| A7.3.1/01*                       | Hellpointner, E. | 1998        | Calculation of the chemical lifetime of TI-435 in the troposphere. Bayer AG, report no. MR-705/98 SumiTake report no. DEFT008 September 9, 1998 non-GLP, unpublished   | yes                                     | SumiTake         |
| A7.4.1.1/01*                     |                  | 1998<br>a   | TI-435 technical, fish (Rainbow trout), acute toxicity test, 96 h, limit test. no. 970714TA/FAR54472/CFF54472 SumiTake report no. DECO002 January 6, 1998 GLP, unpublished   | yes                                     | SumiTake         |
| A7.4.1.1/02                      |                  | 2000<br>a   | TI-435 technical: A 96-hour static acute toxicity test with the bluegill ( <i>Lepomis macrochirus</i> , report no. 110003/149A-123 SumiTake report no. DECO056 October 27, 2000 GLP, unpublished   | yes                                     | SumiTake / Bayer |
| A7.4.1.1/03                      |                  | 2000        | N-Methyl Nitroguanidine - Acute toxicity (96 hours) to Rainbow trout ( <i>Oncorhynchus mykiss</i> ) in a static test (limit test), report no. DOM 20038 SumiTake report no. DECO069 October 5, 2000 GLP, unpublished                         | yes                                     | SumiTake / Bayer |
| A7.4.1.1/04                      |                  | 2000<br>a   | TI 435-Thiazoly Nitroguanidine - Acute toxicity (96 hours) to Rainbow trout ( <i>Oncorhynchus mykiss</i> ) in a static test (limit test), report no. DOM 20039 SumiTake report no. DECO070 September 14, 2000 GLP, unpublished               | yes                                     | SumiTake / Bayer |

| Section No / Reference No | Author(s)  | Year      | Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published   | Data Protection Claimed (Yes/No) | Owner           |
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| A7.4.1.1/05               |  | 2000<br>b | TI 435-thiazolylmethylguanidine – Acute toxicity (96 hours) to Rainbow trout ( <i>Oncorhynchus mykiss</i> ) in a static test (limit test). Version 3, report no. DOM 20040 SumiTake report no. DECO068September 14, 2000GLP, unpublished                  | yes                              | SumiTake /Bayer |
| A7.4.1.2/01               | Palmer, S.J.; MacGregor, J.A.; Krueger, H.O.     | 2000<br>b | TI-435 Technical: A 48-hour static acute toxicity test with the cladoceran ( <i>Daphnia magna</i> ).Wildlife International, report no. 110004/149A-122SumiTake report no. DECO057Date: October 27, 2000GLP, unpublished                                   | yes                              | SumiTake /Bayer |
| A7.4.1.2/02*              | Noack, M.;Geffke, T.                             | 1997      | TI-435 technical - Acute immobilisation test (48 h) to <i>Daphnia magna</i> STRAUS. Dr.U.Noack-Laboratorium, study no. DAI54471 (inlife part) project no. 970714TASumiTake report no. DECO001December 15, 1997, amended December 15, 2000GLP, unpublished | yes                              | SumiTake        |
| A7.4.1.2/03               | Hendel, B.                                       | 2000<br>a | Acute toxicity of N-methylnitroguanidine (techn.) to water fleas ( <i>Daphnia magna</i> ).Bayer AG, report no. HDB/Dm 232SumiTake report no. DECO072September 22, 2000GLP, unpublished  | yes                              | SumiTake /Bayer |
| A7.4.1.2/04               | Hendel, B.                                       | 2000<br>b | Acute toxicity of TI 435-thiazolylnitroguanidine (techn.) to water fleas ( <i>Daphnia magna</i> ).Bayer AG, report no. HDB/Dm 231SumiTake report no. DECO073September 22, 2000GLP, unpublished  | yes                              | SumiTake /Bayer |
| A7.4.1.2/05               | Hendel, B.                                       | 2000<br>c | Acute toxicity of TI 435-thiazolylmethyl-guanidine (techn.) to water fleas ( <i>Daphnia magna</i> ).Bayer AG, report no. HDB/Dm 229SumiTake report no. DECO071September 22, 2000GLP, unpublished  | yes                              | SumiTake /Bayer |
| A7.4.1.3/01*              | Sutherland, C.A.; MacGregor, J.A.; Krueger, H.O. | 2000      | TI-435 technical: A 5-day toxicity test with the freshwater alga ( <i>Selenastrum capricornutum</i> ).Wildlife International, report no. 197A-102SumiTake report no. DECO051October 27, 2000GLP, unpublished  | yes                              | SumiTake        |

| <b>Section No / Reference No</b> | <b>Author(s)</b>          | <b>Year</b> | <b>Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published</b>  | <b>Data Protection Claimed (Yes/No)</b> | <b>Owner</b>    |
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| A7.4.1.3/02                      | Wilhelmy, H.; Geffke, T.  | 1998<br>b   | TI-435 technical, Alga, growth inhibition test (120 [h]). Dr. U. Noack-Laboratorium project no. 970714TA/SS054471/CS054471 SumiTake report no. DECO004 January 6, 1998 GLP, unpublished                           | yes                                     | SumiTake        |
| A7.4.1.3/03                      | Dorgerloh, M.             | 2000<br>c   | N-methylnitroguanidine – Influence on the growth of green alga, Selenastrum capricornutum. Bayer AG; report no. DOM 20035 SumiTake report no. DECO075 September 27, 2000 GLP, unpublished                         | yes                                     | SumiTake /Bayer |
| A7.4.1.3/04                      | Dorgerloh, M.             | 2000<br>d   | TI 435-thiazolyl nitroguanidine – Influence on the growth of green alga, Selenastrum capricornutum. Bayer AG; report no. DOM 20036 SumiTake report no. DECO076 October 5, 2000 GLP, unpublished                   | yes                                     | SumiTake /Bayer |
| A7.4.1.3/05                      | Dorgerloh, M.             | 2000<br>e   | TI 435-Thiazolylmethylguanidine - Influence on the growth of the green alga, Selenastrum capricornutum. Version 2. Bayer AG; report no. DOM 20037 SumiTake report no. DECO074 September 29, 2000 GLP, unpublished | yes                                     | SumiTake /Bayer |
| A7.4.1.4/01*                     | Bealing, D.J.; Watson, S. | 2000        | TI-435 technical: Determination of inhibition of respiration of activated sludge. Covance Laboratories, report no. 586/210-D2145 SumiTake report no. DECO045 June 30, 2000 GLP, unpublished                       | yes                                     | SumiTake        |
| A7.4.3.2/01*                     |                           | 2000        | TI-435 Technical: An early life-stage toxicity test with the fathead minnow (Pimephales promelas), report no. 110163/149A-124B SumiTake report no. DECO059 Date: December 13, 2000 GLP, unpublished               | yes                                     | SumiTake /Bayer |
| A7.4.3.4/01*                     | Noack, M.; Geffke, T.     | 1998        | TI-435 technical: Daphnia magna reproduction test (21d). Dr. Noack-Laboratorium, project no. 970714TA/DRE54471/CDR54471 SumiTake report no. DECO010 June 4, 1998 GLP, unpublished                                 | yes                                     | SumiTake        |

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| A7.4.3.5.1/01*                   | Heimbach, F.                                 | 1999        | Influence of TI-435 technical on development and emergence of larvae of Chironomus riparius in a water-sediment system. Bayer AG; report no. HBF/Ch 28 SumiTake report no. DECO018April 30, 1999GLP, unpublished | yes                                     | SumiTake        |
| A7.4.3.5.1/02                    | Heimbach, F.                                 | 1998        | Influence of TMG (tech.) on development and emergence of larvae of Chironomus riparius in a water-sediment system. Bayer AG; report no. HBF/Ch 26 SumiTake report no. DECO014December 15, 1998 GLP, unpublished  | yes                                     | SumiTake        |
| A7.4.3.5.1/03                    | Mattock, S.D.                                | 2001        | TI-435: comparative acute toxicity of Chironomus riparius with TZMU, MU, TZNG and MNG. Covance Laboratories, report no. 586/218-D2145SumiTake report no. DECO064January 9, 2001GLP, unpublished                  | yes                                     | SumiTake        |
| A7.4.3.5.2/01                    | Palmer, S.J.; MacGregor, J.A.; Krueger, H.O. | 2000<br>c   | TI-435 technical: A 14-day static-renewal toxicity test with duckweed (Lemna gibba G3). Wildlife International, report no. 110005/149A-125SumiTake report no. DECO058October 30, 2000GLP, unpublished            | yes                                     | SumiTake /Bayer |
| A7.4.3.6/01*                     | Memmert, U.                                  | 2001        | Fate and ecological effects of TI-435 50 WG in an outdoor freshwater mesocosm study. RCC Ltd., report no. 753851 SumiTake report no. DECO082March 14, 2001GLP, unpublished                                       | yes                                     | SumiTake        |
| A7.5.1.1/01*                     | Keirs, D.C.; Caley, C.Y.                     | 1999        | The effect of TI-435 50% WDG on soil microflora. Inveresk Research, report no. 17938SumiTake report no. DECO 030December 7, 1999GLP, unpublished   | yes                                     | SumiTake        |
| A7.5.1.1/02*                     | Anderson, J.P.E.                             | 2000<br>a   | Influence of the metabolite N-methyl-nitro-guanidine on the microbial mineralization of nitrogen in soils. Bayer AG; report no. AJO/213200SumiTake report no. DECO079October 16, 2000GLP, unpublished            | yes                                     | SumiTake /Bayer |



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| A7.5.1.1/03                      | Anderson, J.P.E.      | 2000<br>b   | Influence of the metabolite TI-435-thiazolylnitroguanidine on the microbial mineralization of nitrogen in soils. Bayer AG; report no. AJO/213100SumiTake report no. DECO078October 16, 2000GLP, unpublished  | yes                                     | SumiTake /Bayer |
| A7.5.1.1/04                      | Anderson, J.P.E.      | 2000<br>c   | Influence of the metabolite TI-435-thiazolylmethylguanidine on the microbial mineralization of nitrogen in soils. Bayer AG; report no. AJO/213000SumiTake report no. DECO077October 16, 2000GLP, unpublished   | yes                                     | SumiTake /Bayer |
| A7.5.1.2/01*                     | Weyman, G.S.          | 1998        | TI-435 technical: Acute toxicity to the earthworm <i>Eisenia foetida</i> . Covance Laboratories, report no. 586/136-1018SumiTake report no. DECO005February 23, 1998GLP, unpublished   | yes                                     | SumiTake        |
| A7.5.1.2/02                      | Dechert, G.           | 2000        | TI-435 a.i.: Inhibition of reproduction of collembola ( <i>Folsomia candida</i> ). Dr. U. Noack-Laboratorium; report of project no. 991207BKSumiTake report no. DECO083October 25, 2000GLP, unpublished  | yes                                     | SumiTake /Bayer |
| A7.5.1.2/03                      | Noack, M.             | 2000<br>a   | MNG - Earthworm ( <i>Eisenia foetida</i> ), acute toxicity test in artificial soil. Dr. Noack-Laboratorium, report no. RRA66531SumiTake report no. DECO050October 23, 2000GLP, unpublished   | yes                                     | SumiTake        |
| A7.5.1.2/04                      | Noack, M.             | 2000<br>b   | TZNG - Earthworm ( <i>Eisenia foetida</i> ), acute toxicity test in artificial soil. Dr. Noack-Laboratorium, report no. RRA66521SumiTake report no. DECO049October 23, 2000GLP, unpublished  | yes                                     | SumiTake        |
| A7.5.1.2/05                      | Moser, Th.;Römbke, J. | 2001<br>a   | Acute and reproduction toxicity of N-Methylnitroguanidine to the collembolan species <i>Folsomia candida</i> according to the ISO Guideline 11267 "Soil Quality - Inhibition of reproduction of <i>Collembola</i> ( <i>Folsomia candida</i> ) by soil pollutants" (1999).ECT Oekotoxikologie GmbH, report of study no. P3CRSumiTake report no. DECO084February 6, 2001GLP, unpublished | yes                                     | SumiTake /Bayer |

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| A7.5.1.2/06                      | Moser, Th.;Römbke, J.                                  | 2001<br>b   | Acute and reproduction toxicity of TI 435 –Thiazolynitroguanidine to the collembolan species Folsomia candida according to ISO Guideline 11267 "Soil Quality – Inhibition of reproduction of Collembola (Folsomia candida) by soil pollutants" (1999).ECT Oekotoxikologie GmbH, report of study no. P4CRSumiTake report no. DECO085February 6, 2001GLP, unpublished | yes                                     | SumiTake /Bayer |
| A7.5.1.3/01*                     | Brignole, A.J.; Porch, J.R.; Krueger, H.O.             | 2000        | TI-435 50% WDG: A toxicity test to determine the effects of the test substance on seedling emergence of ten species of plants. Wildlife International, Ltd., report of project no. 197-126SumiTake report no. DECO052October 26, 2000GLP, unpublished   | yes                                     | SumiTake        |
| A7.5.1.3/02*                     | Brignole, A.J.;Porch, J.R.;Krueger, H.O.;Kendall, T.Z. | 2000        | TI-435 50% WDG: A toxicity test to determine the effects of the test substance on vegetative vigor of ten species of plants. Wildlife International, report of project no. 197-127SumiTake, report no. DECO053October 26, 2000GLP, unpublished  | yes                                     | SumiTake        |
| A7.5.2.1/01*                     | Wachter, S.  | 1999        | TI-435 50% WDG: Assessment of sublethal effects on Eisenia foetida in artificial soil (Determination of effects on reproduction).Arbeitsgemeinschaft GAB Biotechnologie GmbH & IFU Umweltanalytik GmbH, report of study no. 99209/01-NREfSumiTake report no. DECO034October 6, 1999GLP, unpublished   | yes                                     | SumiTake        |
| A7.5.2.1/02*                     | Dechert, G.  | 2000        | see A7.5.1.2/02   |   |                 |
| A7.5.2.1/03                      | Moser, Th.;Römbke, J.                                  | 2001<br>a   | see A7.5.1.2/05   |   |                 |
| A7.5.2.1/04                      | Moser, Th.;Römbke, J.                                  | 2001<br>b   | see A7.5.1.2/06   |   |                 |
| A 7.5.2.1/05                     | Womack, J.G., Mills, H.                                | 2000        | Field study to determine the effects of TI-435 50% WDG on earthworms. Covance Laboratories Ltd., Harrogate, North Yorkshire, England; report no. 586/198-D2143, GLP2000, unpublished  |   |                 |

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| A7.5.2.2/01               | Brignole, A.J.; Porch, J.R.; Krueger, H.O.                | 2000      | see A7.5.1.3/01   |                                  |          |
| A7.5.2.2/02               | Brignole, A.J.; Porch, J.R.; Krueger, H.O.; Kendall, T.Z. | 2000      | see A7.5.1.3/02   |                                  |          |
| A7.5.3.1.1/01             |   | 1998<br>a | TI-435 technical acute oral toxicity (LD <sub>50</sub> ) to Bobwhite quail, report no. TDA 232/973538 SumiTake report no. DECO008 June 1, 1998 GLP, unpublished   | yes                              | SumiTake |
| A7.5.3.1.1/02             |   | 1999      | TI-435 technical: An acute oral toxicity study with the Japanese quail, report no. 197-128 SumiTake report no. DECO033 January 6, 2000 GLP, unpublished   | yes                              | SumiTake |
| A7.5.3.1.2/01             |   | 1998<br>b | TI-435 technical: Dietary LC <sub>50</sub> to the Bobwhite quail, report no. TDA 233/973539 SumiTake report no. DECO007 March 20, 1998 GLP, unpublished   | yes                              | SumiTake |
| A7.5.3.1.2/02             |   | 1998<br>c | TI-435 technical: Dietary LC <sub>50</sub> to the Mallard duck, report no. TDA 234/973540 SumiTake report no. DECO009 June 1, 1998 GLP, unpublished   | yes                              | SumiTake |
| A7.5.3.1.3/01             |   | 2000<br>a | TI-435 technical: A reproduction study with the Northern Bobwhite ( <i>Colinus virginianus</i> ), report no. 197-122 SumiTake report no. DECO031 January 17, 2000 GLP, unpublished  | yes                              | SumiTake |
| A7.5.3.1.3/02             |   | 2000<br>b | TI-435 technical: A reproduction study with the Mallard ( <i>Anas platyrhynchos</i> ), report no. 197-123 SumiTake report no. DECO032 January 17, 2000 GLP, unpublished   | yes                              | SumiTake |
| A 7.5.4.1/01              | Neumann, P.   | 2000      | Acute effects of TI-435 (techn.) on larvae of carabid beetles ( <i>Poecilus cupreus</i> ) under extended laboratory test conditions. Bayer AG, 51368 Leverkusen-Bayerwerk, Germany; report no. NNP/PC018, 2000 GLP, unpublished |                                  |          |

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| A8/02                     | EU        | 2001 | European Waste Catalogue Commission Decision 2001/573/EC of 23 July 2001  |                                  | Published |
| A9/01                     | EU        |      | Directive 67/548/EEC on the approximation of laws, regulations and administrative provisions relating to the classification, packaging and labelling of dangerous substances                  |                                  | Published |

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| B4.1/01                   | Lüdke, S.   | 2005 | Validated method of analysis for the determination of Clothianidin in xxx (SPU-02000-I)<br>Spiess-Urania Chemicals GmbH, Christinenthal, Germany, Report No.: Wa-26-04-05-Alba<br>GLP, Not Published  | Y                                | SPU   |
| B5.10.2/01                | Saggau, B.  | 2006 | Evaluation of the long term effect of xxx against stable fly ( <i>Musca autumnalis</i> ) (Stallfliege) (MUSCAU) and house fly ( <i>Musca domestica</i> ) (MUSCDO) "Spraying application"<br>Spiess-Urania Chemicals GmbH, Christinenthal, Germany, Report No.: I05101<br>GLP, Not Published | Y                                | SPU   |

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| B5.10.2/03                       | Saggau, B.       | 2006        | Evaluation of the dose response relationship of xxx against stable fly ( <i>Musca autumnalis</i> ) (Stallfliege) (MUSCAU) and house fly ( <i>Musca domestica</i> ) (MUSCDO) "Spraying application" Spiess-Urania Chemicals GmbH, Christinenthal, Germany, Report No.: I05102<br>GLP, Not Published | Y                                       | SPU          |
| B5.10.2/04                       | Saggau, B.       | 2006        | Evaluation of the dose response relationship of xxx against stable fly ( <i>Musca autumnalis</i> ) (Stallfliege) (MUSCAU) and house fly ( <i>Musca domestica</i> ) (MUSCDO) "Painting application" Spiess-Urania Chemicals GmbH, Christinenthal, Germany, Report No.: I05104<br>GLP, Not Published | Y                                       | SPU          |
| B5.10.2/05                       | Röhlig, U        | 2005        | Efficacy evaluation of xxx on the house fly <i>Musca domestica</i> L. under laboratory conditions (paint-application) BioChem agrar, Gerichshain, Germany, Report No.: 05 10 48 506<br>GLP, Not Published  | Y                                       | SPU          |
| B6.1.1/01                        | Chevalier, F.    | 2005        | Acute oral toxicity study of SPU-02000-I in CD rats LPT, Hamburg, Germany, Report No.: 19412/05<br>GLP, Not Published  | Y                                       | SPU          |
| B6.1.2/01                        | Chevalier, F.    | 2005        | Acute dermal toxicity study of SPU-02000-I in CD rats LPT, Hamburg, Germany, Report No.: 19413/05<br>GLP, Not Published  | Y                                       | SPU          |

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| B6.6/01                   | Sendor, T.                | 2006 | Estimation of human exposure to Clothianidin from application of xxx (SPU-02000-I-SC)<br>EBRC Consulting GmbH, Hannover, Germany, Report No.: SPU-060427-01<br>Not GLP, Not Published  | Y                                | SPU       |
| B7.1/01                   | Vetter, D.,<br>Sendor, T. | 2006 | Estimation of environmental exposure to Clothianidin from application of SPU-02000-I-SC as an insecticide against stable flies<br>EBRC Consulting GmbH, Hannover, Germany, Report No.: SPU-060428-01<br>Not GLP, Not Published                                 | Y                                | SPU       |
| B7/02                     | OECD                      | 2006 | OECD SERIES ON EMISSION SCENARIO DOCUMENTS, (ESD No. 14, (ENV/JM/MONO(2006)4), Emission Scenario Document for Insecticides for Stables and Manure Storage Systems  |                                  | Published |
| B8/01                     | Anonymous                 | 2005 | Material safety data sheet - xxx Spiess-Urania Chemicals GmbH, Hamburg, Germany,<br>GLP, Not Published   | Y                                | SPU       |
| B8/02                     | Anonymous                 | 2006 | Produktinformation 2006 - xxx Spiess-Urania Chemicals GmbH, Hamburg, Germany, p.355-358,<br>GLP, Published   | N                                |           |
| B8/03                     | EU                        | 2001 | European Waste Catalogue<br>Commission Decision 2001/573/EC of 23 July 2001  |                                  | Published |
| B9/01                     | EU                        | 2006 | Directive 1999/45/EC of the European Parliament and of the Council concerning the approximation of the laws, regulations and administrative provisions of the Member States relating to the classification, packaging and labelling of dangerous preparations. |                                  | Public    |

| Section No / Reference No | Author(s)                 | Year | Title. Source, Report No. GLP / (Un)Published   | Data Protection Claimed (Yes/No) | Owner     |
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| Doc II A4                 | FOCUS                     | 2006 | Guidance Document on Estimating Persistence and Degradation Kinetics from Environmental Fate Studies on Pesticides in EU Registration” Report of the FOCUS Work Group on Degradation Kinetics, EC Document Reference Sanco/10058/2005 version 2.0, 434 pp   |                                  | Published |
| Doc II A4                 | EU                        | 2002 | Technical Notes for Guidance on Dossier Preparation including preparation and evaluation of study summaries under Directive 98/8/EC concerning the Placing of Biocidal Products on the Market, Part I and II  |                                  | Published |
| Doc II A4<br>Doc II C13   | EU                        | 2000 | Technical Guidance Document in support of the Directive 98/8/EC concerning the Placing of Biocidal Products on the Market, Guidance on Data Requirements for active substances and biocidal products  |                                  | Published |
| Doc II A4<br>B8.3<br>C13  | European Chemicals Bureau | 2003 | Technical Guidance Documents in Support of Directive 93/87/EEC on Risk Assessment for New Notified Substances and The Commission Regulation (EC) 1488/94 on Risk Assessment for Existing Substances and Directive 98/8/EC of European Parliament and the Council concerning the placing of biocidal products on the market, Part II |                                  | Published |
| Doc II A4<br>B8.3<br>C13  | OECD                      | 2006 | OECD Series on emission scenario documents, (ESD No. 14, (ENV/JM/MONO(2006)4), Emission Scenario Document for Insecticides for Stables and Manure Storage Systems   |                                  | Published |
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| Section No / Reference No | Author(s)  | Year      | Title.<br>Source, Report No.<br>GLP / (Un)Published  | Data Protection Claimed (Yes/No) | Owner  |
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| Doc II C12                | EC   | 2003      | Technical Guidance Document on Risk Assessment in support of Commission Directive 93/67/EEC on risk assessment for new notified substances, Commission Regulation (EC) 1488/94 on risk assessment for existing substances and Directive 98/8/EC of European Parliament and Council concerning the placing of biocidal products on the market. EUR 20418, 2 <sup>nd</sup> edition |                                  | public |
| Doc II C12                | ECB  | 2002<br>a | Technical Notes for Guidance in Support of Directive 98/8/EC of the European Parliament and the Council Concerning the Placing of Biocidal Products on the Market. Principal and   |                                  | public |



| <b>Section No / Reference No</b> | <b>Author(s)</b> | <b>Year</b> | <b>Title. Source, Report No. GLP / (Un)Published</b>  | <b>Data Protection Claimed (Yes/No)</b> | <b>Owner</b> |
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| Doc II C12                       | ECB              | 2002 b      | European Chemicals Bureau (ECB, 2002b): Technical Notes for Guidance in Support of Directive 98/8/EC of the European Parliament and the Council Concerning the Placing of Biocidal Products on the Market. Human Exposure to Biocidal Products, Guidance on Exposure Estimation. Final draft. |   | public       |
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| Doc II C13                       | OECD             | 2002        | OECD-Guideline For Testing of Chemicals, TG 307: Aerobic and Anaerobic Transformation in Soil   |   |              |
| Doc II C15.3                     | EU               | 2001        | European Waste Catalogue, Commission Decision 2001/573/EC of 23 July 2001   |   | Published    |