

Helsinki, 22 April 2022

Addressees Registrant(s) of JS\_7423-42-9 as listed in Appendix 3 of this decision

#### **Date of submission of the dossier subject to this decision** 02 March 2020

**Registered substance subject to this decision ("the Substance")** Substance name: 2-ethylhexyl hydrogen maleate EC number: 231-048-2

**Decision number:** Please refer to the REACH-IT message which delivered this communication (in format CCH-D-XXXXXXXXXXXXXXX/F)

# DECISION ON A COMPLIANCE CHECK

Under Article 41 of Regulation (EC) No 1907/2006 (REACH), you must submit the information listed below, by the deadline of **31 October 2022**.

Requested information must be generated using the Substance unless otherwise specified.

## Information required from all the Registrants subject to Annex VII of REACH

- 1. Growth inhibition study aquatic plants (Annex VII, Section 9.1.2.; test method: EU C.3./OECD TG 201)
- 2. Short-term toxicity testing on aquatic invertebrates (Annex VII, Section 9.1.1.; test method: EU C.2./OECD TG 202)

The reasons for the decision(s) are explained in Appendix 1.

## Information required depends on your tonnage band

You must provide the information listed above for all REACH Annexes applicable to you in accordance with Articles 10(a) and 12(1) of REACH. The addressees of the decision and their corresponding information requirements based on registered tonnage band are listed in Appendix 3.

You are only required to share the costs of information that you must submit to fulfil your information requirements.

#### How to comply with your information requirements

To comply with your information requirements, you must submit the information requested by this decision in an updated registration dossier by the deadline indicated above. You must also **update the chemical safety report, where** relevant, including any changes to classification and labelling, based on the newly generated information.



You must follow the general requirements for testing and reporting new tests under REACH, see Appendix 4.

#### Appeal

This decision, when adopted under Article 51 of REACH, may be appealed to the Board of Appeal of ECHA within three months of its notification to you. Please refer to <u>http://echa.europa.eu/regulations/appeals</u> for further information.

#### Failure to comply

If you do not comply with the information required by this decision by the deadline indicated above, ECHA will notify the enforcement authorities of your Member State.

Authorised<sup>1</sup> under the authority of Mike Rasenberg, Director of Hazard Assessment

- Appendix 1: Reasons for the decision
- Appendix 2: Procedure
- Appendix 3: Addressees of the decision and their individual information requirements
- Appendix 4: Conducting and reporting new tests under REACH

<sup>&</sup>lt;sup>1</sup> As this is an electronic document, it is not physically signed. This communication has been approved according to ECHA's internal decision-approval process.



# Appendix 1: Reasons for the decision

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## 0. Reasons common to several requests

#### 0.1. Assessment of (Q)SAR information

- 1 You seek to adapt the following standard information requirements by applying (a) (Q)SAR approach(es) in accordance with Annex XI, Section 1.3:
  - Growth inhibition study aquatic plants (Annex VII, Section 9.1.2.)
  - Short-term toxicity testing on aquatic invertebrates (Annex VII, Section 9.1.1.)
- 2 ECHA has considered the scientific and regulatory validity of your (Q)SAR adaptation(s) in general before assessing the specific standard information requirements in the following appendices.
- 3 Under Annex XI, Section 1.3., the following conditions must be fulfilled whenever a (Q)SAR approach is used:
  - (1) the prediction needs to be derived from a scientifically valid model,
  - (2) the substance must fall within the applicability domain of the model,
  - (3) results need to be adequate for the purpose of risk assessment or classification and labelling, and
  - (4) adequate and reliable documentation of the method must be provided.
- 4 With regard to these conditions, we have identified the following issue(s):

#### 0.1.1. The prediction is not adequate due to low reliability

- 5 Under ECHA Guidance R.6.1.3.4 a prediction is adequate for the purpose of classification and labelling and/or risk assessment when the model is applicable to the chemical of interest with the necessary level of reliability. ECHA Guidance R.6.1.5.3. specifies that, among others, the following cumulative conditions must be met:
  - the model predicts well substances that are similar to the substance of interest, and
  - reliable input parameters are used, and
  - the prediction is consistent with information available for other related endpoint(s).
- 6 Your registration dossier provides the following information:
  - The Substance is surface active, because surface tension is 30.7 mN/m at 20 °C (EU method A.5), i.e. < 60 mN/m (see section 3.2 of EU method A.5).
  - Predictions of toxicity to daphnids and green algae by ECOSAR v1.11 (for chemical class "Esters") where explicit algorithm to estimate toxicity is based on log Kow as input parameter.
  - Prediction supporting documentation, QPRF which for the mechanisms underpinning the predicted result notes the following: "The octanol/water partition coefficient (Kow) is the major physical-chemical attribute correlating a chemical structure to toxic effect for nonreactive neutral organic chemicals. The most frequently used relationship is the logarithm of the Kow value versus the median



#### toxicity (LC50 and EC50) value."

- 7 The n-octanol/water partition coefficient (Kow) is one of the key physico-chemical parameters which is widely used to address (and/or predict) various properties of substances based on hydrophobic interactions between the substance and various matrices including biological (e.g. partitioning into the target lipids which is assumed to be the determining factor for the baseline toxicity caused by the non-polar narcosis).
- 8 As noted in various ECHA Guidance documents (e.g. R.7a (p. 78-79), R.7b (p. 83), R.7c (p. 28 and 122-123), R.11 (p. 83)), Kow may be difficult to measure or predict as well as it is not a suitable parameter to predict behaviour/partitioning of certain types of substances (e.g. surface-active agents and those which ionise in water). For such substances other partitioning mechanisms and interactions may impact and/or drive toxicity (e.g. binding to protein/cell membranes).
- 9 Moreover, in the special ECOSAR models for the surface active substances, aquatic toxicity predictions are based on other (e.g. the number of carbon atoms in the hydrophobic chain) than Kow parameters.
- 10 Hence, the aquatic toxicity predictions for the Substance used as input are not reliable because the Substance is surface active and Kow is not a suitable parameter to predict aquatic toxicity of such substances.
- 11 Therefore, you have not demonstrated that the prediction for the Substance is adequate for the purpose of classification and labelling and/or risk assessment.

#### 0.1.2. Inadequate documentation of the prediction (QPRF)

- 12 ECHA Guidance R.6.1.6.3 states that the information specified in or equivalent to the (Q)SAR Prediction Reporting Format document (QPRF) must be provided to have adequate and reliable documentation of the applied method. For a QPRF this includes, among others:
  - the model prediction(s), including the endpoint,
  - a precise identification of the substance modelled,
  - the relationship between the modelled substance and the defined applicability domain,
  - the identities of close analogues, including considerations on how predicted and experimental data for analogues support the prediction.
- 13 You provided the prediction supporting documentation, QPRF. However, the information you provided about the prediction lacks the information on the identities of close analogues, including considerations on how predicted and experimental data for analogues support the prediction.
- 14 In absence of such information, ECHA cannot establish that the prediction can be used to meet this information requirement.
- 15 Based on the above, your adaptations are rejected.



# Reasons related to the information under Annex VII of REACH

## **1.** Short-term toxicity testing on aquatic invertebrates

16 Short-term toxicity testing on aquatic invertebrates is an information requirement under Annex VII to REACH (Section 9.1.1.).

## 1.1. Information provided

17 You have provided an adaptation under Annex XI, Section 1.3. ('(Q)SAR'). In support of your adaptation, you provide a toxicity prediction derived by ECOSAR v1.11 (for chemical class "Esters") using SMILES notation for 2-Ethylhexyl hydrogen maleate as an input structure.

#### 1.2. Assessment of the information provided

- 18 We have assessed this information and identified the following issue:
- 19 As explained in the reasons common to several requests, your adaptation is rejected.
- 20 On this basis, the information requirement is not fulfilled.

#### 1.3. Study design and test specifications

- The Substance is difficult to test due to the surface activity (surface tension is 30.7 mN/m 21 at 20 °C, EU method A.5) and adsorptive properties (default setting for the surface active substances unless Log Koc <4 is demonstrated through an appropriate batch equilibrium test which is not the case here). OECD TG 202 specifies that, for difficult to test substances, you must consider the approach described in OECD GD 23 or other approaches, if more appropriate for your substance. In all cases, the approach selected must be justified and documented. Due to the properties of Substance, it may be difficult to achieve and maintain the desired exposure concentrations. Therefore, you must monitor the test concentration(s) of the Substance throughout the exposure duration and report the results. If it is not possible to demonstrate the stability of exposure concentrations (i.e. measured concentration(s) not within 80-120% of the nominal concentration(s)), you must express the effect concentration based on measured values as described in OECD TG 202. In case a dose-response relationship cannot be established (no observed effects), you must demonstrate that the approach used to prepare test solutions was adequate to maximise the concentration of the Substance in the test solution.
- 22 In your comments to the initial draft decision you agreed to perform the study.

## 2. Growth inhibition study aquatic plants

23 Growth inhibition study on aquatic plants is an information requirement under Annex VII to REACH (Section 9.1.2.).

#### 2.1. Information provided

24 You have provided an adaptation under Annex XI, Section 1.3. ('(Q)SAR'). In support of your adaptation, you provide a toxicity prediction derived by ECOSAR v1.11 (for chemical class "Esters") using SMILES notation for 2-Ethylhexyl hydrogen maleate as an input structure.



# 2.2. Assessment of the information provided

- 25 We have assessed this information and identified the following issue:
- 26 As explained in the reasons common to several requests, your adaptation is rejected.
- 27 On this basis, the information requirement is not fulfilled.

## 2.3. Study design and test specifications

- 28 OECD TG 201 specifies that, for difficult to test substances, OECD GD 23 must be followed. As already explained above, the Substance is difficult to test. Therefore, you must fulfil the requirements described in 'Study design' section 1.3 above.
- 29 In your comments to the initial draft decision you agreed to perform the study.



## References

The following documents may have been cited in the decision.

#### *Guidance on information requirements and chemical safety assessment* (*Guidance on IRs & CSA*)

- Chapter R.4Evaluation of available information; ECHA (2011).Chapter R.6QSARs, read-across and grouping; ECHA (2008).Appendix to Chapter R.6 for nanoforms; ECHA (2019).
- Chapter R.7a Endpoint specific guidance, Sections R.7.1 R.7.7; ECHA (2017). Appendix to Chapter R.7a for nanomaterials; ECHA (2017).
- Chapter R.7b Endpoint specific guidance, Sections R.7.8 R.7.9; ECHA (2017). Appendix to Chapter R.7b for nanomaterials; ECHA (2017).
- Chapter R.7c Endpoint specific guidance, Sections R.7.10 R.7.13; (ECHA 2017). Appendix to Chapter R.7a for nanomaterials; ECHA (2017). Appendix R.7.13-2 Environmental risk assessment for metals and metal compounds; ECHA (2008).
- Chapter R.11 PBT/vPvB assessment; ECHA (2017).
- Chapter R.16 Environmental exposure assessment; ECHA (2016).

#### Guidance on data-sharing; ECHA (2017).

All Guidance on REACH is available online: <u>https://echa.europa.eu/guidance-documents/guidance-on-reach</u>

#### Read-across assessment framework (RAAF)

RAAF, 2017Read-across assessment framework (RAAF), ECHA (2017)RAAF UVCB, 2017Read-across assessment framework (RAAF) – considerations on<br/>multi- constituent substances and UVCBs), ECHA (2017).

The RAAF and related documents are available online:

https://echa.europa.eu/support/registration/how-to-avoid-unnecessary-testing-onanimals/grouping-of-substances-and-read-across

## **OECD Guidance documents (OECD GDs)**

OECD GD 23	Guidance document on aquatic toxicity testing of difficult
	substances and mixtures; No. 23 in the OECD series on testing and assessment, OECD (2019).
OECD GD 29	Guidance document on transformation/dissolution of metals and
	metal compounds in aqueous media; No. 29 in the OECD series on
	testing and assessment, OECD (2002).
OECD GD 150	Revised guidance document 150 on standardised test guidelines for
	evaluating chemicals for endocrine disruption; No. 150 in the OECD
	series on testing and assessment, OECD (2018).
OECD GD 151	Guidance document supporting OECD test guideline 443 on the
	extended one-generation reproductive toxicity test; No. 151 in the
	OECD series on testing and assessment, OECD (2013).



## **Appendix 2: Procedure**

This decision does not prevent ECHA from initiating further compliance checks at a later stage on the registrations present.

ECHA followed the procedure detailed in Articles 50 and 51 of REACH.

The compliance check was initiated on 04 May 2021.

ECHA notified you of the draft decision and invited you to provide comments.

In your comments you agreed to the draft decision. ECHA took your comments into account and did not amend the request(s).

ECHA notified the draft decision to the competent authorities of the Member States for proposals for amendment.

As no amendments were proposed, ECHA adopted the decision under Article 51(3) of REACH.



# Appendix 3: Addressees of this decision and their corresponding information requirements

In accordance with Articles 10(a) and 12(1) of REACH, the information requirements for individual registrations are defined as follows:

- the information specified in Annex VII to REACH, for registration at 1-10 tonnes per year (tpa), or as a transported isolated intermediate in quantity above 1000 tpa;
- the information specified in Annexes VII and VIII to REACH, for registration at 10-100 tpa;
- the information specified in Annexes VII, VIII and IX to REACH, for registration at 100-1000 tpa;
- the information specified in Annexes VII to X to REACH, for registration at more than 1000 tpa.

Registrant Name	Registration number	Highest REACH Annex applicable to you

Where applicable, the name of a third party representative (TPR) may be displayed in the list of recipients whereas ECHA will send the decision to the actual registrant.



# Appendix 4: Conducting and reporting new tests for REACH purposes

# 1. Requirements when conducting and reporting new tests for REACH purposes

#### 1.1. Test methods, GLP requirements and reporting

- (1) Under Article 13(3) of REACH, all new data generated as a result of this decision must be conducted according to the test methods laid down in a European Commission Regulation or to international test methods recognised by the Commission or ECHA as being appropriate.
- (2) Under Article 13(4) of REACH, ecotoxicological and toxicological tests and analyses must be carried out according to the GLP principles (Directive 2004/10/EC) or other international standards recognised by the Commission or ECHA.
- (3) Under Article 10(a)(vi) and (vii) of REACH, all new data generated as a result of this decision must be reported as study summaries, or as robust study summaries, if required under Annex I of REACH. See ECHA Practical Guide on How to report robust study summaries<sup>2</sup>.

#### **1.2.** Test material

- Selection of the Test material(s)
  The Test Material used to generate the new data must be selected taking into account the following:
  - the boundary composition(s) of the Substance,
  - the impact of each constituent/ impurity on the test results for the endpoint to be assessed. For example, if a constituent/ impurity of the Substance is known to have an impact on (eco)toxicity, the selected Test Material must contain that constituent/ impurity.
- (2) Information on the Test Material needed in the updated dossier
  - You must report the composition of the Test Material selected for each study, under the "Test material information" section, for each respective endpoint study record in IUCLID.
  - The reported composition must include all constituents of each Test Material and their concentration values and other parameters relevant for the property to be tested.

This information is needed to assess whether the Test Material is relevant for the Substance.

Technical instructions on how to report the above is available in the manual on How to prepare registration and PPORD dossiers<sup>3</sup>.

<sup>&</sup>lt;sup>2</sup> <u>https://echa.europa.eu/practical-guides</u>

<sup>&</sup>lt;sup>3</sup> <u>https://echa.europa.eu/manuals</u>