

1 July 2015

# **Background document for tetralead trioxide sulphate**

# Document developed in the context of ECHA's $6^{th}$ recommendation for the inclusion of substances in Annex XIV

ECHA is required to regularly prioritise the substances from the Candidate List and to submit to the European Commission recommendations of substances that should be subject to authorisation. This document provides background information on the prioritisation of the substance, as well as on the determination of its draft entry in the Authorisation List (Annex XIV of the REACH Regulation). Information comprising confidential comments submitted during public consultation, or relating to content of Registration dossiers which is of such nature that it may potentially harm the commercial interest of companies if it was disclosed, is provided in a confidential annex to this document.

## 1. Identity of the substance

Chemical name: Tetralead trioxide sulphate

EC Number: 235-380-9 CAS Number: 12202-17-4

IUPAC Name: Tetralead trioxide sulphate

# 2. Background information for prioritisation

Priority was assessed by using the General approach for prioritisation of SVHCs for inclusion in the list of substances subject to authorisation<sup>1</sup>. Results of the prioritisation of all substances included in the Candidate List by June 2013 and not yet included or recommended in Annex XIV of the REACH Regulation is available at

http://echa.europa.eu/documents/10162/13640/prioritisation results 6th rec en.pdf

The prioritisation results of the substances included in the draft 6<sup>th</sup> recommendation have been updated as necessary after the public consultation. The updated results are available at <a href="http://echa.europa.eu/documents/10162/13640/updated prioritisation results 6th axiv recen.pdf">http://echa.europa.eu/documents/10162/13640/updated prioritisation results 6th axiv recen.pdf</a>

## 2.1. Intrinsic properties

Tetralead trioxide sulphate was identified as a Substance of Very High Concern (SVHC) according to article 57 (c) as it is classified in Annex VI, part 3, Table 3.1 (the list of harmonised classification and labelling of hazardous substances) of Regulation (EC) No 1272/2008 as Toxic for Reproduction, Category 1A, H360D ("May damage the unborn child"), and was therefore included in the candidate list for authorisation on 19/12/2012, following

http://echa.europa.eu/documents/10162/13640/gen approach svhc prior in recommendations en.pdf

<sup>&</sup>lt;sup>1</sup> Document can be accessed at

ECHA's decision [ED/169/2012].

#### 2.2. Volume used in the scope of authorisation

The amount of tetralead trioxide sulphate manufactured and/or imported in the EU is according to registration data in the range of 1,000,000 - 10,000,000 t/y.

Part of the registered tonnage is claimed as being used as an intermediate. However, based on available information it appears that the uses described are likely not to be intermediate uses. It is stressed that the prioritisation exercise is not taking a formal position whether certain uses of substances are regarded as uses as intermediates in accordance with the definition in article 3(15).

### 2.3. Wide-dispersiveness of uses

Registered uses of tetralead trioxide sulphate in the scope of authorisation include uses at industrial sites (use as stabiliser in PVC processing, lead battery production, production and application of coatings and inks for mirror backing, use as an industrial reactant).

Furthermore, according to the registration data the substance is used in articles (such as plastic articles).

#### 2.4. Further considerations for priority setting

It appears that tetralead trioxide sulphate is used in some similar applications (batteries) with orange lead (lead tetroxide), lead monoxide and pentalead tetraoxide sulphate. However, it has not been assessed whether the function of these substances in these applications is the same and whether or under which conditions substitution could happen in practice.

#### 2.5. Conclusions and justification

Verbal descriptions and Scores			Total Score	Further
Inherent properties (IP)	Volume (V)	Wide dispersiveness of uses (WDU)	(= IP + V + WDU)	considerations
Tetralead trioxide sulphate is classified as toxic for reproduction 1A meeting the criteria 57c  Score: 1	The amount of tetralead trioxide sulphate used in the scope of authorisation is in the range of 1,000,000 - 10,000,000 t/y  Score: 15	Tetralead trioxide sulphate is used at industrial sites.  Initial score: 5  Furthermore, the substance is used in articles in volumes >10 t/y.  Refined score: 7	23	Grouping of tetralead trioxide sulphate with other lead substances used in batteries

#### Conclusion

Tetralead trioxide sulphate is considered for prioritisation based on grouping consideration with

orange lead (lead tetroxide) and other lead substances used in batteries (lead monoxide, pentalead tetraoxide sulphate).

On the basis of prioritisation criteria orange lead (lead tetroxide) receives high priority among the substances in the Candidate list (see link to the prioritisation results above).

However, other substances included in the draft 6<sup>th</sup> recommendation have higher priority based on Art. 58(3) prioritisation criteria and/or based on grouping considerations. In accordance with Art. 58(3) the number of substances included in a recommendation need to take into account ECHA's capacity to handle applications for authorisation in the time provided for. Therefore, the recommendation of orange lead (lead tetroxide) and other lead substances used in batteries is postponed. Consequently, tetralead trioxide sulphate is not included in ECHA's final 6<sup>th</sup> recommendation for inclusion of substances in Annex XIV. The substance will be reassessed for priority in future recommendation rounds.

#### 3. Further information on uses

In addition to the registration information, further details on uses can be found in comments provided during the public consultations on the  $6^{th}$  draft recommendation (ComRef, 2015) and on the SVHC identification (RCOM, 2012).

Based on registration data and on the comments received during the SVHC public consultation the main uses of tetralead trioxide sulphate appear to be the use in lead battery production and the use in stabilisers production and PVC processing. The uses in the production of coatings and inks and application of coatings and inks for mirror backing and the use as an industrial reactant appear to be less significant in terms of tonnages. No detailed information is available on these uses.

Information on the main (sector of) uses, tonnage and current trends are provided below.

#### Use in the production of batteries

Tetralead trioxide sulphate is used in the process to produce automotive and industrial lead-acid batteries. The battery production process begins with initial chemical reactions for lead oxide and lead tetroxide, leading into the transformation of both substances into a mix of tetralead trioxide sulphate and pentalead tetraoxide sulphate, and further into lead metal and lead dioxide. Detailed information on the production process of lead-acid batteries, including a description on how the above-mentioned substances are interlinked in the production process, can be found in the comments received during the SVHC public consultation (EUROBAT and ILA comments - RCOM, 2012; ComRef, 2015).

During the production process tetralead trioxide sulphate is converted into another substance and only some residual concentrations remain in the final article (RCOM, 2012).

From aggregated survey data of its member companies, EUROBAT (The Association of European Automotive and Industrial Battery Manufacturers) estimates that 369,000 tonnes of tetralead trioxide sulphate and 39,000 tonnes of pentalead tetraoxide sulphate are produced during the battery production process by the European battery industry per annum (RCOM, 2012):

- 173,000 tonnes of tetralead trioxide sulphate and 18,000 tonnes of pentalead tetraoxide sulphate being used for the production of **automotive** batteries;
- 196,000 tonnes of tetralead trioxide sulphate and 21,000 tonnes of pentalead tetraoxide sulphate being used for the production of **industrial** batteries.

Lead-based batteries are widely used in automotive vehicles (e.g. SLI<sup>2</sup> batteries, start-stop systems in micro-hybrid vehicles, batteries used in mild, full and plug-in hybrid vehicles) and in industrial motive and standby applications, e.g. in forklift trucks and electric wheelchairs, as Uninterruptible Power Supply (UPS) for hospitals, IT applications and telecommunication systems including both landline and mobile telephone base station applications (RCOM, 2012).

Key countries for lead-based battery production in Europe include the Czech Republic, France, Germany, Italy, Spain, Poland and the United Kingdom (RCOM, 2012).

#### Use as stabiliser

A significant use of tetralead trioxide sulphate is as a stabiliser in PVC production. According to information provided during the public consultation on the 6<sup>th</sup> draft recommendation (ComRef, 2015) this use represents approx. 4.5 % of the market. (. The stabiliser sector has a voluntary commitment to replace lead stabilisers across the EU-28 by end 2015. According to comments received the European PVC industry is on track to fulfil its phase out commitment. (ComRef, 2015). In many cases lead-based stabilisers are replaced by calcium-based stabilisers and during the period 2001-2011, the lead stabiliser consumption decreased by approximately 82% (RCOM, 2012). However, the total tonnage of lead stabilisers currently used in the EU seems to remain above 10,000 t/y.

According to information from industry (RCOM, 2012) there was less than 10 sites manufacturing lead stabilisers in the EU-27 (totalling probably between 100 and 500 employees directly involved with lead stabilisers) while up to 20,000 plastic converters are processing PVC and a fraction of them may use lead stabilisers to produce articles such as discharge water pipes and window profiles, roofing, flooring and many others.

The sector also counts a very high number of PVC recyclers (mainly SMEs) handling post-consumer waste. Lead is expected to remain in recycled PVC for many years even after the phase out due to the long life time of most PVC products (e.g. 40 to 50 years for window profiles, or pipes) (ComRef, 2015).

# 4. Background information for the proposed Annex XIV entry

Draft Annex XIV entries were determined on the basis of the General approach for preparation of draft Annex XIV entries for substances to be included in Annex XIV<sup>3</sup>. The draft Annex XIV entries for substances included in the 6<sup>th</sup> recommendation are available at <a href="http://echa.europa.eu/documents/10162/13640/6th">http://echa.europa.eu/documents/10162/13640/6th</a> axiv recommendation july2015 en.pdf

[This section is not relevant as the substance is not included in the final 6<sup>th</sup> recommendation.]

http://echa.europa.eu/documents/10162/13640/draft axiv entries gen approach 6th en.pdf

<sup>&</sup>lt;sup>2</sup> Starting, Lighting, Ignition

<sup>&</sup>lt;sup>3</sup> Document can be accessed at

#### 5. References

ComRef (2015):"Comments and references to responses" document for tetralead trioxide sulphate. Document compiling comments and references to respective answers from commenting period [01/09/2014-01/12/2014] on ECHA's proposal to include tetralead trioxide sulphate in its 6<sup>th</sup> recommendation of priority substances for inclusion in the list of substances subject to authorisation (Annex XIV).

http://echa.europa.eu/documents/10162/13640/6th axiv rec comref tetralead trio xide sulphate en.pdf

RCOM (2012):"Responses to comments" document. Document compiled by ECHA from the commenting period [03/09/2012-18/10/2012] on the proposal to identify tetralead trioxide sulphate as a Substance of Very High Concern.

http://echa.europa.eu/documents/10162/0a7b3187-f051-46d5-b3cb-39a4485b36d2