

Decision number: TPE-D-0000002052-89-05/F

Helsinki, 25 June 2013

**DECISION ON A TESTING PROPOSAL SET OUT IN A REGISTRATION PURSUANT TO ARTICLE 40(3) OF REGULATION (EC) NO 1907/2006****For Oil shale, thermal processing waste, CAS No 93685-99-5, registration number:**  
[REDACTED]**Addressee:** [REDACTED]  
[REDACTED]

The European Chemicals Agency (ECHA) has taken the following decision in accordance with the procedure set out in Articles 50 and 51 of Regulation (EC) No 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH Regulation).

**I. Procedure**

Pursuant to Article 40(1) of the REACH Regulation, ECHA has examined the following testing proposals submitted as part of the registration dossier in accordance with Articles 10(a)(ix) and 12(1)(e) thereof for Oil shale, thermal processing waste, CAS No 93685-99-5, by [REDACTED] (Registrant):

- Earthworm Reproduction Test (*Eisenia fetida*/*Eisenia andrei*) (OECD Guideline 222) with registered substance;
- Sediment-Water Chironomid Toxicity Test Using Spiked Sediment (OECD Guideline 218) with registered substance.

This decision is based on the registration dossier as submitted with submission number [REDACTED] for the tonnage band of 1000 tonnes or more per year. This decision does not take into account any updates after 18 January 2013, the date upon which ECHA notified its draft decision to the Competent Authorities of the Member States pursuant to Article 51(1) of the REACH Regulation.

This decision does not imply that the information provided by the Registrant in his registration dossier is in compliance with the REACH requirements. The decision does not prevent ECHA from initiating a compliance check on the present dossier at a later stage.

On 20 December 2010, pursuant to Article 40(1) of the REACH Regulation, ECHA initiated the examination of the testing proposals set out by the Registrant in the registration dossier for the substance mentioned above.

On 31 August 2012 ECHA sent the draft decision to the Registrant and invited him to provide comments within 30 days of the receipt of the draft decision.

By 1 October 2012 the Registrant did not provide any comments on the draft decision to ECHA.

On 18 January 2013 ECHA notified the Competent Authorities of the Member States of its draft decision and invited them pursuant to Article 51(1) of the REACH Regulation to submit proposals to amend the draft decision within 30 days of the receipt of the notification.

Subsequently, one Competent Authority of a Member State submitted a proposal for amendment to the draft decision.

On 21 February 2013 ECHA notified the Registrant of the proposal for amendment to the draft decision and invited him pursuant to Article 51(5) of the REACH Regulation to provide comments on the proposal for amendment within 30 days of the receipt of the notification.

ECHA reviewed the proposals for amendment received and amended the draft decision accordingly.

On 4 March 2013 ECHA referred the draft decision to the Member State Committee.

The Registrant provided comments on the proposal for amendment on 22 March 2013. The Member State Committee took the comments of the Registrant into account.

A unanimous agreement of the Member State Committee on the draft decision was reached on 8 April 2013 in a written procedure launched on 27 March 2013. ECHA took the decision pursuant to Article 51(6) of the REACH Regulation.

## II. Testing required

The Registrant shall carry out the following proposed tests pursuant to Article 40(3)(a) of the REACH Regulation using the indicated test methods and the registered substance subject to the present decision:

1. Effects on terrestrial organisms (Annex X, 9.4.; Test on toxicity to invertebrates; test method: OECD 222);
2. Long-term toxicity to sediment organisms (Annex X, 9.5.1.; test method: Sediment-water Chironomid toxicity using spiked sediment, OECD 218).

Pursuant to Articles 40(4) and 22 of the REACH Regulation, the Registrant shall submit to ECHA by **25 March 2014** an update of the registration dossier containing the information required by this decision.

Once results of the requested toxicity test(s) are available, in accordance with Annex I of the Reach Regulation, the Registrant is required to revise the chemical safety assessment. He should furthermore consider whether there is a need to investigate further the effects on terrestrial organisms in order to fulfil the information requirements of section 9.4 of Annexes IX and X, and if necessary, submit testing proposals for additional terrestrial toxicity tests. If the Registrant concludes that no further investigation of effects on terrestrial organisms is required, he should update his technical dossier by clearly stating the reasons for adapting the information requirements of Annexes IX and X, section 9.4. of the REACH Regulation.

### III. Statement of reasons

The decision of ECHA is based on the examination of the testing proposals submitted by the Registrant for the registered substance.

#### **1. Effects on terrestrial organisms**

Pursuant to Article 40(3)(a) of the REACH Regulation, ECHA may require the Registrant to carry out the proposed test.

The Registrant must address the standard information requirements set out in Annexes IX and X, section 9.4., for different taxonomic groups: effects on soil micro-organisms (Annex IX, section 9.4.2.), short-term toxicity testing on invertebrates (Annex IX, section 9.4.1.), long-term toxicity testing on invertebrates (Annex X, section 9.4.4.), short-term toxicity testing on plants (Annex IX, section 9.4.3.) and long-term toxicity testing on plants (Annex X, section 9.4.6.).

The information on this endpoint is not available for the registered substance but needs to be present in the technical dossier to meet the information requirements. Consequently there is an information gap and it is necessary to provide information for this endpoint.

The Registrant has submitted a testing proposal for long-term toxicity testing on invertebrates (earthworm reproduction test, OECD 222) in order to fulfil the standard information requirements for effects on terrestrial organisms with the following justification in the CSR: *'In order to fulfil the standard information required according to Annex X, Column I (9.4) a long-term toxicity test with soil macroorganisms (earthworms, OECD 222) is proposed for oil shale thermal processing residue. Following the integrated testing strategy of ECHA guidance document R.7C, depending on the result of that test eventually further studies would have to be conducted.'*

ECHA notes that the proposed test only addresses invertebrates (i.e. the information requirement in Annex X, section 9.4.4.) and does not address the other two trophic levels requested for this tonnage band. ECHA acknowledges the Registrant's assessment regarding the particular characteristics of this substance, justified by the reported physical-chemical and environmental fate properties as well as the low toxicity observed in the available studies. The Registrant has indicated that further testing will be considered depending on the outcome of the current testing proposal. Having taken into account the proposal for amendment by the competent authority of a Member State and due to the particular characteristics of the substance, ECHA considers that this approach has been adequately justified by the Registrant.

Therefore, pursuant to Article 40(3)(a) of the REACH Regulation ECHA has accepted the Registrant's testing proposal and the Registrant is required to carry out the proposed study: Test on toxicity to terrestrial invertebrates (Annex X, 9.4.4., test method: OECD 222) using the registered substance.

#### **2. Long-term toxicity to sediment organisms**

Pursuant to Article 40(3)(a) of the REACH Regulation, ECHA may require the Registrant to carry out the proposed test.

According to column 1 of Section 9.5.1. of Annex X of the REACH Regulation, long-term toxicity to sediment organisms is a standard information requirement. The information on this endpoint is not available for the registered substance but needs to be present in the technical dossier to meet the information requirements. Consequently there is an information gap and it is necessary to provide information for this endpoint.

The Registrant proposed a sediment-water Chironomid toxicity test using spiked sediment (OECD 218).

The information currently available in the dossier is not considered as sufficient to conclude on the long-term toxicity potential of the registered substance in sediment organisms and thus it is necessary to provide information for this endpoint.

Therefore, pursuant to Article 40(3)(a) of the REACH Regulation, the Registrant is required to carry out the proposed study: Long-term toxicity to sediment organisms (Annex X, 9.5.1.; test method: Sediment-water Chironomid toxicity using spiked sediment, OECD 218) using the registered substance.

#### IV. Adequate identification of the composition of the tested material

The process of evaluation of testing proposals set out in Article 40 of the REACH Regulation aims at ensuring that the new studies meet real information needs. Within this context, the Registrant's dossier was sufficient to confirm the identity of the substance to the extent necessary for evaluation of the testing proposal. The Registrant must note, however, that this information, or the information submitted by other registrants of the same substance, has not been checked for compliance with the substance identity requirements set out in Section 2 of Annex VI of the REACH Regulation.

In relation to the proposed tests, the sample of substance used for the new studies must be suitable for use by all the joint registrants. Hence, the sample should have a composition that is within the specifications of the substance composition that are given by the joint registrants. It is the responsibility of all joint registrants of the same substance to agree to the tests proposed (as applicable to their tonnage level) and to document the necessary information on their substance composition.

In addition, it is important to ensure that the particular sample of substance tested in the new studies is appropriate to assess the properties of the registered substance, taking into account any variation in the composition of the technical grade of the substance as actually manufactured by each registrant. If the registration of the substance by any registrant covers different grades, the sample used for the new studies must be suitable to assess these grades.

Finally there must be adequate information on substance identity for the sample tested and the grades registered to enable the relevance of the studies to be assessed.

V. General requirements for the generation of information and Good Laboratory Practice

ECHA reminds registrants of the requirements of Article 13(4) of the REACH Regulation that ecotoxicological and toxicological tests and analyses shall be carried out in compliance with the principles of good laboratory practice (GLP).

According to Article 13(3) of the REACH Regulation, tests that are required to generate information on intrinsic properties of substances shall be conducted in accordance with the test methods laid down in a Commission Regulation or in accordance with other international test methods recognised by the Commission or the European Chemicals Agency as being appropriate. Thus, the Registrant shall refer to Commission Regulation (EC) No 440/2008 laying down test methods pursuant to Regulation (EC) No 1907/2006 as adapted to technical progress or to other international test methods recognised as being appropriate and use the applicable test methods to generate the information on the endpoints indicated above.

VI. Information on right to appeal

An appeal may be brought against this decision to the Board of Appeal of ECHA under Article 51(8) of the REACH Regulation. Such appeal shall be lodged within three months of receiving notification of this decision. Further information on the appeal procedure can be found on the ECHA's internet page at [http://echa.europa.eu/appeals/app\\_procedure\\_en.asp](http://echa.europa.eu/appeals/app_procedure_en.asp). The notice of appeal will be deemed to be filed only when the appeal fee has been paid.



Jukka Malm  
Director of Regulatory Affairs