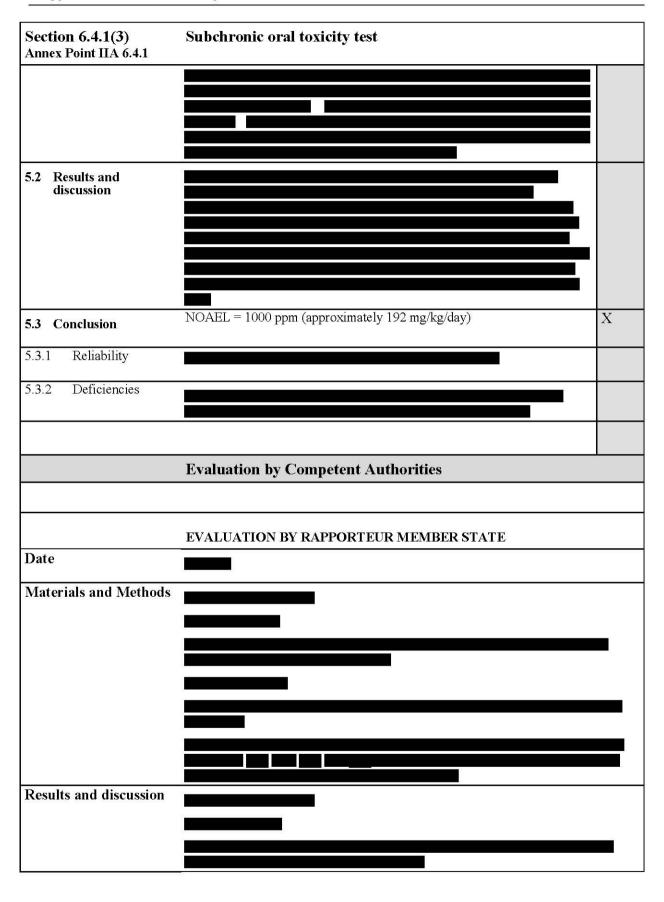
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	on 6.4.1(3) Point IIA 6.4.1	Subchronic oral toxicity test	
4.1.1	Observations		
4.1.2	Clinical signs	Clinical findings were restricted to the animals from the 4000 and 8000 ppm groups that died. The observations were, general cachexia (e.g. emaciation, unkempt appearance, hunched posture, etc.).	
4.1.3	Mortality	No males in the 4000 ppm group and no animals in the 8000 ppm group survived beyond Day-11. A single female in the 4000 ppm group survived to terminal sacrifice. Death was attributed to ileus and shock.	
4.1.4	Bodyweight	Minor decreases in bodyweight in female mice treated with 1000 ppm.	X
4.1.5	Food consumption	Decreased consumption was observed in the animal of the 4000 ppm group that survived the first week after the start of the treatment. The decrease was approximately 35% lower than the control.	
4.1.6 consum	Water aption	Not applicable	
	Ophthalmoscopic examination	Not applicable	
4.1.8	Haematology	Not applicable	
4.1.9	Clinical Chemistry	Not applicable	
4.1.10	Urinalysis	Not applicable	
4.2	Sacrifice and Pathology		
4.2.1	Organ weights	No treatment-related effects.	
4.2.2	Gross and Histopathology	General cachexia and increased levels of liquid or semisolid material in the gastrointestinal tract	
4.2.3	Other examinations		
4.2.4	Statistical analysis	As noted above	
4.3	LO(A)EL	1000 ppm (174 mg/kg/day for males; 210 mg/kg/day for females)	
4.4	NO(A)EL	NOEL: 500 ppm (85 mg/kg/day for males; 102 mg/kg/day for females)	
		5. APPLICANT'S SUMMARY AND CONCLUSION	
	aterials and ethods		

Lonza GmbH; Stepan Europe; Alkyl (C₁₂₋₁₆) dimethylbenzyl ammonium chloride September 2012

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Lonza GmbH; Stepan Europe;

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Section 6.4.1(3) Annex Point IIA 6.4.1	Subchronic oral toxicity test
Conclusion	
Reliability	
Acceptability	Acceptable
Remarks	
	COMMENTS FROM OTHER MEMBER STATE
Date	Give date of the comments submitted
Materials and Methods	Discuss additional relevant discrepancies referring to the (sub)heading numbers and to applicant's summary and conclusion. Discuss if deviating from view of rapporteur member state
Results and discussion	Discuss if deviating from view of rapporteur member state
Conclusion	Discuss if deviating from view of rapporteur member state
Reliability	Discuss if deviating from view of rapporteur member state
Acceptability	Discuss if deviating from view of rapporteur member state

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Section 6.4.2(1) Annex Point IIA 6.4.2		Subchronic dermal toxicity test	
		1. REFERENCE	Official use only
1.1 1	Reference	Gill, M.W. and Wagner, C.L. (1990). Ninety-day subchronic dermal toxicity study with Alkyl Dimethyl Benzyl Ammonium Chloride (ADBAC) in rats. Bushy Run Research Center, Export, PA USA, Report No.: 52-623 (unpublished)	
		Reference No.: A18a (LON 1876)	
1.2 I	Data protection	Yes	
1.2.1	Data owner	ADBAC Joint Venture	
1.2.2	Criteria for data protection	Data submitted to the MS before 14 May 2000 on existing a.s. for the purpose of its entry into Annex I/IA	
		2. GUIDELINES AND QUALITY ASSURANCE	
2.1	Guideline study	Yes	
		U.S. EPA FIFRA 82-3	
		1990	
2.2 (only	GLP where required)	Yes	
2.3	Deviations	No.	
		3. MATERIALS AND METHODS	
			X
3.1	Fest material	Alkyldimethylbenzylammonium Chloride	28
3.1.1	Lot/Batch number		
3.1.2	Specification	As given in section II of Annex IIA of Directive 98/8/EC, especially Sections 2.6-2.8 therein.	X
		Active substance (a.s.), alkyl(C ₁₂ -C ₁₆)dimethylbenzylammonium chloride (ADBAC; CAS RN 68424-85-1), in aqueous/ethanol solution.	
3.1.3	Description		
3.1.4	Purity		
3.1.5	Stability	The a.s., ADBAC, is hydrolytically and photolytically stable under the conditions of this study and has been shown to be stable in aqueous, alcohol and alcohol/aqueous solutions for extended periods, e.g. at least five years under standard laboratory conditions (see Section 2.6.1 of Annex IIA).	

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Section 6.4.2(1) Sul Annex Point IIA 6.4.2		Subchronic dermal toxicity test	
3.2 T	Cest animals		
3.2.1	Species	Rat	
3.2.2	Strain	Sprague-Dawley CD®	
3.2.3	Source		
3.2.4	Sex	Male and female	
3.2.5	Age/weight at study initiation		
3.2.6	Number of animals per group		
3.2.7	Control Animals		
3.3	Administration/ Exposure		
3.3.1	Dose route	Dermal – occluded	
3.3.2	Duration of test/ exposure	90 days	
3.3.3	Frequency of exposure	6 hours/day for 5 days/week – Monday through Friday	
3.3.4	Post exposure period		
3.3.5	Concentration		
3.3.6	Vehicle		X
3.3.7	Concentration in vehicle		
3.3.8	Actual dose received		X
3.3.9	Controls		
3.4	Examinations		
3.4.1	Observations		
3.4.2	Clinical signs		

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	n 6.4.2(1) Point IIA 6.4.2	Subchronic dermal toxicity test	
3.4.3	Mortality		
3.4.4	Bodyweight		
3.4.5	Food consumption		
3.4.6	Water consumption		
3.4.7	Ophthalmoscopic examination		
3.4.8	Haematology		
3.4.9	Clinical Chemistry		
3.4.10	Urinalysis		
3.5	Sacrifice and Pathology		
3.5.1	Organ weights		
3.5.2	Gross and histopathology		
3.5.3	Other examinations		
3.5.4	Statistical analysis		
		4. RESULTS	
4.1	Examinations		
4.1.1	Observations	Slight local irritation (hyperkeratosis) at the site of treatment was observed for males in all treatment groups including controls and for females in all treatment groups.	
4.1.2	Clinical signs	No treatment-related effects in any dose group	
4.1.3	Mortality	One female died from the high dose group on the first day of treatment. The death was attributed to stress from the dosing/wrapping procedure. The animal was replaced. No other deaths occurred.	
4.1.4	Bodyweight	No treatment-related effects in any dose group	

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	ion 6.4.2(1) x Point IIA 6.4.2	Subchronic dermal toxicity test	
4.1.5	Food consumption	No treatment-related effects in any dose group.	
4.1.6	Water consumption	Not applicable.	
4.1.7	Ophthalmoscopic examination	No treatment-related effects in any dose group.	
4.1.8	Haematology	No treatment-related effects in any dose group.	
4.1.9	Clinical Chemistry	No treatment-related effects in any dose group.	
4.1.10) Urinalysis	Not applicable	
4.2	Sacrifice and Pathology		
4.2.1	Organ weights	No treatment-related effects in any dose group	
4.2.2	Gross and Histopathology	Hyperkeratosis was observed for males in all treatment groups including controls and for females in the test substance treatment groups. These results were questionable due to the high incidence in the control male group.	
4.2.3	Other examinations		
4.2.4	Statistical analysis	As noted above	
4.3	LOAEL	>20 mg/kg/day.	
4.4	NOAEL	NOAEL: 20 mg/kg/day	X
		5. APPLICANT'S SUMMARY AND CONCLUSION	
	Materials and methods		
	Results and discussion		

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Section 6.4.2(1) Annex Point IIA 6.4.2	Subchronic dermal toxicity test	
5.3 Conclusion	NOAEL 20 mg/kg bw	X
5.3.1 Reliability		
5.3.2 Deficiencies		
	Evaluation by Competent Authorities	
	EVALUATION BY RAPPORTEUR MEMBER STATE	
Date		
Materials and Methods		
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		j
Results and discussion		
Conclusion		
D.P. 1.17.		
Reliability		
Acceptability	Acceptable	
Remarks		

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Rapporteur Member State: Italy

Section 6.4.2(1) Annex Point IIA 6.4.2	Subchronic dermal toxicity test
	COMMENTS FROM OTHER MEMBER STATE
Date	Give date of the comments submitted
Materials and Methods	Discuss additional relevant discrepancies referring to the (sub)heading numbers and to applicant's summary and conclusion. Discuss if deviating from view of rapporteur member state
Results and discussion	Discuss if deviating from view of rapporteur member state
Conclusion	Discuss if deviating from view of rapporteur member state
Reliability	Discuss if deviating from view of rapporteur member state
Acceptability	Discuss if deviating from view of rapporteur member state

Alkyl (C₁₂₋₁₆) dimethylbenzyl ammonium chloride September 2012 Lonza GmbH; Stepan Europe;

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Section 6.4.3 Annex Point IIIA.6.4.3	Subchronic toxicity test (inhalation)	
	JUSTIFICATION FOR NON-SUBMISSION OF DATA	Official use only
Other existing data []	Technically not feasible [] Scientifically unjustified [X]	
Limited exposure []	Other justification []	
Detailed justification:		
Undertaking of intended data submission []		
	Evaluation by Competent Authorities	•
	EVALUATION BY RAPPORTEUR MEMBER STATE	
Date Evaluation of applicant's justification		
Conclusion	Applicant's justification is acceptable	
Remarks		
	COMMENTS FROM OTHER MEMBER STATE (specify)	
Date	Give date of comments submitted	

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Rapporteur Member State: Italy

Section 6.4.3 Annex Point IIIA.6.4.3	Subchronic toxicity test (inhalation)
Evaluation of applicant's justification	Discuss if deviating from view of rapporteur member state
Conclusion	Discuss if deviating from view of rapporteur member state
Remarks	

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5 PM NO	Official use only
dietary toxicity study in dogs. International Research and Development Corp, Mattawan, MI, USA. Report No.: 638-004 (unpublished) Reference No.: A20 (LON 3443) 1.2 Data protection Yes 1.2.1 Data owner ADBAC Joint Venture 1.2.2 Criteria for data Data submitted to the MS before 14 May 2000 on existing a.s. for the	
1.2 Data protection Yes 1.2.1 Data owner ADBAC Joint Venture 1.2.2 Criteria for data Data submitted to the MS before 14 May 2000 on existing a.s. for the	
1.2.1 Data owner ADBAC Joint Venture 1.2.2 Criteria for data Data submitted to the MS before 14 May 2000 on existing a.s. for the	
1.2.2 Criteria for data Data submitted to the MS before 14 May 2000 on existing a.s. for the	
Data submitted to the IVIS before 14 IVIAy 2000 on existing a.s. for the	
purpose of its only into fullow that	
2. GUIDELINES AND QUALITY ASSURANCE	
2.1 Guideline study Yes	
US. EPA OPP 83-1	
1994	
2.2 GLP Yes (only where required)	
2.3 Deviations No	
3. MATERIALS AND METHODS	
3.1 Test material Alkyldimethylbenzylammonium Chloride X	ζ
3.1.1 Lot/Batch number	
3.1.2 Specification As given in section II of Annex IIA of Directive 98/8/EC, especially Sections 2.6-2.8 therein.	ζ
Active substance (a.s.), alkyl(C ₁₂ -C ₁₆)dimethylbenzylammonium chloride (ADBAC; CAS RN 68424-85-1), in aqueous/ethanol solution.	
3.1.3 Description	
3.1.4 Purity	
3.1.5 Stability The a.s., ADBAC, is hydrolytically and photolytically stable under the conditions of this study and has been shown to be stable in aqueous, alcohol and alcohol/aqueous solutions for extended periods, e.g. at least five years under standard laboratory conditions (see Section 2.6.1 of Annex IIA).	ζ
3.2 Test animals	

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Section Annex	on 6.5(1) Point IIA 6.5	Chronic toxicity in dogs	
3.2.1	Species	Dog	
3.2.2	Strain	Beagle	
3.2.3	Source		
3.2.4	Sex	Male and female	
3.2.5	Age/weight at study initiation		
3.2.6	Number of animals per group		
3.2.7	Control Animals		
3.3	Administration/ Exposure		
3.3.1	Dose route	Dietary	
3.3.2	Duration of test/exposure	52 weeks	
3.3.3	Frequency of exposure	Free access to diet for three hours every day	
3.3.4	Post exposure period		
3.3.5	Concentration		
3.3.6	Vehicle	Diet	
3.3.7	Concentration in vehicle		
3.3.8	Actual dose received		X
3.3.9	Controls		
3.4	Examinations		
3.4.1	Observations		
3.4.2	Clinical signs		

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Sectio Annex	n 6.5(1) Point IIA 6.5	Chronic toxicity in dogs	
			ā.
3.4.3	Mortality		
3.4.4	Bodyweight		
3.4.5	Food consumption		
3.4.6	Water consumption		
3.4.7	Ophthalmoscopic examination		
3.4.8	Haematology		
3.4.9	Clinical Chemistry		
3.4.10	Urinalysis		
3.5	Sacrifice and Pathology		
3.5.1	Organ weights		
3.5.2	Gross and histopathology		
3.5.3	Other examinations	,	
3.5.4	Statistical analysis		
		4. RESULTS	
4.1	Examinations		
4.1.1	Observations		
4.1.2	Clinical signs	No treatment-related effects	
4.1.3	Mortality	None.	
4.1.4	Bodyweight	Decreased bodyweight and bodyweight gain were observed for all animals in the 1200 ppm group.	

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Rapporteur Member State: Italy

Section 6.5(1) Chronic toxicity in dogs Annex Point IIA 6.5			
4.1.5	Food consumption	Decreased food consumption were observed for all animals in the 1200 ppm group. However, the treatment related decreased for the females group was questionable, because of the inconsistant nature of the changes.	X
4.1.6	Water consumption	Not applicable	
4.1.7	Ophthalmoscopic examination	No treatment-related effects	
4.1.8	Haematology	No treatment-related effects	
4.1.9	Clinical Chemistry	Decreases in the cholesterol levels were observed for males and females of the 1200 ppm groups.	
4.1.1	0 Urinalysis	No treatment-related effects	
4.2	Sacrifice and Pathology		
4.2.1	Organ weights	No treatment-related effects	
4.2.2	Gross and Histopathology	No treatment-related effects	
4.2.3	Other examinations		
4.2.4	Statistical analysis		
4.3	LOAEL	1200 ppm	X
4.4	NOAEL	400 ppm	X
		5. APPLICANT'S SUMMARY AND CONCLUSION	
	Materials and methods		
	Results and discussion		
5.3	Conclusion	NOAEL = 400 ppm (13.1 mg/kg/day for males; 14.6 mg/kg/day for females) LOAEL = 1200 ppm (33.8 mg/kg/day for males; 38.6 mg/kg/day for	

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Section 6.5(1) Annex Point IIA 6.5	Chronic toxicity in dogs
	females
5.3.1 Reliability	
5.3.2 Deficiencies	
	Evaluation by Competent Authorities
	Use separate "evaluation boxes" to provide transparency as to the comments and views submitted
	EVALUATION BY RAPPORTEUR MEMBER STATE
Date	
Materials and Methods	

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Section 6.5(1) Annex Point IIA 6.5	Chronic toxicity in dogs
Results and discussion	
Conclusion	
Reliability	
Acceptability	Acceptable
Remarks	
	COMMENTS FROM OTHER MEMBER STATE
Date	Give date of the comments submitted
Materials and Methods	Discuss additional relevant discrepancies referring to the (sub)heading numbers and to applicant's summary and conclusion. Discuss if deviating from view of rapporteur member state
Results and discussion	Discuss if deviating from view of rapporteur member state
Conclusion	Discuss if deviating from view of rapporteur member state
Reliability	Discuss if deviating from view of rapporteur member state
Acceptability	Discuss if deviating from view of rapporteur member state

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	on 6.5(2) IIA Point 6.5	Chronic toxicity in rats	
		1. REFERENCE	Official use only
1.1 R	eference	Gill, M.W., Hermansky, S.J. and Wagner, C.L. (1991) Chronic dietary toxicity/oncogenicity study with Alkyl dimethyl benzyl ammonium Chloride (ADBAC) in rats. Report No. 53-543. Bushy Run Research Center, Export, PA, U.S. (unpublished)	
		[Ref No: A21 (LON 1882)]	
1.2 D:	ata protection	Yes	
1.2.1	Data owner	ADBAC Joint Venture	
1.2.2	Criteria for data protection	Data submitted to the MS before 14 May 2000 on existing a.s. for the purpose of its entry into Annex I/IA	
		2. GUIDELINES AND QUALITY ASSURANCE	
2.1 G	uideline study	Yes	
		EPA Guideline 83-5	
		OECD Guideline No. 453	
		1991	
2.2 (only w	GLP here required)	Yes	
2.3	Deviations	No	
		3. MATERIALS AND METHODS	
			X
3.1 To	est material	Alkyldimethylbenzylammonium Chloride	Λ
3.1.1	Lot/Batch number		
3.1.2	Specification	As given in section II of Annex IIA of Directive 98/8/EC, especially Sections 2.6-2.8 therein.	X
		Active substance (a.s.), alkyl(C_{12} - C_{16})dimethylbenzylammonium chloride (ADBAC; CAS RN 68424-85-1), in aqueous/ethanol solution.	
3.1.3	Description		
3.1.4	Purity		
3.1.5	Stability	The a.s., ADBAC, is hydrolytically and photolytically stable under the conditions of this study and has been shown to be stable in aqueous, alcohol and alcohol/aqueous solutions for extended periods, <i>e.g.</i> at least five years under standard laboratory conditions (see Section 2.6.1 of Annex IIA).	

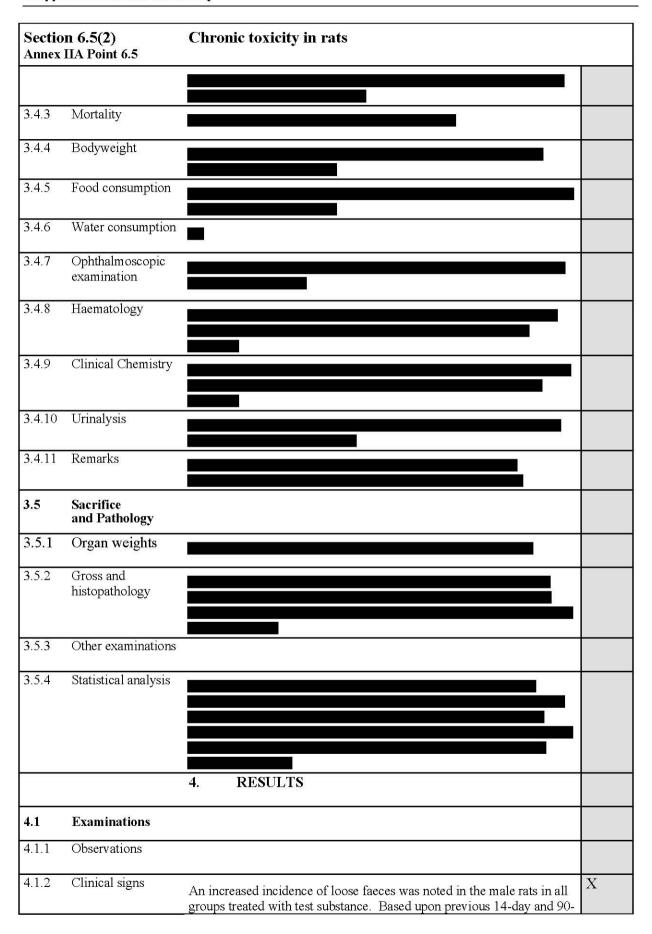
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Rapporteur Member State: Italy

Section	Section 6.5(2) Chronic toxicity in rats Annex IIA Point 6.5		
3.2 T	est animals		
3.2.1	Species	Rat	
3.2.2	Strain	Sprague-Dawley CD®	
3.2.3	Source		
3.2.4	Sex	Male and female	
3.2.5	Age/weight at study initiation		
3.2.6	Number of animals per group		
3.2.7	Control Animals		
3.3	Administration/ Exposure		si
3.3.1	Dose route	Oral feed	
3.3.2	Duration of test/exposure	24 Months (104 Weeks)	
3.3.3	Frequency of exposure	7 days/week	
3.3.4	Post exposure period		
3.3.5	Concentration		
3.3.6	Vehicle	Animal diet	at .
3.3.7	Actual dose received		
3.3.8	Controls		
3.4	Examinations		
3.4.1	Observations		
3.4.2	Clinical signs		

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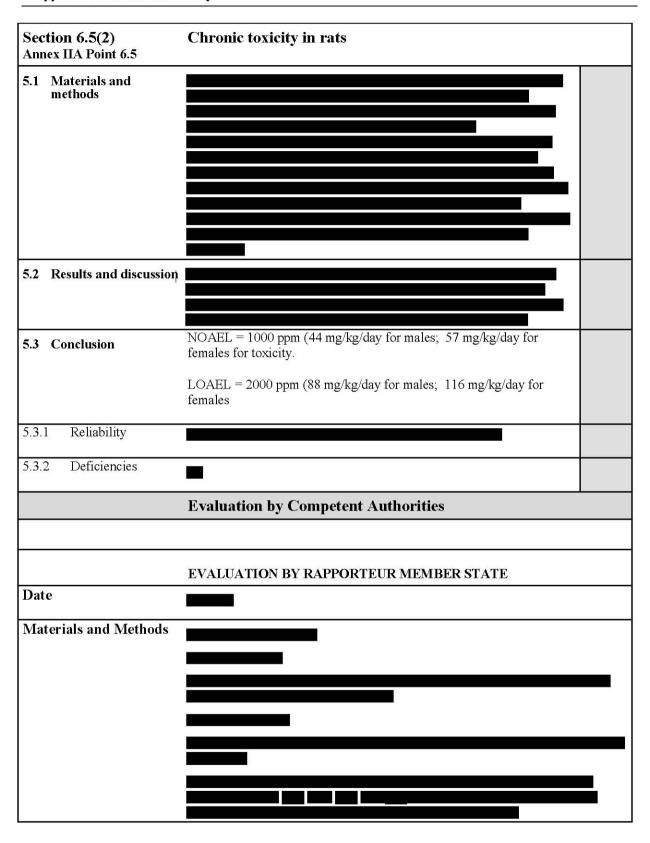


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Section 6.5(2) Annex IIA Point 6.5		Chronic toxicity in rats	
		day dietary studies with the test substance, the increased incidence of loose faeces in this study was considered potentially treatment-related, but was not considered biologically significant due to the lack of a dose response relationship in incidence, the infrequent nature of the observation throughout all dose groups, and the lack of histological changes in the digestive tract. There were no other clinical signs observed in male rats considered to be treatment-related. No clinical signs observed in the female rats were considered to be related to treatment with test substance	
4.1.3	Mortality	None observed	
4.1.4	Bodyweight	The mean absolute body weights of the 2000 ppm group male and female rats were statistically significantly decreased at most measurement periods from Week 1 to Week 26 (male) and Week 1 to 60 (female) and, while not consistently statistically significant, remained decreased throughout the study. On a percentage basis, the differences from the control ranged between 4 -5 % in males and 6 - 9% in females from Weeks 13 - 104.	X
4.1.5	Food consumption	There also appeared to be a depression in food consumption in the male rats in the 1000 ppm treatment group during the first few months of the study. However, because of the small and transient nature of this finding, no toxicological significance was attributed to it.	X
4.1.6	Water consumption	Not applicable	
4.1.7	Ophthalmoscopic examination	No treatment-related effects	
4.1.8	Haematology	No treatment-related effects	
4.1.9	Clinical Chemistry	No treatment-related effects	
4.1.10	Urinalysis	No treatment-related effects	
4.2	Sacrifice and Pathology		
4.2.1	Organ weights	No treatment-related effects	
4.2.2	Gross and Histopathology	No treatment-related effects	
4.2.3	Other examinations		
4.2.4	Statistical analysis	As noted above	
4.3	LOAEL	2000 ppm (approximately 102 mg/kg/day)	X
4.4	NOAEL	NOAEL = 1000 ppm (approximately 50 mg/kg/day) for toxicity	X
		5. APPLICANT'S SUMMARY AND CONCLUSION	

Lonza GmbH; Stepan Europe; Alkyl (C₁₂₋₁₆) dimethylbenzyl ammonium chloride September 2012

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Lonza GmbH; Stepan Europe;

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Section 6.5(2) Annex IIA Point 6.5	Chronic toxicity in rats
Results and discussion	
Conclusion	
Reliability	
Acceptability	Acceptable
Remarks	
	COMMENTS FROM OTHER MEMBER STATE
Date	Give date of the comments submitted
Materials and Methods	Discuss additional relevant discrepancies referring to the (sub)heading numbers and to applicant's summary and conclusion. Discuss if deviating from view of rapporteur member state
Results and discussion	Discuss if deviating from view of rapporteur member state
Conclusion	Discuss if deviating from view of rapporteur member state

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Rapporteur Member State: Italy

Section 6.5(2) Annex IIA Point 6.5	Chronic toxicity in rats	
Reliability	Discuss if deviating from view of rapporteur member state	
Acceptability	Discuss if deviating from view of rapporteur member state	

Lonza GmbH; Stepan Europe; Alkyl (C₁₂₋₁₆) dimethylbenzyl ammonium chloride September 2012

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Rapporteur Member State: Italy

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Rapporteur Member State: Italy

Section 6.6 Genotoxicity studies Annex Point IIA 6.6 – headline only

Section 6.6.1(1) Annex Point IIA 6.6.1	In vitro gene mutation study in bacteria	
	1. REFERENCE	Official use only
1.1 Reference	Author(s), year, title, laboratory name, laboratory report number, report date (if published, list journal name, volume: pages) If necessary, copy field and enter other reference(s).	
	Thompson, P.W. (2001) LZ1392 (Alkyl(C10-C18) (Dimethylbenzylammonium Chloride): Reverse mutation assay "Ames Test" using <i>Salmonella typhimurium</i> . Project No. 102/367. Safepharm Laboratories Limited, Derby, UK. (Unpublished)	
	[Ref No: A56 (LON 3342)]	
1.2 Data protection	Yes	
	(indicate if data protection is claimed)	
1.2.1 Data owner	Give name of company	
	ADBAC Issues Steering Committee	
1.2.2 Criteria for data protection	Choose one of the following criteria (see also TNsG on Product Evaluation) and delete the others:	
	Data submitted to the MS after 13 May 2000 on existing a.s. for the purpose of its entry into Annex I/IA	
	2. GUIDELINES AND QUALITY ASSURANCE	
2.1 Guideline study	Yes	
	Directive 92/69/EEC, B.14, OPPTS Harmonised Guideline	
	2001	
	(If yes, give references to the guidelines (for example test number in Annex V of Dir. 67/548/EEC); if no, give justification, e.g. "no guidelines available" or "methods used comparable to guidelines xy")	
2.2 GLP	Yes	
(only where required)	(If no, give justification, e.g. state that GLP was not compulsory at the time the study was performed)	
2.3 Deviations	No	
	(If yes, describe deviations from test guidelines or refer to respective field numbers where these are described, e.g. "see 3.x.y")	
	3. MATERIALS AND METHODS	
	In some fields the values indicated in the EC or OECD test guidelines are given as default values. Adopt, change or delete these default values as appropriate.	
3.1 Test material		

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Section 6.6.1(1) In vitro gene mutation stud Annex Point IIA 6.6.1		In vitro gene mutation study in bacteria	
3.1.1	Lot/Batch number	List lot/batch number where relevant	
3.1.2	Specification	(describe specification under separate subheadings, such as the following; additional subheadings may be appropriate):	
		As given in section II of Annex IIA of Directive 98/8/EC, especially Sections 2.6-2.8 therein.	
		Active substance (a.s.), alkyl(C ₁₂ -C ₁₆)dimethylbenzylammonium chloride (ADBAC; CAS RN 68424-85-1), in aqueous solution.	
3.1.3	Description	If appropriate, give e.g. colour, physical form (e.g. powder, grain size, particle size/distribution)	
3.1.4	Purity		
3.1.4	runty	Give purity in g/kg, g/l, %w/w or % v/v active substance	
3.1.5	Stability	Describe stability of test material	
		The a.s., ADBAC, is hydrolytically and photolytically stable under the conditions of this study and has been shown to be stable in aqueous, alcohol and alcohol/aqueous solutions for extended periods, <i>e.g.</i> at least five years under standard laboratory conditions (see Section 2.6.1 of Annex IIA).	
3.2 T	est species		
3.2.1	Cell type	Salmonella typhimurium	
3.2.2	Strain	TA1535, TA1537, TA102, TA98 and TA100	
3.3 activa	Metabolic tion		
3.3.1	Metabolic activation system	S9-mix	
3.3.2	Control in presence of metabolic activation		
3.3.2	Control in absence of metabolic activation		
3.4	Test Methods		
3.4.2	Negative control		

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Secti	on 6.6.1(1)	In vitro gene mutation study in bacteria	
	x Point IIA 6.6.1	•	
3.4.2	Vehicle Control		
3.4.3	Cytotoxicity Concentrations		
3.4.4	Genotoxicity concentrations		
3.4.5	Statistical methods		
3.5	Remarks		
		4. RESULTS	
4.1 (Cytotoxicity		
4.1.1	With metabolic activation	See Table 6.6.1(1)-1	
4.1.2	Without Metabolic activation	See Table 6.6.1(1)-1	
4.1.3	Remarks	The test substance caused a visible reduction in the growth of the bacterial lawn to all of the tester strains both with and without S9-mix beginning at 15 µg/plate.	
4.2	Genotoxicity		
4.2.1	With metabolic activation	See Table 6.6.1(1)-2	
4.2.2	Without metabolic activation	See Table 6.6.1(1)-2	
4.2.3	Remarks	The test substance was considered to be non-mutagenic.	
		5. APPLICANT'S SUMMARY AND CONCLUSION	
	Materials and nethods	Give concise description of method; give test guidelines no. and discuss relevant deviations from test guidelines. Comments from 2.1 above are relevant in this table.	

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Section 6.6.1(1) Annex Point IIA 6.6.1	In vitro gene mutation study in bacteria
5.2 Results and discussion	Summarise relevant results; discuss dose-response relationship where relevant.
5.3 Conclusion	Subsections for NOAEL, LOAEL etc. if appropriate
	The test substance was considered to be non-mutagenic.
5.3.1 Reliability	Based on the assessment of materials and methods include appropriate reliability indicator 0, 1, 2, 3 or 4
5.3.2 Deficiencies	_
	(If yes, discuss the impact of deficiencies and implications on results. If relevant, justify acceptability of study.)
	Evaluation by Competent Authorities
	Use separate "evaluation boxes" to provide transparency as to the comments and views submitted
	EVALUATION BY RAPPORTEUR MEMBER STATE
Date	
Materials and Methods	
Results and discussion	
Conclusion	
Reliability	
Acceptability	The study is acceptable
Remarks	
	COMMENTS FROM OTHER MEMBER STATE
Date	Give date of the comments submitted
Materials and Methods	Discuss additional relevant discrepancies referring to the (sub)heading numbers and to applicant's summary and conclusion. Discuss if deviating from view of rapporteur member state
Results and discussion	Discuss if deviating from view of rapporteur member state

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Rapporteur Member State: Italy

Lonza GmbH; Stepan Europe;

Section 6.6.1(1) Annex Point IIA 6.6.1	In vitro gene mutation study in bacteria	
Conclusion	Discuss if deviating from view of rapporteur member state	
Reliability	Discuss if deviating from view of rapporteur member state	
Acceptability	Discuss if deviating from view of rapporteur member state	

Table 6.6.1(1)-1. Cytotoxicity (Number of revertant colonies)

		Test	Test substance concentration (µg/plate)									
With/ Without S9-mix	Strain	0	0.15	0.5	1.5	5	15	50	150	500	1500	5000
Without	TA100	91	91	81	83	64	17S	0T	OT	OT	OT	OT
With	TA100	97	105	103	103	83	50S	OT	OT	OT	OT.	OT

S=sparse bacterial background lawn

T= toxic, no bacterial lawn

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Table 6.6.1(1)-2. Genotoxicity (Mean number of revertant colonies)

Strain	TA100	8	TA15	35	TA10)2	TA98		TA15	37
Test substance										
concentration (µg/plate)										
With S9	W								24	
+ve control type				ĺ						
(concentration (µg/plate))		=3			2:		55	58 	45	
Test number	1	2	1	2	1	2	1	2	1	2
+ve control	1772	2317	287	135	886	723	229	251	582	336
-ve control	143	137	17	17	349	308	36	25	12	22
0.15	129	137	13	13	357	315	26	24	13	18
0.5	134	11	10	14	346	313	33	25	15	14
1.5	129	127	15	14	373	307	32	26	16	14
5	142	143	10	13	369	341	32	23	17	16
15	132	0	12	2	363	151	37	11	17	6
50	28	0	10	0	276	0	22	0	10	0
Without S9			•			•		*	*	
+ve control type)
(concentration (µg/plate))			4				02	-	3	
Test number	1	2	1	2	1	2	1	2	1	2
+ve control	621	464	603	430	854	961	142	126	656	716
-ve control	153	134	12	18	316	336	38	22	16	17
0.15	150	123	13	15	340	308	29	18	18	19
0.5	132	114	11	21	331	339	30	18	17	18
1.5	154	111	19	16	339	232	25	14	15	11
5	145	111	9	10	326	325	28	19	12	18
15	84	0	11	0	320	0	23	0	0	0
50	0	0	0	0	0	0	0	0	0	0

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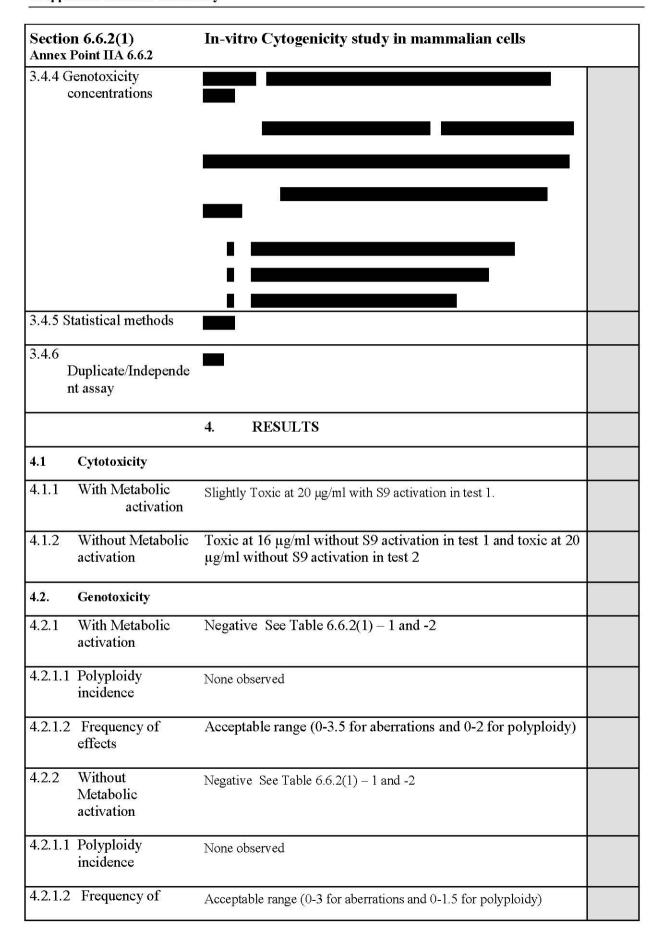
Section 6.6.2(1) Annex Point IIA 6.6.2	In-vitro Cytogenicity study in mammalian cells	
	1. REFERENCE	Official use only
1.1 Reference	Author(s), year, title, laboratory name, laboratory report number, report date (if published, list journal name, volume: pages) If necessary, copy field and enter other reference(s).	
	Durward, R. (2001) LZ1392 (Alkyl (C10-C18) dimethylbenzylammonium Chloride): Chromosomal aberrations assay in human lymphocytes <i>in vitro</i> . Project No. 102/366. Safepharm Laboratories Limited, Derby, UK. (Unpublished)	
	[Ref No: A57 (LON 3434)]	
1.2 Data protection	Yes	
_	(indicate if data protection is claimed)	
1.2.1 Data owner	Give name of company	
	ADBAC Issues Steering Committee	
1.2.2 Criteria for protection	, -	
	Data submitted to the MS after 13 May 2000 on existing a.s. for the purpose of its entry into Annex I/IA	
	2. GUIDELINES AND QUALITY ASSURANCE	
2.1 Guideline st	udy Yes	
	OECD Test Guideline No. 473, Directive 92/69/EEC B10	
	2001	
	(If yes, give references to the guidelines (for example test number in Annex V of Dir. 67/548/EEC); if no, give justification, e.g. "no guidelines available" or "methods used comparable to guidelines xy")	
2.2 GLP	Yes	
(only where required	(If no, give justification, e.g. state that GLP was not compulsory at the time the study was performed)	
2.3 Deviations	No	
	(If yes, describe deviations from test guidelines or refer to respective field numbers where these are described, e.g. "see 3.x.y")	
	3. MATERIALS AND METHODS	
	In some fields the values indicated in the EC or OECD test guidelines are given as default values. Adopt, change or delete these default values as appropriate.	
3.1 Test material		
3.1.1 Lot/Batch no	umber List lot/batch number where relevant	
3.1.2 Specification	(describe specification under separate subheadings, such as the following; additional subheadings may be appropriate):	

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Section 6.6.2(1) Annex Point IIA 6.6.2	In-vitro Cytogenicity study in mammalian cells	
	As given in section II of Annex IIA of Directive 98/8/EC, especially Sections 2.6-2.8 therein.	
	Active substance (a.s.), alkyl(C_{12} - C_{16})dimethylbenzylammonium chloride (ADBAC; CAS RN 68424-85-1), in aqueous solution.	
3.1.3 Description	If appropriate, give e.g. colour, physical form (e.g. powder, grain size, particle size/distribution)	
3.1.4 Purity	Give purity in g/kg, g/l, %w/w or % v/v active substance	
2.1.5 0/ 1.10		
3.1.5 Stability	Describe stability of test material	
	The a.s., ADBAC, is hydrolytically and photolytically stable under the conditions of this study and has been shown to be stable in aqueous, alcohol and alcohol/aqueous solutions for extended periods, <i>e.g.</i> at least five years under standard laboratory conditions (see Section 2.6.1 of Annex IIA).	
3.2 Test species		
3.2.1 Cell type	Human lymphocytes	
3.2.2 Strain	Not applicable	
3.3 Metabolic activation		
3.3.1 Metabolic activation system	S9	
3.3.2 Positive Control in presence of metabolic activation		
3.3.3 Positive Control in absence of metabolic activation		
3.4 Test Methods		
3.4.1 Negative control		
3.4.2 Vehicle Control		
3.4.3 Cytotoxicity Concentrations		

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	ion 6.6.2(1) ex Point IIA 6.6.2	In-vitro Cytogenicity study in mammalian cells	
	effects		
4.3	Duplicate assay	Yes	
		5. APPLICANT'S SUMMARY AND CONCLUSION	
5.1	Materials and methods	Give concise description of method; give test guidelines no. and discuss relevant deviations from test guidelines. Comments from 2.1above are relevant in this table.	
5.2	Results and discussion	Summarise relevant results; discuss dose-response relationship where relevant.	
5.3	Conclusion	Subsections for NOAEL, LOAEL etc. if appropriate Cytotoxicity observed. No genotoxicity observed	
5.3.1	Reliability	Based on the assessment of materials and methods include appropriate reliability indicator 0, 1, 2, 3 or 4	
5.3.2	Deficiencies		
		(If yes, discuss the impact of deficiencies and implications on results. If relevant, justify acceptability of study.)	
		Evaluation by Competent Authorities	
		Use separate "evaluation boxes" to provide transparency as to the comment and views submitted	ts
		EVALUATION BY RAPPORTEUR MEMBER STATE	

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Section 6.6.2(1) Annex Point IIA 6.6.2	In-vitro Cytogenicity study in mammalian cells
Date	
Materials and Methods	
Results and discussion	
Conclusion	
Reliability	
Acceptability	The study is acceptable
Remarks	
	COMMENTS FROM OTHER MEMBER STATE
Date	Give date of the comments submitted
Materials and Methods	Discuss additional relevant discrepancies referring to the (sub)heading numbers and to applicant's summary and conclusion. Discuss if deviating from view of rapporteur member state
Results and discussion	Discuss if deviating from view of rapporteur member state
Conclusion	Discuss if deviating from view of rapporteur member state
Reliability	Discuss if deviating from view of rapporteur member state

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Table 6.6.2(1) – 1: Summary of Test Results – Experiment 1

Treatment (μg/mL) Vehicle	S9 Activation	Treatment Time	Mean	Cells Scored	Total Number of Aberrations +Gaps - Gaps	Cells with Numerical Aberrations	Cells with Structural Aberrations - Gaps(%)
ADBAC		.			<u> </u>		
4	=	4	3.2	200	6 1	3.0	0.5
8	99	4	2.7	200	2 1	1.0	0.5
16	0 .5)	4	1.5	200	7 5	3.0	2.0
Positive control 0.4	17	4	2.2	200	83 64	32.0	26.0**
Vehicle	+	4	3.9	200	5 1	2.5	0.5
ADBAC							
8	+	4	2.3	200	4 1	2.0	0.5
16	÷	4	2.1	200	7 4	2.5	1.0
20	+	4	1.6	200	7 3	3.5	1.5
Positive control	+	4	1.4	300	76 45	20.0	12.0**

Treatment: Cells from the 4-hour treatment regimens were harvested 20 hours after the initiation of the treatments.

Frequency of Aberrant Cells: **, p≤0.001

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Table 6.6.2(1) – 2: Summary of Test Results – Experiment 2

1 abi	e 6.6.Z(1) –	z: Summar	y of Test	Results	– Experiment 2					
Treatment (µg/mL)	S9 Activation	Treatment Time	Mean Mitotic Index	Cells Scored	Total Number of Aberrations +Gaps - Gaps	Cells with Numerical Aberrations + Gaps(%)	Cells with Structural Aberrations - Gaps(%)			
Vehicle	0.50	4	7.0	200	4 1	2.0	0.5			
ADBAC										
4	00	24	4.9	200	6 4	2.0	1.0			
8	15	24	2.7	200	6 6	2.5	2.5			
12	00 - 0	24	2.6	200	12 2	6.0	1.0			
Positive control 0.2	8-	24	2.3	200	115 86	37.0	30.0**			
Vehicle	+	4	5.9	200	8 4	2.5	0.5			
ADBAC	ADBAC									
8	+	4	5.2	200	7 3	3.5	1.5			
16	+	4	3.3	200	0 0	0	0			
24	+	4	3.4	200	1 1	0.5	0.5			
Positive control	+	4	1.4	200	108 79	33.5	27.0**			

Treatment: Cells from both the 4-hour and 24 hour treatment regimens were harvested 20 hours after the initiation of the treatments.

Frequency of Aberrant Cells: **, p≤0.001

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	on 6.6.3(1) Point IIA 6.6.3	In vitro gene mutation assay in mammalian cells	
Aimex	Font HA 0.0.3	1. REFERENCE	Official use only
1.1 R	eference	Author(s), year, title, laboratory name, laboratory report number, report date (if published, list journal name, volume: pages) If necessary, copy field and enter other reference(s).	
		Young, R. R. (1989) Mutagenicity test on Alkyl dimethyl benzyl ammonium Chloride (ADBAC) in the CHO/HGPRT forward mutation assay. Report No. 10238-0-435. Hazleton Laboratories America, Inc., Kensington, MD, U.S.A. (Unpublished)	
		[Ref No: A58 (LON 1874)]	
1.2 D	ata protection	Yes	
		(indicate if data protection is claimed)	
1.2.1	Data owner	Give name of company	
		ADBAC Joint Venture	
1.2.2	Criteria for data protection	Choose one of the following criteria (see also TNsG on Product Evaluation) and delete the others:	
		Data submitted to the MS before 14 May 2000 on existing a.s. for the purpose of its entry into Annex I/IA	
		2. GUIDELINES AND QUALITY ASSURANCE	
2.1	Guideline study	Yes	
		U.S. EPA FIFRA 84-4	
		1989	
		(If yes, give references to the guidelines (for example test number in Annex V of Dir. 67/548/EEC); if no, give justification, e.g. "no guidelines available" or "methods used comparable to guidelines xy")	
2.2	GLP	Yes	
(only v	vhere required)	(If no, give justification, e.g. state that GLP was not compulsory at the time the study was performed)	
2.3	Deviations	No	
		(If yes, describe deviations from test guidelines or refer to respective field numbers where these are described, e.g. "see 3.x.y")	
		3. MATERIALS AND METHODS	
		In some fields the values indicated in the EC or OECD test guidelines are given as default values. Adopt, change or delete these default values as appropriate.	
3.1	Test material	Alkyldimethylbenzylammonium Chloride	
3.1.1	Lot/Batch number	List lot/batch number where relevant	

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Section 6.6.3(1)	In vitro gene mutation assay in mammalian cells	
Annex Point IIA 6.6.3		
3.1.2 Specification	(describe specification under separate subheadings, such as the following; additional subheadings may be appropriate):	
	As given in section II of Annex IIA of Directive 98/8/EC, especially Sections 2.6-2.8 therein.	
	Active substance (a.s.), alkyl(C ₁₂ -C ₁₆)dimethylbenzylammonium chloride (ADBAC; CAS RN 68424-85-1), in aqueous/ethanol solution.	
3.1.3 Description	If appropriate, give e.g. colour, physical form (e.g. powder, grain size, particle size/distribution)	
3.1.4 Purity	Give purity in g/kg, g/l, %w/w or % v/v active substance	
3.1.5 Stability	Describe atability of test material	
2.1.0 Smothly	Describe stability of test material	
	The a.s., ADBAC, is hydrolytically and photolytically stable under the conditions of this study and has been shown to be stable in aqueous, alcohol and alcohol/aqueous solutions for extended periods, <i>e.g.</i> at least five years under standard laboratory conditions (see Section 2.6.1 of Annex IIA).	
3.2 Test species/strain		
3.2.1 Cell type	Female Chinese hamster ovary cells (CHO)	
3.2.2 Strain	CHO-K1-BH ₄	
3.3 Metabolic activation		
3.3.1 Metabolic activation system	S9	
3.3.2 Control in presence of metabolic activation		
3.3.3 Control in absence of metabolic activation		
3.4 Test Methods		
3.4.1 Negative control		
3.4.2 Vehicle Control		
3.4.3 Cytotoxicity Concentrations		

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Castin	((2(1)	To all a series and the series are the series and the series and the series are the series and the series are t	
	on 6.6.3(1) Point IIA 6.6.3	In vitro gene mutation assay in mammalian cells	
	Genotoxicity		X
	concentrations		2 x
		<u> </u>	
3.4.5 S	Statistical methods		
3.4.6			
	Duplicate/Independe		
1	nt assay		
		4. RESULTS	
4.1	Cytotoxicity		
4.1.1	With Metabolic	Completely toxic at 40 µg/ml and higher with activation.	
	activation		
4.1.2	Without Metabolic	Completely toxic at 20 µg/ml and higher without activation	
activat		1 3 18 8	
4.2	Genotoxicity		
4.2.1	With Metabolic activation	Negative	
	activation	Mutation Frequency/10 ⁶ cells	
		Trial I:	
		Control: 6.9, 0	
		Treated: 0.8 – 6.6	
		Positive Control (3-MCA): 235.3	
		Trial II:	
		Control: 1.3, 2.9	
		Treated: 0.8 – 6.5 (all within historical control range)	
		Positive Control (3-MCA): 131.7	
4211	Mutant frequency	***************************************	
T. Z. 1. 1	Tylumit frequency	Within the acceptable range for background mutant frequencies (0 to 13.5×10^6 with S9 mix)	
4.2.2 Without Metabolic		Negative	
activat	ion		
		Mutation Frequency/10 ⁶ cells	
		· ·	
		Trial I:	

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Section	n 6.6.3(1)	In vitro gene mutation assay in mammalian cells	
Annex]	Point IIA 6.6.3		
		Control: 0, 7.2 Treated: 0 – 4.0 Positive Control (BrdU): 133.3	
		Trial II: Control: 8.9, 2.9 Treated: 0.8 – 14.6 (all within historical control range) Positive Control (BrdU): 114.0	
4.2.2.1	Mutant Frequency	Acceptable range for background mutant frequencies (0 to 15 x 10 ⁻⁶ without S9 mix)	
4.3	Duplicate/ Independent assay	Negative	
		5. APPLICANT'S SUMMARY AND CONCLUSION	
5.1	Materials and methods	Give concise description of method; give test guidelines no. and discuss relevant deviations from test guidelines. Comments from 2.1above are relevant in this table.	
5.2	Results and discussion	Summarise relevant results; discuss dose-response relationship where relevant.	
5.3 Conclusion		Subsections for NOAEL, LOAEL etc. if appropriate	
5.3.1	Reliability	Not genotoxic. Based on the assessment of materials and methods include appropriate reliability indicator 0, 1, 2, 3 or 4	
5.3.2	Deficiencies		
J.J.L	Deficiencies	(If yes, discuss the impact of deficiencies and implications on results. If relevant, justify acceptability of study.)	

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Section 6.6.3(1) Annex Point IIA 6.6.3	In vitro gene mutation assay in mammalian cells
	Evaluation by Competent Authorities
	Use separate "evaluation boxes" to provide transparency as to the comments and views submitted
	EVALUATION BY RAPPORTEUR MEMBER STATE
Date	
Materials and Methods	
Results and discussion	
Conclusion	
Reliability	
Acceptability	The study is acceptable
Remarks	
	COMMENTS FROM OTHER MEMBER STATE
Date	Give date of the comments submitted
Materials and Methods	Discuss additional relevant discrepancies referring to the (sub)heading numbers and to applicant's summary and conclusion. Discuss if deviating from view of rapporteur member state
Results and discussion	Discuss if deviating from view of rapporteur member state
Conclusion	Discuss if deviating from view of rapporteur member state
Reliability	Discuss if deviating from view of rapporteur member state
Acceptability	Discuss if deviating from view of rapporteur member state